

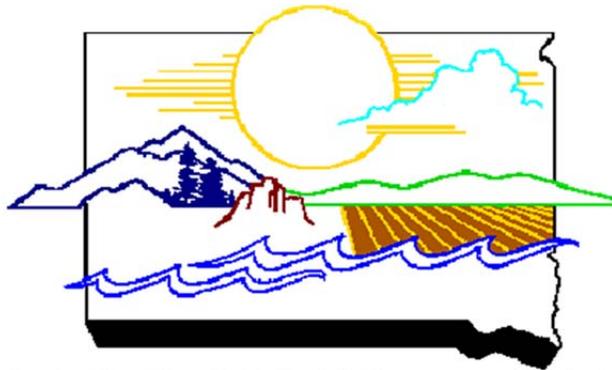
# THE SOUTH DAKOTA CONSERVANCY DISTRICT

## CLEAN WATER STATE REVOLVING FUND

### ANNUAL REPORT

FEDERAL FISCAL YEAR 2011

October 1, 2010 - September 30, 2011



*Protecting South Dakota's Tomorrow ... Today*

Department of Environment and Natural Resources  
Division of Financial and Technical Assistance



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**THE SOUTH DAKOTA CONSERVANCY DISTRICT**

**CLEAN WATER STATE REVOLVING FUND**

**ANNUAL REPORT**

**FEDERAL FISCAL YEAR 2011**

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**Department of Environment and Natural Resources**  
**Division of Financial and Technical Assistance**  
**523 East Capitol Avenue**  
**Pierre, South Dakota 57501-3181**  
**PHONE: (605) 773-4216      FAX: (605) 773-4068**



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**THE SOUTH DAKOTA CONSERVANCY DISTRICT  
BOARD MEMBERS**

BRAD JOHNSON, CHAIRMAN  
Watertown  
Member since 2003

GENE JONES, JR., VICE-CHAIRMAN  
Sioux Falls  
Member since 2002

DON ROUNDS, SECRETARY  
Pierre  
Member since 2003

TODD BERNHARD  
Fort Pierre  
Member since 2010

PAUL GNIRK  
New Underwood  
Member since 2009

PAUL GOLDHAMMER  
Wall  
Member since 2010

JACKIE LANNING  
Brookings  
Member since 2011

DALE KENNEDY  
Beresford  
Member 1985 - 2010

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## **MISSION**

**The mission of the South Dakota Clean Water State Revolving Fund loan program is to capitalize the fund to the fullest; maintain, restore and enhance the chemical, physical and biological integrity of the state's waters for the benefit of the overall environment; protect public health; and promote the economic well-being of the citizens of the state of South Dakota.**

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**FEDERAL FISCAL YEAR**

**2011**

**ANNUAL REPORT**

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## INTRODUCTION

The state of South Dakota herewith submits its Annual Report for Federal Fiscal Year (FFY) 2011 (October 1, 2010 through September 30, 2011). This report describes how South Dakota has met the goals and objectives of the Clean Water State Revolving Fund (SRF) Loan program as identified in the 2011 Intended Use Plan, the actual use of funds, and the financial position of the Clean Water SRF.

The Annual Report consists of three main sections. The *Executive Summary* section provides an overview of the FFY 2011 activity. The next section addresses the *Goals, Objectives, and Environmental Results* the state of South Dakota identified in its 2011 Intended Use Plan and the steps that have been taken to meet these measures. The *Details of Activities* section provides information on the financial status of the program, the financial assistance provided during FFY 2011, and compliance with the EPA grant and operating agreement conditions.

The Annual Report is followed by a brief history of the Clean Water SRF program since its inception. The program history is followed by the *Clean Water SRF Loan Portfolio*. The loan portfolio provides information on the interest rates, loan amounts, projects, and loan terms. Exhibits I through VIII provide detailed financial and environmental program information. Exhibits IX through XI are the unaudited financial statements of the Clean Water SRF program, as prepared by the Department of Environment and Natural Resources. Addendum A is the *Intended Use Plan for Federal Fiscal Year 2012*. The primary purpose of the Intended Use Plan is to outline the proposed use of the funds available to the Clean Water State Revolving Fund. Addendum B satisfies the federal requirement that states quantify and report the environmental benefits being realized through the Clean Water SRF program.

## EXECUTIVE SUMMARY

South Dakota's Clean Water SRF program received a federal capitalization grant of \$7,220,000 for FFY 2011. These funds were matched by \$1,444,400 in bond proceeds and were supplemented by accumulated loan repayments and interest earnings.

### CLEAN WATER SRF LOANS

The Conservancy District approved 25 loans to 22 communities totaling \$56,355,550. A summary of loans approved in FFY 2011 is provided in Table 1.

**Table 1  
Clean Water Loans  
Federal Fiscal Year 2011**

Recipient	Project Description	Assistance Amount	Rate %	Term Years
Belle Fourche Irrig District (01)	Watershed Irrigation Improvements	\$200,000	--	--
Bison (01)	Storm Water Management System	\$504,050	3.0%	20
Bridgewater (03)	Main Street Sanitary Sewer Improvements	\$261,000	3.25%	30
Brookings (04)	Pheasants Nest Detention Pond	\$483,538	3.0%	20
Canova (01)	Wastewater Lagoon Expansion	\$262,500	3.25%	30
Colton (02)	5 <sup>th</sup> Street Wastewater Improvements	\$189,200	3.0%	20
Groton (09)	Lift Station and Force Main Improvements	\$485,000	2.25%	10
Harrisburg (04)	Columbia Basin Sanitary/Storm Sewer Project	\$1,435,340	2.25%	10
Harrisburg (05)	Columbia Basin Sanitary/Storm Sewer Project	\$1,783,760	3.0%	20
Hermosa (01)	North Sewer Collection Line	\$303,604	3.25%	30
Herreid (01)	Wastewater Improvements	\$694,300	3.25%	30
Interior (01)	Wastewater System Improvements	\$250,000	3.25%	30
Java (01)	Improvements to Collection, Treatment, and Lift Station	\$438,325	3.25%	30
McLaughlin (01)	Wastewater System Improvements	\$1,145,675	3.25%	30
Mount Vernon (01)	Treatment and Collection System Improvements	\$2,300,000	3.25%	30
Northville (01)	Wastewater Treatment Facility Improvements	\$238,300	3.25%	30
Plankinton (01)	Comprehensive Wastewater Upgrades	\$1,005,744	3.25%	30
Richmond Lake San Dist (03)	Lagoon and Lift Station Project	\$193,600	3.0%	20
Richmond Lake San Dist (04)	Lagoon and Lift Station Project	\$339,800	3.25%	30
Scotland (02)	Washinton Street Stormwater and Sewer Improvements	\$945,930	3.25%	30
Sioux Falls (32)	Central Main, Dakota Ave. and Sliplining Projects	\$23,400,000	1.25%	10
Sioux Falls (32NPS)	Big Sioux Watershed Nonpoint Source Activities	\$1,189,400	1.25%	10
Sioux Falls (33)	Sioux River South Interceptor Phase 1	\$14,000,000	1.25%	10
Sioux Falls (33NPS)	Big Sioux Watershed Nonpoint Source Activities	\$711,614	1.25%	10
Viborg (01)	Collection System Improvements	\$883,000	3.25%	30
Warner (02)	WWTF Expansion and Lift Station Improvements	\$1,826,760	3.25%	30
Yale (01)	Wastewater Treatment Facility Expansion	\$885,110	3.25%	30
<b>TOTAL</b>		<b>\$56,355,550</b>		

Loan disbursements from the program to the current and prior year borrowers totaled \$45,159,262. As of September 30, 2011, 137 loans are in repayment, and FFY 2011 repayments totaled \$33,194,553. Of this amount, \$27,940,305 was for principal, \$3,975,449 was for interest, and \$1,278,799 was for administrative surcharge. Included in these repayments were

14 loans that completed payments during the fiscal year, bringing the number of loans that have been paid in full to 95.

Since the program was initiated in 1988, 288 loans have been awarded with eight loans subsequently being rescinded or deobligated in full. The projects associated with 217 loans are fully constructed or essentially complete and in operation. The following 17 projects initiated operations this past year:

Aberdeen (02)	Aurora (02)
Brookings (03)	Canistota (01)
Canistota (02)	Canton (03)
Elkton (01)	Gettysburg (01)
Groton (08)	Harrisburg (02)
Hecla (01)	Marion (01)
Sioux Falls (27)	Sioux Falls (29)
Sioux Falls (30)	Watertown (10)
Webster (03)	

Application forms for Sanitary and Storm Sewer Facilities funding are provided in the Department of Environment and Natural Resources' State Water Planning Process document. This document contains application forms and instructions for the State Water Plan and various funding programs. All forms are also available at the following website:

<http://denr.sd.gov/formsprogram.aspx#Funding>.

#### **ADDITIONAL SUBSIDY**

The fiscal years 2010 and 2011 federal appropriation bills for the State Revolving Fund programs extended several of the requirements set forth in the Recovery Act. These requirements included 1) applying Davis-Bacon wage rates to all loans executed after October 30, 2009; 2) requiring that up to 20 percent of each capitalization grant be utilized for "green" projects; and 3) requiring that a portion of each capitalization grant be made available as additional subsidy.

The Board chose to use principal forgiveness as the method to provide the additional subsidy. To receive principal forgiveness municipalities and sanitary districts with monthly residential sewer rates of at least \$22 (based on 5,000 gallons usage or a flat rate) were eligible. Other applicants were required to have monthly residential sewer rates of at least \$40 (based on 5,000 gallons usage or a flat rate) to be eligible to receive principal forgiveness.

Table 2 summarizes the amounts of principal forgiveness provided through the 2010 and 2011 capitalization grants.

**Table 2  
Principal Forgiveness Awarded**

Federal Fiscal Year	Principal Forgiveness	
	Minimum	Maximum
2010	\$1,497,892	\$4,993,274
2011	\$669,233	\$2,230,775
	\$2,167,125	\$7,224,049
Awarded in 2010		(\$3,735,995)
Awarded in 2011		(\$3,488,195)
Principal Forgiveness Balance		(\$141)

Table 3 recaps the projects awarded principal forgiveness in federal fiscal year 2011.

**Table 3  
FFY 2011 Clean Water SRF Loans  
Additional Subsidy Awarded**

Sponsor	Total Assistance Amount	Principal Forgiveness Awarded
Belle Fourche Irrigation Dist (01)	\$200,000	\$200,000
Bison (01)	\$504,050	\$0
Bridgewater (03)	\$261,000	\$0
Brookings (04)	\$483,538	\$0
Canova (01)	\$262,500	\$170,000
Colton (02)	\$189,200	\$0
Groton (09)	\$485,000	\$0
Harrisburg (04)	\$1,435,340	\$0
Harrisburg (05)	\$1,783,760	\$0
Hermosa (01)	\$303,604	\$0
Herreid (01)	\$694,300	\$0
Interior (01)	\$250,000	\$0
Java (01)	\$438,325	\$103,325
McLaughlin (01)	\$1,145,675	\$150,000
Mount Vernon (01)	\$2,300,000	\$1,050,000
Northville (01)	\$238,300	\$0
Plankinton (01)	\$1,005,744	\$150,000
Richmond Lake San Dist (03)	\$193,600	\$0
Richmond Lake San Dist (04)	\$339,800	\$0
Scotland (02)	\$945,930	\$0
Sioux Falls (32)	\$23,400,000	\$0
Sioux Falls (32NPS)	\$1,189,400	\$0
Sioux Falls (33)	\$14,000,000	\$0
Sioux Falls (33NPS)	\$711,614	\$0
Viborg (01)	\$883,000	\$0
Warner (02)	\$1,826,760	\$1,058,760
Yale (01)	\$885,110	\$606,110
<b>TOTAL</b>	<b>\$56,355,550</b>	<b>\$3,488,195</b>

## **INTEREST RATES**

Interest rates are reviewed periodically to ensure that they are below market rate and are competitive with other funding sources, such as the federal Rural Development program.

The interest rates for loans approved for FFY 2011 were 2.25 percent for loans with a term of 10 years or less, 3 percent for loans with a term greater than 10 years up to 20 years, and 3.25 percent with a term up to 30 years. The term of each loan is at the discretion of the borrower provided that the proposed repayment source produces the required debt service coverage and the term of the loan does not exceed the useful life of the project.

In addition to the base rates, the board has established a Clean Water SRF Incentive rate for nonpoint source (NPS) projects and an interim financing rate. The NPS incentive rates are 1.25 percent for loans with a term of 10 years or less, 2 percent for loans with a term greater than 10 years up to 20 years, and 2.25 percent with a term up to 30 years; the interim financing rate is 2 percent for 3 years.

## **ADMINISTRATIVE SURCHARGE**

The Clean Water SRF program continues to use administrative surcharge funds for activities to protect and enhance water quality. In FFY 2011, an additional \$1,200,000 of administrative surcharge funds were allocated for the following activities: nonfederal cost-share for Total Maximum Daily Load (TMDL) assessment and implementation projects, planning grants, and to supplement the Consolidated program with grants for wastewater treatment projects.

The Small Community Planning Grant program encourages proactive planning by small communities or systems by providing grants for the preparation of an engineering study or rate analysis for systems serving populations of 2,500 or less. For engineering studies, the program provides an 80 percent cost-share up to \$10,000. The program provides an 80 percent cost-share up to \$1,600 for wastewater utility rate analysis. Grants awarded for engineering studies and rate analyses are shown in Table 4.

The board provided additional grant assistance from Clean Water Administrative Surcharge fees. The construction of wastewater treatment, collection, or conveyance projects, watershed assessment and restoration projects, and other special studies are eligible uses for these fees. Table 5 shows the Water Quality grants awarded in FFY 2011.

**Table 4**  
**Small Community Planning Grants**  
**FFY 2011**

<b>Recipient</b>	<b>Description</b>	<b>Amount</b>
Astoria	Wastewater System Improvements	\$10,000
Beresford	Wastewater Rate Study	\$1,600
Carthage	Wastewater System Improvements	\$8,000
Castlewood	Storm Sewer Improvements	\$6,000
Claremont	Wastewater System Improvements	\$8,000
Clark	Wastewater Treatment Study	\$6,000
Custer	Wastewater System Improvements	\$10,000
Doland	Wastewater System Improvements	\$8,000
Eureka	Wastewater System Improvements	\$8,000
Faulkton	Wastewater System Improvements	\$8,000
Ipswich	Wastewater System Improvements	\$8,000
Irene	Wastewater System Improvements	\$6,000
Java	Wastewater System Improvements	\$8,000
Lane	Storm Water Improvements	\$6,000
Langford	Wastewater Treatment Study	\$8,000
Letcher	Storm Water Improvements	\$6,000
Miller	Storm Sewer System Improvements	\$8,000
Powder House Pass CID	Study of Wastewater Options	\$10,000
South Shore	Wastewater System Improvements	\$8,000
Summerset	Wastewater Treatment Study	\$10,000
Timberland Park HOA	Wastewater System Improvements	\$8,800
Trail West San Dist	Study of Wastewater Options	\$10,000
Waubay	Wastewater Treatment Study	\$10,000
Woonsocket	Storm Water Improvements	\$6,000
<b>TOTAL</b>		<b>\$186,400</b>

**Table 5**  
**Water Quality Grants**  
**FFY 2011**

<b>Recipient</b>	<b>Description</b>	<b>Amount</b>
Ellsworth Development Authority	Regional Wastewater Treatment Facility	\$200,000
HDR Engineering	Wastewater Treatment Energy Audits	\$468,160
Leola (amendment)	Wastewater Improvements	\$300,000
<b>TOTAL</b>		<b>\$968,160</b>

The board continued to provide assistance to revolving fund borrowers with the preparation of applications and on-going loan administration activities. The state's six planning districts all have joint powers agreements to receive up to \$7,500 per loan for application and loan administration duties. Joint powers agreements were executed between the department and the planning districts to provide \$1,000 per project for Davis-Bacon wage rate verification and certification. In FFY 2011, an additional \$200,000 was allocated for the planning districts' joint powers agreements.

## GOALS, OBJECTIVES, AND ENVIRONMENTAL RESULTS

### **Short-term Goals and Objectives**

In its 2011 Intended Use Plan, the State of South Dakota identified one short-term goal to be implemented and three objectives to be accomplished. The state has made significant progress toward successful completion of its short-term goal and objectives.

#### **Goal: To fully capitalize the fund.**

As of September 30, 2011, South Dakota has made binding commitments to fully utilize all but \$6,439,485 of its capitalization awards and associated state matching funds.

#### **Objective: Ensure the technical integrity of the Clean Water SRF projects through the review of planning, design, plans and specifications, and construction activities.**

Each Clean Water SRF application is assigned to an engineer and is followed through by that engineer until project completion and initiation of operations. Plans and specifications and facilities plans are reviewed and approved by the Department of Environment and Natural Resources. Pre-construction, initial, interim, and final construction inspections are conducted to ensure each project's technical integrity.

#### **Objective: Ensure compliance with all pertinent federal, state, and local water pollution control laws and regulations.**

The state works with all pertinent federal, state, and local agencies to ensure compliance.

#### **Objective: Obtain maximum capitalization of the funds for the state in the shortest time possible.**

The state applied for its capitalization grant during FFY 2011, and state matching funds were in place prior to receiving the grant. Loans are awarded by assessing the following criteria: (1) the availability of funds in the Clean Water SRF program; (2) the applicant's need; (3) violation of health or safety standards; and (4) the applicant's ability to repay. South Dakota has not reverted any capitalization grant funds due to the eight-quarter time limit. Funds are usually awarded within one year of receiving each capitalization grant.

### **Long-term Goals and Objectives**

In its 2011 Intended Use Plan, the state of South Dakota identified two long-term goals and two objectives to be accomplished.

**Goal: To fully capitalize the Clean Water SRF.**

The state has received and obligated each capitalization grant in the required time period and has had state match moneys available for each capitalization grant. As of September 30, 2011, South Dakota has made binding commitments to fully utilize all but \$6,439,485 of its capitalization awards and associated state matching funds.

**Goal: To maintain or restore and enhance the chemical, physical, and biological integrity of the state's waters for the benefit of the overall environment, the protection of public health, and the promotion of economic well-being.**

The state has awarded 288 loans to 116 entities to assist with construction of wastewater, storm sewer, and nonpoint source projects.

**Objective: Maintain a permanent, self-sustaining Clean Water SRF program that will serve in perpetuity as a financing source for wastewater treatment works projects and nonpoint source pollution control projects.**

By ensuring that all loans are made to financially sound and responsible borrowers, the Clean Water SRF program will serve in perpetuity for South Dakota's wastewater, storm sewer, and nonpoint source projects.

**Objective: Fulfill the requirements of pertinent federal, state, and local laws and regulations governing water pollution control activities while providing the state and local project sponsors with maximum flexibility and decision-making authority regarding such activities.**

The state has tailored its Handbook of Procedures to be customer service oriented and user friendly for Clean Water State Revolving Fund Loan program recipients. The handbook also allows for maximum program flexibility while continuing to maintain sufficient state oversight of the program's activities.

**Environmental Results**

Effective January 1, 2005, states were required to quantify and report the environmental benefits being realized through the Clean Water SRF program. The reporting requirement is being accomplished using an on-line environmental benefits assessment developed by EPA in cooperation with the states and other organizations. The Clean Water Benefits Reporting Summary for each loan made in FFY 2011 can be found in Addendum B.

## DETAILS OF ACTIVITIES

### Fund Financial Status

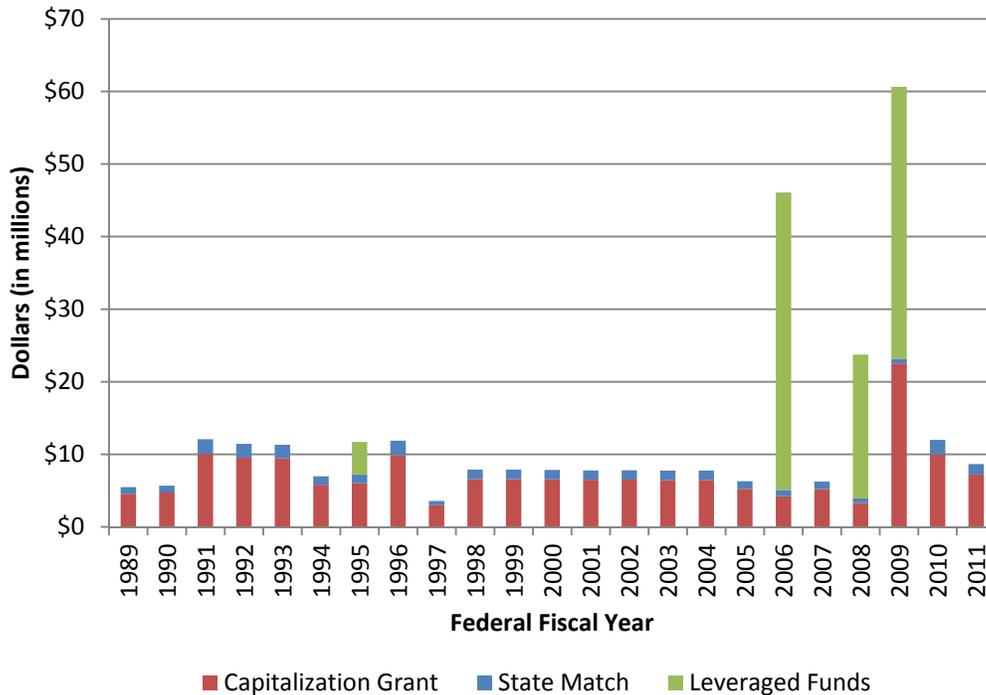
**Sources of Funds:** During FFY 2011, funding from the following sources was made available for award under the Clean Water SRF program:

FFY 2011 federal capitalization grant	\$7,222,000
FFY 2011 state match	1,444,400
Principal repayments *	23,846,239
Interest*	5,225,722
<b>Total</b>	<b>\$37,738,361</b>

\* Amount transferred to cumulative excess accounts and available to loan

Figure 1 shows the source of funds available cumulatively.

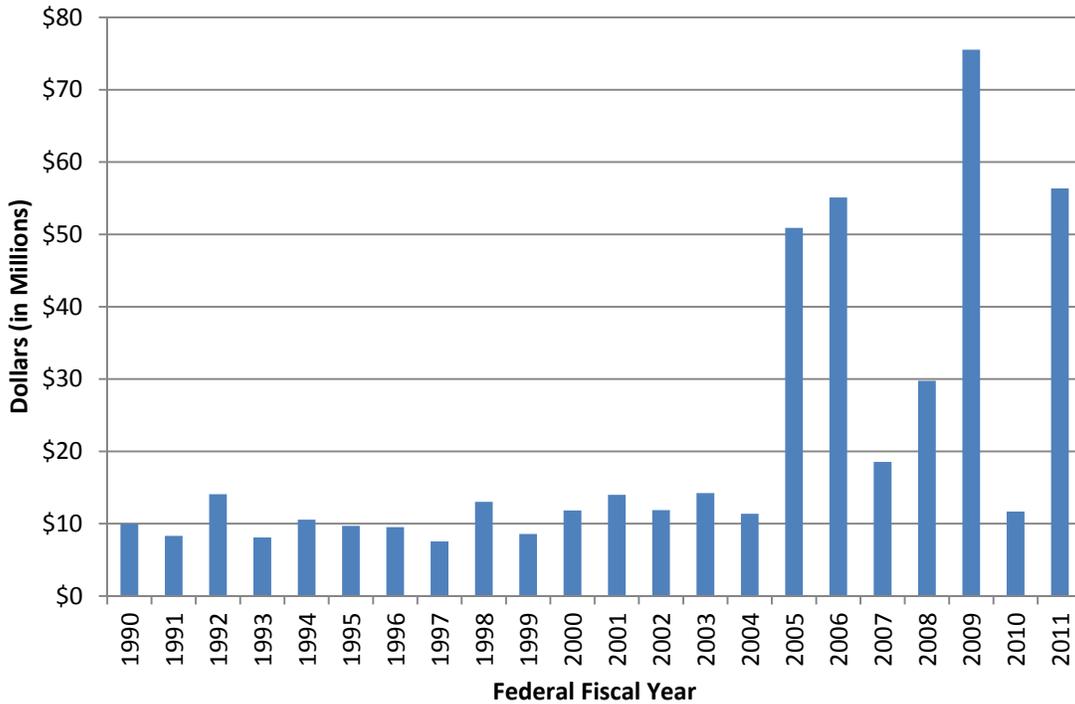
**FIGURE 1**  
**Source of State Revolving Funds by Year**



**Binding Commitments:** In order to provide financial assistance for Section 212 (wastewater and storm water) and nonpoint source projects, the state approved 25 binding commitments totaling \$56,355,550. Exhibit I lists the recipients of these Clean

Water SRF loans, and Exhibit II details the needs categories for those projects. Figure 1 shows the total amount of binding commitments made by year.

**FIGURE 2**  
**Binding Commitments Made by Year**



**Operating Revenues and Expenses:** Fund revenues consisted of capitalization grants, administrative expense surcharge payments, and interest earned on loans and investments. In state fiscal year 2011 (July 1, 2010-June 30, 2011) these earnings totaled \$21,289,438.37. Fund expenses included administrative expenditures, interest payable on bonds, the amortization of each bond's issuance cost, and a refund of prior year revenue. These expenses totaled \$11,108,978.49. Additionally, \$30,194.90 was transferred out to the DENR indirect cost pool, and \$10,000,000 was transferred in from the Drinking Water SRF program to meet anticipated demand. The Statement of Revenues, Expenses, and Changes in Fund Net Assets is shown on Exhibit X.

**Disbursements and Guarantees:** There were no loan guarantees during FFY 2011.

**Findings of the Annual Audit and EPA Oversight Review:** The Clean Water SRF program was audited by the South Dakota Department of Legislative Audit for state fiscal year 2010 (July 1, 2009, through June 30, 2010), and the audit report was issued on January 28, 2011. The audit did not contain any written findings or recommendations.

Region VIII conducted its annual oversight review of the South Dakota Drinking Water SRF program. A final report was received in March 2011, and there were no recommendations from the visit. Region VIII conducted a visit to the DENR offices and one construction site in February and July 2011, to discuss Recovery Act progress.

### **Assistance Activity**

Exhibits I through VIII illustrate the assistance activity of the Clean Water SRF in FFY 2011.

- |              |  |
|--------------|--|
| Exhibit I    | The recipients that received Clean Water SRF loans during FFY 2011.  |
| Exhibit II   | The assistance amount provided to each project by needs category.  |
| Exhibit III  | The total Clean Water SRF dollars available by fiscal year, capitalization amounts, state match, and leveraged amounts.  |
| Exhibit IV   | The cash draws and administrative disbursements for FFY 2011.  |
| Exhibit V    | The estimated and actual cash disbursement schedule from the federal Letter of Credit for FFY 2011. The estimated schedule was established by the state and EPA through the annual capitalization grant application process. |
| Exhibit VI   | The environmental review and land purchase information for the loans made in FFY 2011.   |
| Exhibit VII  | Loan transactions by borrower as of September 30, 2011.  |
| Exhibit VIII | Projected principal and interest payments for FFY 2012.  |

### **Provisions of the Operating Agreement/Conditions of the Grant**

The state of South Dakota agreed to a number of conditions in the Operating Agreement and Capitalization Grant Agreement. The following conditions have been met and need no further description:

1. Agreement to accept payments
2. Cash draws for Clean Water SRF program separate

3. Prior incurred costs not as state match
4. Revenues dedicated for repayment of loans
5. Procurement actions - 40 CFR Part 31
6. Administrative surcharge
7. State match
8. Cash draw schedule
9. Anti-lobbying
10. Expenditure of state matching funds
11. Deposit of state matching funds with federal moneys
12. Binding commitment ratio
13. Timely and expeditious use of funds
14. No transfer of Title II funds
15. Conduct environmental reviews
16. Eligibility of storm sewers
17. Clean Water SRF contains an 83.33 percent federal and 16.67 percent state split
18. State transferred its FFY 2002 and 2003 capitalization grants to the Drinking Water SRF program and will transfer a portion of its FFY 2004 capitalization grant to that program as well, to the maximum extent allowed.
19. Prior to executing binding commitments on Clean Water SRF projects, the Regional Administrator must certify project compliance with Title VI of the Civil Rights Act. All loan recipients must submit a project certification form (EPA 4700-4) to DENR.

The following grant conditions are described in detail below:

1. Establishment of Minority Business Enterprise (MBE)/Women's Business Enterprise (WBE) Goals and Submittal of MBE/WBE Utilization Report.

The state and EPA have agreed on "fair share" goals of 1 percent MBE and 4 percent WBE. The actual MBE/WBE participation achieved for FFY 2011 was 4.12 percent MBE and 1.41 percent for WBE.

2. The state must agree to use at least \$669,233 and no more than \$2,230,777 of the funds provided by the FY 2011 capitalization grant for additional subsidy.

The state provided the maximum amount allowable of its FY 2011 capitalization grant in additional subsidies to eligible recipients.

3. The state must make a timely and concerted solicitation for projects that address green infrastructure, water or energy efficiency improvements in an amount equal to at least 20 percent of the capitalization grant.

Green reserve projects comprised 20 percent of the FY 2010 capitalization grant and 25.7 percent of the FY 2011 capitalization grant.

#### 4. Davis-Bacon Wage Rate Requirements

The state contracts with the six planning districts to monitor Davis-Bacon Wage rate requirements for all entities with the exception of Sioux Falls, which provides its own reporting.

#### **2012 Intended Use Plan**

The Annual Report contains the 2012 Intended Use Plan as approved by the Board of Water and Natural Resources on November 10, 2011. The 2012 Intended Use Plan is included in the Annual Report as Addendum A.



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**SOUTH DAKOTA**

**CLEAN WATER**

**STATE REVOLVING FUND**

**LOAN PROGRAM HISTORY**

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## **INITIATION OF THE PROGRAM**

The State Water Pollution Control Revolving Loan Fund, also known as the Clean Water State Revolving Fund Loan program (SRF), is a low-interest loan program to finance the construction of wastewater facilities, storm sewers, and nonpoint source pollution control projects. The program was created by the 1987 Clean Water Act amendments. Funds are provided to the states in the form of capitalization grants awarded annually through the United States Environmental Protection Agency.

The 1988 South Dakota Legislature authorized the State Water Pollution Control Revolving Loan Fund program. Additionally, the legislature appropriated \$1,200,000 and directed the South Dakota Conservancy District, in its capacity as the Board of Water and Natural Resources, to administer the program.

## **CAPITALIZATION GRANTS**

Since 1988, the Conservancy District has received 22 base capitalization grants totaling \$139,973,200. In order to receive each of the capitalization grants, the Conservancy District must have state matching funds in place equal to at least 20 percent of each grant. To meet this requirement, the Conservancy District used the state appropriation as well as revenue bonds and administrative expense surcharge funds to provide for the required \$27,994,640 in state matching funds. In addition to the base capitalization grants, the Conservancy District received \$19,239,100 in American Recovery and Reinvestment Act funds, for which no match was required. Exhibit III shows the capitalization grant and state match amounts by year.

## **STATE MATCHING FUNDS**

The federal capitalization grants are matched by state funds at a ratio of 5 to 1. The 1988 appropriation of \$1,200,000 is the only state match provided through the state appropriation process. The first program bonds were issued for state match purposes in 1989. To date, \$28,389,768 in state match bonds have been issued for state match. Table 6 recaps the state match bond issues.

Additionally, \$2,595,720 Clean Water SRF administrative surcharge fees have been used for state match. The administrative surcharge fees are structured as a component of the interest rate paid by Clean Water SRF borrowers.

**Table 6**  
**Clean Water State Revolving Fund Program**  
**Bond and Note Issues**

Series	Clean Water SRF			True Interest Cost	Bond Ratings	
	Match	Refund	Leveraged		Moody's	S & P
1989	\$5,875,000			7.12%		AAA*
1992	\$4,180,000			6.83%		BBB
1994	\$631,195	\$9,299,195		5.01%	A	
1995	\$3,462,460		\$4,507,540	5.94%	A1	
1996	\$2,770,000			5.86%	A1	
2001	\$4,405,000			4.85%	Aa1	
2004		\$11,450,913		4.48%	Aaa	AAA
2005	\$1,558,349		\$41,000,000	4.36%	Aaa	AAA
2008	\$1,964,580		\$19,826,250	**	VMIG-1	A-1+
2009 <sup>B</sup>			\$37,455,570	0.584%	MIG-1	SP-1+
2010 <sup>B</sup>	\$3,543,094	\$37,455,570	(\$3,543,094)	0.35%	MIG-1	SP-1+
2010A		\$26,315,168		3.394%		
2010B		\$32,097,173		3.588%	Aaa	AAA
	\$28,389,678	\$116,618,019	\$99,246,266			

\* Insured by Capital Guaranty Insurance Company

\*\* Multi-modal variable rate issue

Initial Pricing March 2008: 2.35% in effect until August 1, 2008

Rate Reset on August 1, 2008: 1.90% in effect until February 1, 2009

Rate Reset on February 1, 2009: 1.00% in effect until August 1, 2009

Rate Reset on August 1, 2009: 0.70% in effect until February 1, 2010

Rate Reset on February 1, 2010: 0.34% in effect until August 1, 2010

Rate Reset on August 1, 2010: 0.40% in effect until February 1, 2011 redemption date

<sup>B</sup> Bond Anticipation Notes

### LEVERAGED PROGRAM BONDS AND NOTES

The Conservancy District has the authority to issue revenue bonds and notes above the amount required for state match to leverage additional funds for the programs. Leveraged bonds for the Clean Water SRF program were issued in 1995, 2005, and 2008. The Series 2005 bonds initially provided \$33,500,000 of leveraged funds for the Clean Water SRF program. Subsequently, the District transferred an additional \$7,500,000 of Series 2005 leveraged bond proceeds to the Clean Water SRF program from the Drinking Water SRF program (see Table 7).

In August 2009, the Series 2009 Bond Anticipation Notes provided \$37,455,570 in leveraged funds for the program. The 2010 Bond Anticipation Notes were issued in August 2010 to pay the redemption price of the Series 2009 Bond Anticipation Notes which were due on

September 10, 2010. Concurrent with the redemption, the District converted \$3,543,094 of the proceeds to state match funds reducing the amount of Clean Water SRF leveraged funds to \$33,912,476. The cumulative amount of leveraged bonds and notes for the Clean Water SRF program is \$99.25 million. Table 6 recaps the leveraged bonds and notes.

### TRANSFERS BETWEEN PROGRAMS

In federal fiscal years 2002 and 2003, because of the demand on the Drinking Water program, the Clean Water SRF capitalization grants and state match were transferred to the Drinking Water SRF program (see Table 7). These grants amounted to \$12,978,600, with a corresponding state match of \$2,595,720. In 2006, \$7,500,000 of the Series 2005 Drinking Water bond proceeds were transferred to the Clean Water program to meet demand, and in 2010, \$10,000,000 of repayment funds were transferred to the Clean Water program.

**Table 7**  
**Transfers between Clean Water SRF and Drinking Water SRF Programs**

From	To	Grant Year	Capitalization Grant	State Match	Lev. Series	Bonds/ Repayment Transferred	Total
Clean Water SRF	Drinking Water SRF	2002	\$6,510,800	\$1,302,160			\$7,182,960
Clean Water SRF	Drinking Water SRF	2003	\$6,467,800	\$1,293,560			\$7,761,360
Drinking Water SRF	Clean Water SRF				2005	\$7,500,000	\$7,500,000
Drinking Water SRF	Clean Water SRF					\$10,000,000	\$10,000,000

### OTHER FUNDS

The Clean Water SRF program is intended to revolve in perpetuity. As borrowers repay their loans, the principal repayments are used to pay debt service on leveraged bonds. Excess repayments are then available to be loaned. The first principal repayment loan was made in 1995. The interest repaid by borrowers and investment earnings are dedicated to pay debt service on state match bonds. The excess interest (unrestricted cumulative interest) is then available to be loaned. The first unrestricted cumulative interest loan was made in 2005. When the federal capitalization grants cease, all loans will be made from these sources.

### TRUSTEE

The First National Bank in Sioux Falls has been the trustee since the onset of the program in 1989. The trustee manages and invests funds and accounts for the Clean Water SRF program including the escrow accounts, issues amortization schedules, disburses loan funds, and accepts all repayments from each of the program's loans.

## **BOND COUNSEL**

Kutak Rock served as bond counsel for the Series 1989 and 1992 bond issues. Altheimer & Gray served as bond counsel for the Series 1994A, 1995A, 1996A, and 2001 State Revolving Fund Revenue Bonds. In July 2003, Altheimer & Gray law firm dissolved, and Perkins Coie LLP was retained to serve as bond counsel.

## **UNDERWRITER**

Shearson Lehman served as underwriter for the Series 1989 and 1992 State Revolving Fund Revenue Bond issues, and Piper Jaffray served as underwriter for the Series 1994A, 1995A, and 1996A State bond issues. Dougherty and Company was selected as underwriter for the Series 2001 bonds. UBS Financial Services served as underwriter for the Series 2004 and 2005 State Revolving Fund Program Bonds. Wachovia Bank, National Association was selected as underwriter and remarketing agent for the Series 2008 bonds. Piper Jaffray & Company was selected through a competitive bid process as the underwriter for the Series 2009 Bond Anticipation Notes. JP Morgan Securities LLC was selected through a competitive bid process as the underwriter for the Series 2010 Bond Anticipation Notes.

In October 2010, a request for proposals was circulated for investment banking services. Three firms were retained to provide investment banking services through December 2013. For the 2010 Series Bonds, J.P. Morgan served as the book running senior manager and Piper Jaffray & Co. and Wells Fargo Bank, N.A. served as co-senior manager and co-manager, respectively.

## **FINANCIAL ADVISOR**

In September 2003, Public Financial Management (PFM) was retained to perform financial analyses of the SRF programs. PFM designed a comprehensive program cash flow model that assessed financial impacts of transfers between the Clean Water and Drinking Water programs, maintenance of SRF funds in perpetuity, short- and long-term effects of refunding some or all of the District's outstanding debt, financial impacts of leveraging the Drinking Water SRF program, and financial impacts of various methods by which required state matching funds may be provided.

In April 2011, a request for proposals was circulated for the State Revolving Fund programs' financial advisor, and Public Financial Management was again retained.

## **EPA REGION VIII**

Region VIII of the Environmental Protection Agency oversees the South Dakota Clean Water State Revolving Fund program. EPA assists the state in securing capitalization grants and guides the Conservancy District in its administration of the program.

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**CLEAN WATER**

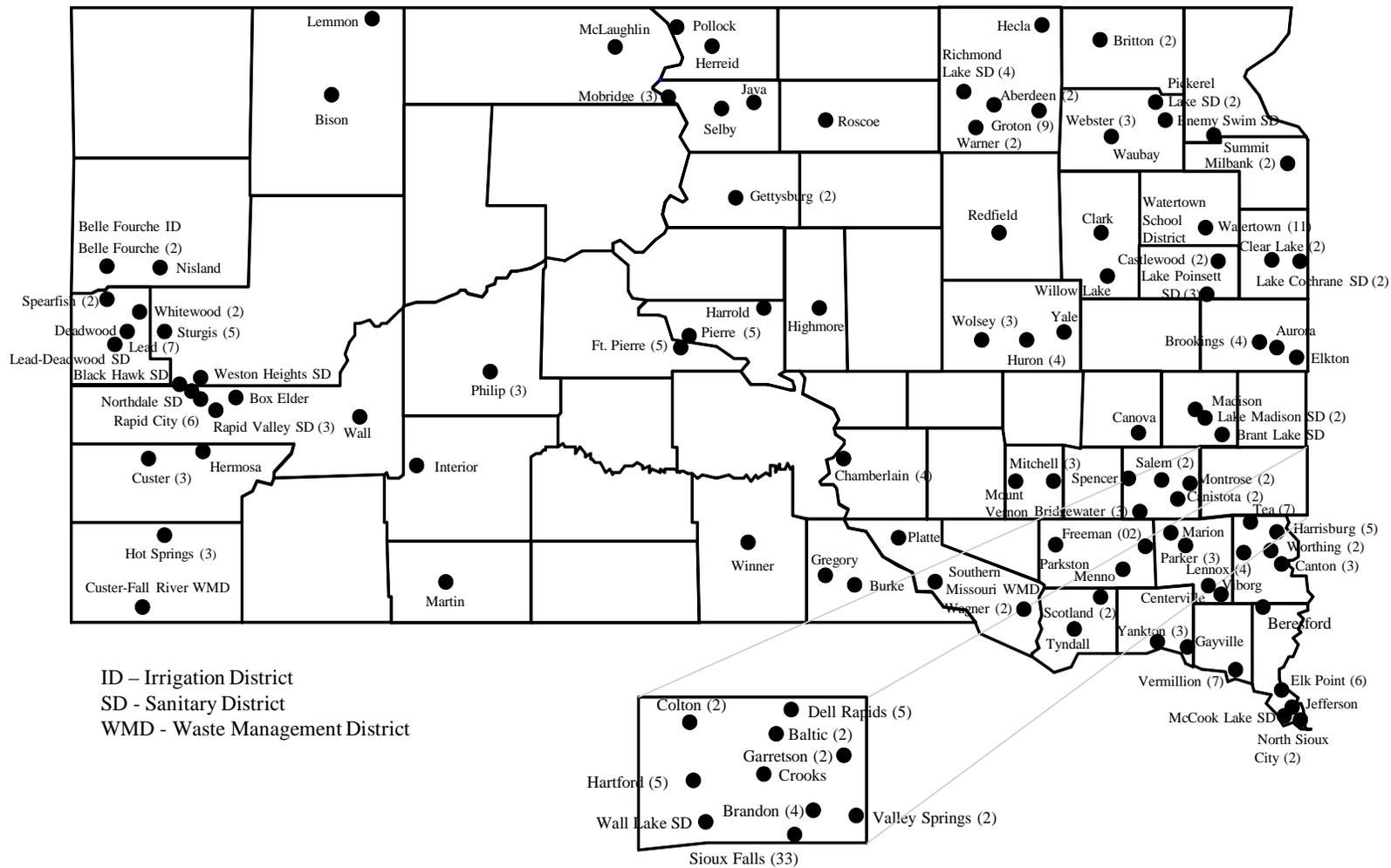
**STATE REVOLVING FUND**

**LOAN PORTFOLIO**

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**FIGURE 3**  
**Clean Water State Revolving Fund Loans**



**Table 8**  
**State of South Dakota**  
**Clean Water State Revolving Fund Loan Program Portfolio**

**Active Clean Water SRF Loans**

<b>Sponsor</b>	<b>Binding Commitment Date</b>	<b>Rate</b>	<b>Term (years)</b>	<b>Original Binding Commitment Amount</b>	<b>Final Loan Amount</b>
Aberdeen (01)	01/06/2005	2.25%	20	\$12,062,600	\$12,062,600
Aberdeen (01NPS)	01/06/2005	2.25%	20	\$1,156,259	\$1,156,259
Aberdeen (02)	06/28/2007	3.25%	20	\$6,000,000	\$6,000,000
Aurora (01)	07/27/2000	5.0%	20	\$410,000	\$309,759
Aurora (02) - ARRA	07/23/2009	3.25%	30	\$660,000	\$421,303
Baltic (01)	06/27/2002	3.5%	20	\$465,000	\$405,646
Baltic (02) - ARRA	06/25/2009	3.0%	20	\$433,000	\$276,164
Belle Fourche (01)	08/22/1990	3.0%	20	\$253,000	\$253,000
Belle Fourche Irrigation Dist (01)	06/24/2011	0%	-	\$200,000	\$200,000
Bison (01)	06/24/2011	3.0%	20	\$504,050	\$504,050
Black Hawk Sanitary District (01)	06/26/2003	3.5%	20	\$589,600	\$477,823
Brandon (04) - ARRA	06/25/2009	2.25%	10	\$383,250	\$383,250
Brant Lake Sanitary District (01)	06/24/2010	3.25%	30	\$1,700,000	\$1,700,000
Bridgewater (01)	09/25/1997	5.25%	20	\$120,000	\$90,328
Bridgewater (02)	06/23/2005	3.25%	20	\$321,600	\$321,600
Bridgewater (03)	06/24/2011	3.25%	30	\$261,000	\$261,000
Britton (02)	09/26/2002	3.5%	20	\$322,500	\$291,854
Brookings (02) - ARRA	03/27/2009	3.0%	20	\$1,190,000	\$744,545
Brookings (03)	06/24/2010	3.0%	20	\$665,000	\$665,000
Brookings (04)	06/24/2011	3.0%	20	\$483,538	\$483,538
Burke (01)	01/05/2006	3.25%	20	\$155,000	\$155,000
Canistota (01) - ARRA	03/27/2009	3.25%	30	\$616,840	\$616,840
Canistota (02)	12/16/2009	3.25%	30	\$188,669	\$188,669
Canova (01)	01/07/2011	3.25%	30	\$262,500	\$262,500
Canton (02)	01/10/2003	3.5%	20	\$600,000	\$600,000
Canton (03) - ARRA	03/27/2009	3.0%	20	\$2,462,000	\$2,462,000
Castlewood (01)	01/31/2002	3.5%	20	\$250,000	\$215,859
Castlewood (02)	06/22/2006	3.25%	20	\$160,000	\$160,000
Centerville (01)	06/27/2002	3.5%	20	\$500,000	\$500,000
Clark (01)	01/10/2003	3.5%	20	\$400,000	\$400,000
Clear Lake (02)	06/25/2004	3.25%	20	\$910,000	\$687,227
Colton (01)	09/22/2005	3.25%	20	\$204,500	\$178,332
Colton (02)	03/25/2011	3.0%	20	\$189,200	\$189,200
Crooks (01)	03/27/2008	3.25%	20	\$697,000	\$421,975
Dell Rapids (02)	01/05/2006	3.25%	20	\$731,737	\$561,737
Dell Rapids (03)	09/27/2007	3.25%	20	\$1,062,000	\$1,062,000

<b>Sponsor</b>	<b>Binding Commitment Date</b>	<b>Rate</b>	<b>Term (years)</b>	<b>Original Binding Commitment Amount</b>	<b>Final Loan Amount</b>
Dell Rapids (04)	09/25/2008	3.25%	20	\$950,000	\$950,000
Dell Rapids (05)	09/24/2010	3.0%	20	\$1,185,995	\$1,185,995
Elk Point (02)	01/31/2002	3.5%	20	\$450,000	\$450,000
Elk Point (03)	06/26/2003	3.5%	20	\$345,000	\$345,000
Elk Point (04)	06/22/2006	3.25%	20	\$100,000	\$100,000
Elk Point (05)	06/26/2008	3.25%	20	\$150,000	\$150,000
Elk Point (06) - ARRA	07/23/2009	3.0%	20	\$931,700	\$607,840
Elkton (01) - ARRA	03/27/2009	3.0%	20	\$510,000	\$510,000
Fort Pierre (02)	01/31/2002	3.5%	15	\$462,500	\$462,500
Fort Pierre (03)	01/09/2004	3.5%	20	\$450,000	\$443,223
Fort Pierre (05)	02/11/2009	3.0%	20	\$900,000	\$900,000
Freeman (01)	01/06/2005	2.5%	10	\$300,000	\$300,000
Freeman (02)	06/26/2008	3.25%	20	\$800,000	\$800,000
Garretson (02)	03/27/2008	3.25%	20	\$507,445	\$503,239
Gayville (01)	06/25/2004	3.25%	20	\$275,000	\$262,972
Gettysburg (01)	06/25/2009	3.25%	30	\$624,000	\$537,758
Gregory (01)	08/26/2009	3.0%	20	\$357,000	\$241,574
Groton (03)	07/23/1997	5.25%	20	\$635,000	\$470,809
Groton (04)	03/28/2003	3.5%	20	\$163,775	\$126,648
Groton (05)	03/28/2003	3.5%	20	\$440,000	\$440,000
Groton (06)	01/03/2008	3.25%	20	\$150,000	\$56,368
Groton (07) - ARRA	06/25/2009	3.0%	20	\$907,700	\$707,200
Groton (08)	06/24/2010	2.25%	10	\$322,000	\$322,000
Groton (09)	06/24/2011	2.25%	10	\$485,000	\$485,000
Harrisburg (03)	06/25/2009	3.25%	30	\$5,911,800	\$2,555,790
Harrisburg (04)	03/25/2011	2.25%	10	\$1,435,340	\$1,435,340
Harrisburg (05)	03/25/2011	3.0%	20	\$1,783,760	\$1,783,760
Harrold (01)	06/26/2008	3.25%	20	\$170,000	\$162,372
Hartford (01)	04/13/2000	5.0%	20	\$504,000	\$504,000
Hartford (02)	04/13/2000	5.0%	20	\$690,804	\$690,804
Hartford (03)	04/12/2002	3.5%	20	\$300,000	\$300,000
Hartford (04)	01/10/2003	3.5%	20	\$550,035	\$550,035
Hartford (05)	06/28/2007	3.25%	20	\$583,000	\$523,629
Hecla (01)	07/06/2009	3.0%	20	\$143,390	\$143,390
Hermosa (01)	03/25/2011	3.25%	30	\$303,604	\$303,604
Herreid (01)	03/25/2011	3.25%	30	\$694,300	\$694,300
Highmore (01)	04/12/2002	3.5%	20	\$262,300	\$262,300
Hot Springs (02)	09/24/2010	3.0%	20	\$1,453,000	\$1,453,000
Hot Springs (NPS-01)	01/13/1994	5.0%	20	\$930,000	\$930,000
Huron (03)	09/19/1995	5.25%	20	\$2,700,000	\$1,856,828
Interior (01)	06/24/2011	3.25%	30	\$250,000	\$250,000

<b>Sponsor</b>	<b>Binding Commitment Date</b>	<b>Rate</b>	<b>Term (years)</b>	<b>Original Binding Commitment Amount</b>	<b>Final Loan Amount</b>
Java (01)	06/24/2011	3.25%	30	\$438,325	\$438,325
Jefferson (01)	03/28/2003	3.5%	20	\$320,000	\$166,084
Lake Cochrane San Dist (02)	01/08/2004	3.5%	20	\$160,000	\$156,111
Lake Madison San Dist (02)	09/25/2003	3.5%	20	\$875,000	\$613,419
Lake Poinsett San Dist (02)	06/28/2007	3.5%	30	\$1,094,700	\$1,094,700
Lake Poinsett San Dist (03)	09/24/2010	3.25%	30	\$3,075,000	\$3,075,000
Lead (04)	07/27/2000	4.5%	10	\$239,200	\$239,200
Lead (05)	01/06/2005	3.25%	20	\$333,700	\$220,029
Lead (06)	06/28/2007	3.25%	20	\$240,000	\$240,000
Lead (07)	09/24/2010	3.0%	20	\$200,000	\$200,000
Lennox (01)	06/27/1996	5.25%	20	\$350,000	\$350,000
Lennox (02)	07/23/1997	5.25%	20	\$600,000	\$583,735
Lennox (03) - ARRA	06/25/2009	0%	-	\$1,565,760	\$1,565,760
Lennox (04) - ARRA	06/25/2009	3.25%	30	\$1,942,273	\$1,942,273
Madison (02)	09/27/2007	3.25%	20	\$5,343,256	\$4,986,796
Marion (01)	09/25/2008	3.5%	30	\$1,710,000	\$1,710,000
Martin (01)	03/27/2008	3.25%	20	\$237,250	\$237,250
McCook Lake San Dist (01)	08/29/1991	5.0%	20	\$641,935	\$641,935
McLaughlin (01)	06/24/2011	3.25%	30	\$1,145,675	\$1,145,675
Menno (01)	09/24/2010	3.0%	20	\$240,000	\$240,000
Milbank (01) - ARRA	06/25/2009	3.0%	20	\$3,515,000	\$3,515,000
Milbank (02)	06/25/2009	3.25%	30	\$1,000,000	\$1,000,000
Mitchell (02)	09/25/2003	3.5%	20	\$1,320,000	\$1,320,000
Mitchell (03)	02/11/2009	2.0%	20	\$1,534,224	\$1,534,224
Mitchell (03NPS)	02/11/2009	2.0%	20	\$148,523	\$148,523
Mobridge (03)	04/13/2000	4.5%	10	\$1,355,000	\$1,350,000
Montrose (01)	09/22/2005	2.5%	10	\$142,621	\$34,988
Montrose (02) - ARRA	03/27/2009	3.25%	30	\$804,000	\$804,000
Mount Vernon (01)	09/24/2011	3.25%	30	\$2,300,000	\$2,300,000
Nisland (01)	01/06/2005	3.25%	20	\$204,000	\$204,000
North Sioux City (02)	06/22/1995	5.0%	15	\$646,000	\$646,000
Northville (01)	03/25/2011	3.25%	30	\$238,300	\$238,300
Parker (01)	09/23/2004	3.25%	20	\$824,000	\$430,000
Parker (02)	06/22/2006	3.25%	20	\$620,000	\$480,501
Parker (03) - ARRA	03/27/2009	3.25%	30	\$700,900	\$694,329
Parkston (01)	06/26/2008	3.25%	20	\$650,000	\$635,690
Philip (02)	06/26/1997	5.25%	20	\$325,000	\$321,127
Philip (03)	09/22/2005	3.25%	15	\$347,040	\$316,423
Pickerel Lake San Dist (01)	05/09/1996	5.25%	15	\$850,000	\$850,000
Pierre (04)	03/28/2003	3.5%	20	\$1,378,404	\$1,199,832
Pierre (05)	09/25/2008	3.25%	20	\$976,953	\$976,953

<b>Sponsor</b>	<b>Binding Commitment Date</b>	<b>Rate</b>	<b>Term (years)</b>	<b>Original Binding Commitment Amount</b>	<b>Final Loan Amount</b>
Plankinton (01)	06/24/2011	3.25%	30	\$1,005,744	\$1,005,744
Rapid City (06)	09/23/2009	3.0%	20	\$5,000,000	\$5,000,000
Rapid Valley San Dist (03)	07/29/1996	5.25%	20	\$630,000	\$630,000
Richmond Lake San Dist (03)	03/25/2011	3.0%	20	\$193,600	\$193,600
Richmond Lake San Dist (04)	03/25/2011	3.25%	30	\$339,800	\$339,800
Salem (01)	03/28/2003	3.5%	20	\$592,307	\$518,035
Salem (02)	06/23/2005	3.25%	20	\$387,960	\$387,960
Scotland (01)	03/28/2003	3.5%	20	\$250,000	\$250,000
Scotland (02)	06/24/2011	3.25%	30	\$945,930	\$945,930
Selby (01)	09/24/2010	0%	-	\$700,000	\$700,000
Sioux Falls (01)	04/11/1990	3.0%	20	\$3,316,310	\$2,836,963
Sioux Falls (14)	07/27/2000	4.5%	10	\$5,100,000	\$4,888,537
Sioux Falls (15)	04/12/2002	3.5%	10	\$1,724,000	\$1,467,706
Sioux Falls (16)	01/10/2003	3.5%	10	\$2,479,500	\$2,479,500
Sioux Falls (17)	06/26/2003	3.5%	10	\$932,000	\$561,320
Sioux Falls (18)	07/16/2004	2.5%	10	\$3,951,000	\$3,730,114
Sioux Falls (19)	07/16/2004	2.5%	10	\$801,000	\$415,785
Sioux Falls (20A)	01/06/2005	1.5%	10	\$16,000,000	\$16,000,000
Sioux Falls (20B)	10/19/2005	1.5%	10	\$8,700,000	\$8,700,000
Sioux Falls (20NPS)	01/06/2005	1.5%	10	\$1,249,349	\$1,249,349
Sioux Falls (21A)	03/31/2005	2.25%	20	\$12,500,000	\$12,500,000
Sioux Falls (21B)	10/19/2005	2.25%	20	\$21,608,000	\$20,108,000
Sioux Falls (21NPS)	03/31/2005	2.25%	20	\$3,269,418	\$3,125,636
Sioux Falls (22)	02/07/2006	2.5%	10	\$10,550,000	\$10,550,000
Sioux Falls (23)	03/31/2006	2.5%	10	\$10,323,000	\$10,309,144
Sioux Falls (24)	03/30/2007	2.5%	7	\$500,000	\$500,000
Sioux Falls (25)	01/03/2008	2.5%	10	\$5,657,000	\$5,657,000
Sioux Falls (26)	03/27/2008	2.5%	10	\$3,744,000	\$3,744,000
Sioux Falls (27)	03/27/2008	2.5%	10	\$2,621,000	\$2,621,000
Sioux Falls (28) - ARRA	03/27/2009	2.25%	10	\$1,803,000	\$1,803,000
Sioux Falls (29) - ARRA	03/27/2009	2.25%	10	\$2,540,000	\$1,211,097
Sioux Falls (30) - ARRA	07/23/2009	2.25%	10	\$8,462,000	\$8,462,000
Sioux Falls (31) - ARRA	05/27/2009	2.25%	10	\$1,970,000	\$1,831,523
Sioux Falls (32)	01/07/2011	1.25%	10	\$23,400,000	\$23,400,000
Sioux Falls (32NPS)	01/07/2011	1.25%	10	\$1,189,400	\$1,189,400
Sioux Falls (33)	06/24/2011	1.25%	10	\$14,000,000	\$14,000,000
Sioux Falls (33NPS)	06/24/2011	1.25%	10	\$711,614	\$711,614
Southern Missouri RWMD (NPS-01)	10/06/1994	5.0%	20	\$700,000	\$700,000
Spearfish (02)	01/03/2008	3.25%	20	\$5,900,000	\$5,658,584
Spencer (01)	06/24/2010	3.25%	30	\$230,156	\$230,156
Sturgis (05) - ARRA	08/26/2009	3.0%	20	\$516,900	\$516,900

<b>Sponsor</b>	<b>Binding Commitment Date</b>	<b>Rate</b>	<b>Term (years)</b>	<b>Original Binding Commitment Amount</b>	<b>Final Loan Amount</b>
Tea (03)	06/27/1997	5.25%	20	\$250,000	\$208,813
Tea (04)	05/14/1998	5.0%	15	\$375,000	\$375,000
Tea (05)	06/26/2003	3.5%	20	\$495,490	\$495,490
Tea (06)	06/28/2007	3.25%	20	\$858,000	\$787,174
Tea (07)	06/25/2009	3.0%	20	\$875,000	\$845,000
Tyndall (01)	03/31/2006	3.25%	20	\$795,000	\$795,000
Valley Springs (01)	05/14/1998	5.25%	20	\$430,000	\$422,128
Valley Springs (02)	09/23/2004	3.25%	20	\$350,000	\$350,000
Vermillion (01)	06/07/1990	3.0%	20	\$125,000	\$125,000
Vermillion (03)	03/28/2003	3.5%	20	\$456,000	\$273,965
Vermillion (04)	07/16/2004	3.25%	20	\$3,548,351	\$3,333,994
Vermillion (05)	06/26/2008	3.25%	20	\$4,851,000	\$4,851,000
Vermillion (06) - ARRA	06/25/2009	3.0%	20	\$499,000	\$499,000
Viborg (01)	06/24/2011	3.25%	30	\$883,000	\$883,000
Wagner (01)	06/28/2007	3.25%	20	\$150,000	\$138,329
Wall Lake San Dist (01)	12/13/2001	3.5%	20	\$200,000	\$175,126
Warner (02)	06/24/2011	3.25%	30	\$1,826,760	\$1,826,760
Watertown (03)	06/22/1995	5.25%	20	\$2,600,000	\$2,583,734
Watertown (05)	03/28/2003	3.5%	20	\$2,055,000	\$2,055,000
Watertown (06)	03/31/2006	2.25%	20	\$1,189,145	\$1,151,694
Watertown (06NPS)	03/31/2006	2.25%	20	\$113,985	\$113,985
Watertown (07)	01/05/2007	2.25%	20	\$847,170	\$808,736
Watertown (07NPS)	01/05/2007	2.25%	20	\$81,205	\$81,205
Watertown (08)	01/05/2007	2.25%	20	\$612,877	\$525,041
Watertown (08NPS)	01/05/2007	2.25%	20	\$58,747	\$58,747
Watertown (09) - ARRA	07/23/2009	3.0%	20	\$16,446,000	\$16,446,000
Watertown (10) - ARRA	07/23/2009	3.0%	20	\$3,330,000	\$3,330,000
Watertown (11)	07/23/2009	3.0%	20	\$815,000	\$815,000
Webster (02)	04/12/2002	3.5%	20	\$811,000	\$811,000
Weston Heights San Dist (01)	03/31/2006	3.25%	20	\$638,300	\$600,412
Whitewood (02)	07/27/2000	5.0%	20	\$275,000	\$189,032
Willow Lake (01)	01/08/2004	3.5%	20	\$100,000	\$100,000
Winner (01)	06/22/2006	3.25%	20	\$925,000	\$925,000
Wolsey (01)	09/27/2007	3.25%	20	\$162,300	\$162,300
Wolsey (03)	03/25/2010	3.0%	20	\$901,560	\$901,560
Worthing (01)	06/27/1996	5.25%	20	\$315,725	\$227,645
Worthing (02)	09/27/2007	3.5%	20	\$580,000	\$580,000
Yale (01)	06/24/2011	3.25%	30	\$885,110	\$885,110
Yankton (03)	10/12/2001	3.5%	20	\$6,130,000	\$6,020,406
<b>Total of Active Loans (Open or in Repayment)</b>				<b>\$352,604,043</b>	<b>\$337,158,301</b>

### Closed Clean Water SRF Loans

Sponsor	Binding Commitment Date	Rate	Term (years)	Original Binding Commitment Amount	Final Loan Amount
Belle Fourche (02)	06/22/1995	4.5%	10	\$300,000	\$264,422
Beresford (01)	06/22/2000	4.5%	10	\$1,150,000	\$1,115,852
Box Elder (01)	04/11/1990	3.0%	20	\$648,600	\$648,600
Brandon (01)	03/14/1991	3.0%	10	\$105,000	\$105,000
Brandon (02)	03/31/1993	3.0%	10	\$600,000	\$526,018
Britton (01)	05/13/1999	4.5%	10	\$509,935	\$509,935
Brookings (01)	03/14/1991	4.0%	15	\$188,065	\$188,065
Canton (01)	05/19/1992	4.0%	15	\$621,000	\$515,715
Chamberlain (01)	07/08/1992	3.0%	10	\$350,500	\$350,500
Chamberlain (02)	01/26/1993	3.0%	10	\$265,000	\$265,000
Chamberlain (03)	06/27/1996	5.25%	20	\$2,700,000	\$2,700,000
Chamberlain (04)	03/26/1998	5.25%	20	\$450,000	\$450,000
Clear Lake (01)	06/13/1991	4.0%	15	\$370,000	\$79,537
Custer (01)	04/11/1990	3.0%	20	\$430,000	\$430,000
Custer (02)	07/11/1990	3.0%	20	\$182,000	\$182,000
Custer (03)	08/23/1993	3.0%	10	\$276,000	\$276,000
Custer-Fall River WMD (NPS-01)	06/22/1995	5.0%	20	\$250,000	\$106,939
Deadwood (01)	04/25/1994	4.0%	15	\$582,000	\$447,838
Dell Rapids (01)	12/09/1993	3.0%	10	\$300,000	\$300,000
Elk Point (01)	05/27/1993	4.0%	15	\$458,000	\$458,000
Fort Pierre (01)	05/11/1994	3.0%	10	\$330,294	\$330,294
Garretson (01)	05/11/1994	4.0%	15	\$510,000	\$300,000
Groton (01)	01/13/1994	3.0%	10	\$192,000	\$189,524
Groton (02)	05/11/1994	3.0%	10	\$106,000	\$74,630
Harrisburg (01)	06/23/1999	5.0%	20	\$520,000	\$507,277
Harrisburg (02) - ARRA	06/25/2009	0%	-	\$3,941,200	\$3,941,200
Hot Springs (01)	03/12/1992	3.0%	10	\$196,930	\$196,930
Huron (01)	11/09/1989	3.0%	20	\$1,656,000	\$1,656,000
Huron (02)	06/13/1991	3.0%	10	\$750,000	\$701,997
Lake Cochrane San Dist (01)	04/11/1990	3.0%	20	\$80,000	\$80,000
Lake Madison San Dist (01)	03/14/1991	4.0%	15	\$330,000	\$330,000
Lead (01)	07/11/1990	3.0%	20	\$186,409	\$186,409
Lead (02)	07/11/1991	3.0%	10	\$500,770	\$500,770
Lead (03)	05/19/1992	3.0%	10	\$405,000	\$375,298
Lead-Deadwood San Dist (01)	06/07/1990	3.0%	5	\$110,000	\$106,855
Lemmon (01)	04/11/1990	3.0%	20	\$427,100	\$427,100
Madison (01)	03/14/1991	3.0%	10	\$150,000	\$119,416
Mitchell (01)	04/15/1997	4.5%	10	\$2,000,000	\$1,543,405
Mobridge (01)	07/11/1990	3.0%	20	\$1,500,000	\$1,500,000
Mobridge (02)	12/11/1991	4.0%	15	\$158,000	\$158,000

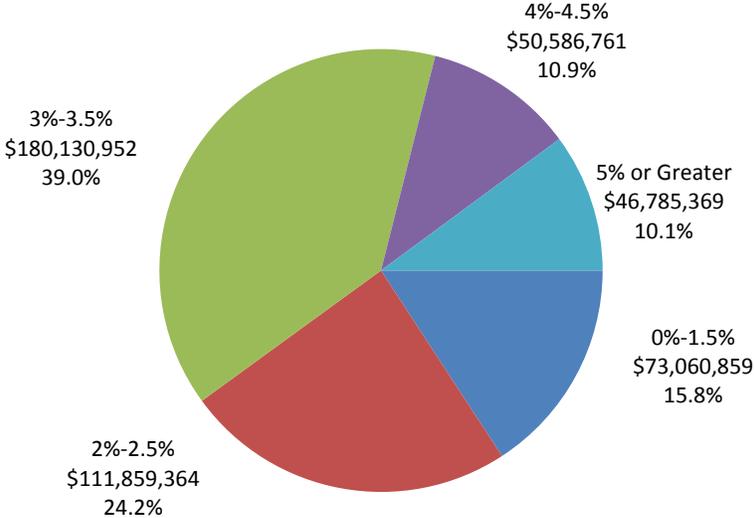
<b>Sponsor</b>	<b>Binding Commitment Date</b>	<b>Rate</b>	<b>Term (years)</b>	<b>Original Binding Commitment Amount</b>	<b>Final Loan Amount</b>
North Sioux City (01)	07/08/1992	3.0%	10	\$239,650	\$239,650
Northdale San Dist (01)	04/25/1994	5.0%	20	\$315,000	\$256,380
Philip (01)	06/22/1995	5.0%	15	\$472,000	\$453,885
Pickerel Lake San Dist (02)	09/25/1997	5.25%	20	\$670,000	\$670,000
Pierre (01)	11/08/1990	4.0%	15	\$600,000	\$433,976
Pierre (02)	03/26/1998	5.25%	20	\$4,417,000	\$4,417,000
Pierre (03)	03/25/1999	5.0%	20	\$5,391,260	\$5,391,260
Platte (01)	03/25/1999	5.0%	20	\$1,000,000	\$975,865
Pollock (01)	09/23/1993	3.0%	10	\$170,000	\$151,619
Rapid City (01)	12/12/1990	4.0%	15	\$2,637,000	\$2,479,905
Rapid City (02)	07/08/1992	4.0%	15	\$1,138,200	\$986,685
Rapid City (03)	06/23/1993	4.0%	15	\$777,500	\$674,577
Rapid City (04)	08/10/1994	4.0%	15	\$1,214,861	\$1,214,861
Rapid City (05)	01/11/2001	4.5%	20	\$14,000,000	\$14,000,000
Rapid Valley San Dist (01)	01/11/1990	3.0%	20	\$614,000	\$614,000
Rapid Valley San Dist (02)	11/10/1994	4.0%	15	\$460,000	\$364,583
Richmond Lake San Dist (01)	06/27/1996	5.25%	20	\$414,000	\$414,000
Richmond Lake San Dist (02)	06/25/1998	5.25%	20	\$226,500	\$191,500
Roscoe (01)	07/29/1996	5.25%	20	\$358,408	\$358,408
Sioux Falls (02)	07/11/1990	3.0%	10	\$453,999	\$453,999
Sioux Falls (03)	12/12/1990	3.0%	10	\$845,000	\$845,000
Sioux Falls (04)	12/12/1990	3.0%	10	\$1,200,000	\$1,200,000
Sioux Falls (05)	03/12/1992	3.0%	10	\$1,955,000	\$1,955,000
Sioux Falls (06)	03/12/1992	3.0%	10	\$700,000	\$700,000
Sioux Falls (07)	01/26/1993	3.0%	10	\$4,500,000	\$4,500,000
Sioux Falls (08)	01/13/1994	3.0%	10	\$1,000,000	\$699,003
Sioux Falls (09)	08/10/1994	3.0%	10	\$1,250,000	\$1,250,000
Sioux Falls (10)	08/10/1994	3.0%	10	\$1,500,000	\$1,432,941
Sioux Falls (11)	06/22/1995	4.5%	10	\$1,250,000	\$1,195,346
Sioux Falls (12)	03/27/1996	4.5%	10	\$1,300,000	\$1,300,000
Sioux Falls (13)	01/09/1997	4.5%	10	\$2,500,000	\$2,083,137
Spearfish (01)	03/12/1992	4.0%	15	\$1,956,000	\$1,956,000
Sturgis (01)	08/23/1993	5.0%	20	\$502,000	\$502,000
Sturgis (02)	06/23/1994	5.0%	20	\$936,250	\$936,250
Sturgis (03)	06/27/1997	5.25%	20	\$450,000	\$437,380
Sturgis (04)	04/14/2000	5.0%	20	\$2,100,000	\$2,100,000
Summit (01) - ARRA	03/27/2009	0%	-	\$100,000	\$100,000
Tea (01)	03/31/1993	4.0%	15	\$600,000	\$600,000
Tea (02)	05/11/1994	4.0%	15	\$600,000	\$600,000
Vermillion (02)	12/09/1993	4.0%	15	\$500,000	\$370,471
Vermillion (NPS-01)	08/10/1995	4.5%	10	\$480,000	\$356,531

<b>Sponsor</b>	<b>Binding Commitment Date</b>	<b>Rate</b>	<b>Term (years)</b>	<b>Original Binding Commitment Amount</b>	<b>Final Loan Amount</b>
Wall (01)	07/22/1999	5.0%	20	\$1,146,000	\$788,600
Warner (01)	03/23/1995	4.5%	10	\$102,000	\$101,152
Watertown (01)	10/09/1991	4.0%	15	\$2,000,000	\$2,000,000
Watertown (02)	08/12/1992	4.0%	15	\$4,000,000	\$4,000,000
Watertown (04)	11/09/1995	5.25%	20	\$2,200,000	\$932,830
Watertown Sch Dist (01) – ARRA	07/23/2009	0%	--	\$503,536	\$399,747
Waubay (01)	02/18/1992	5.0%	20	\$163,487	\$81,454
Webster (01)	03/27/1996	4.5%	10	\$400,000	\$345,394
Webster (03) – ARRA	03/27/2009	0%	-	\$500,000	\$500,000
Whitewood (01)	02/18/1992	4.0%	15	\$200,000	\$180,801
Yankton (01)	12/10/1997	5.25%	20	\$2,625,000	\$2,625,000
Yankton (02)	12/10/1997	6.0%	20	\$4,500,000	\$4,500,000
<b>Total of Closed Loans</b>				<b>\$104,919,454</b>	<b>\$99,460,716</b>
<b>GRAND TOTAL</b>				<b>\$457,523,497</b>	<b>\$436,619,017</b>

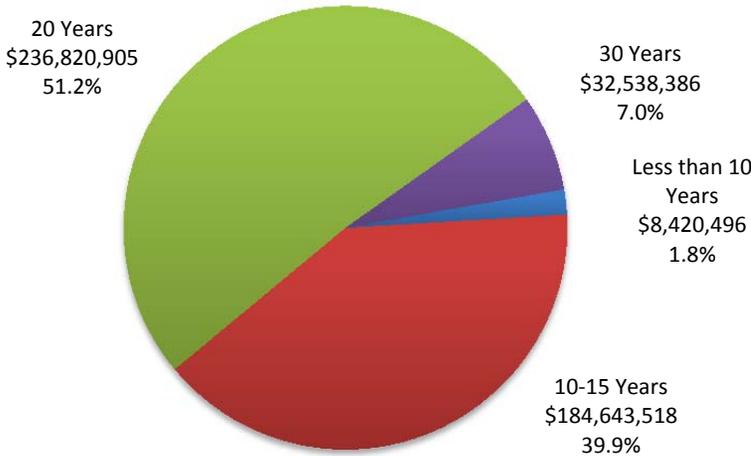
**Table 9**  
**State of South Dakota**  
**Clean Water State Revolving Fund Loans Deobligated in Full or Rescinded by Board**

<b>Sponsor</b>	<b>Binding Commitment Date</b>	<b>Rate</b>	<b>Term (years)</b>	<b>Original Binding Commitment Amount</b>	<b>Final Loan Amount</b>
Brandon (03) - ARRA	06/25/2009	2.25%	10	\$687,000	\$0
Enemy Swim San Dist (01) - ARRA	03/27/2009	0%	-	\$300,000	\$0
Fort Pierre (04)	03/30/2007	3.25%	20	\$374,620	\$0
Huron (04)	01/06/2005	3.25%	20	\$1,500,000	\$0
Lake Poinsett San Dist (01)	01/06/2005	3.25%	20	\$590,000	\$0
Redfield (01)	06/23/2005	3.25%	20	\$333,788	\$0
Wagner (02)	07/23/2009	3.25%	30	\$500,000	\$0
Wolsey (02)	03/27/2009	3.00%	20	\$614,400	\$0
<b>TOTAL DEOBLIGATED OR RESCINDED</b>				<b>\$4,899,808</b>	<b>\$0</b>
<b>GRAND TOTAL OF ALL LOANS</b>				<b>\$462,423,305</b>	<b>\$436,919,017</b>

**FIGURE 4**  
**Clean Water SRF Interest Rates**  
**By Percent of Loan Portfolio**  
**(\$462.4 Million)**



**FIGURE 5**  
**Clean Water SRF Loan Terms**  
**by Percentage of Loan Portfolio**  
**(\$462.4 Million)**



## CLEAN WATER SRF PROJECT DESCRIPTIONS

**ABERDEEN** – Aberdeen received its first loan for wastewater treatment plant improvements and upgrades and nonpoint source best management practices. The loan was for \$13,218,859 at 2.25 percent for 20 years. The second loan, in the amount of \$6,000,000 at 3.25 percent for 20 years, funded the second phase of the wastewater treatment plant improvement project.

**AURORA** – Aurora received a \$410,000 loan at 5 percent interest for 20 years. The project upgraded the city's wastewater collection system. The city's second loan was for \$660,000, at 3.25 percent for 30 years, and included \$300,000 of principal forgiveness through the American Recovery and Reinvestment Act of 2009. This loan was to upgrade the city's single cell wastewater treatment lagoon to an artificial wetland treatment system.

**BALTIC** – Baltic received a \$465,000 loan at 3.5 percent for 20 years to fund sewer main and lift station replacement. Baltic's second loan was for \$433,000 to install rip rap at the wastewater treatment lagoon cells. This loan was at 3 percent for 20 years and included \$200,000 of principal forgiveness through the American Recovery and Reinvestment Act of 2009.

**BELLE FOURCHE** – The city of Belle Fourche received two loans totaling \$517,422. The first loan, at 3 percent for 20 years, was used to construct sanitary sewer lines and manholes. The second loan, at 4.5 percent for 10 years, was used to upgrade the city's primary sanitary force main.

**BELLE FOURCHE IRRIGATION DISTRICT** – The Belle Fourche Irrigation District received a \$200,000 loan with 100 percent principal forgiveness. The loan was to partially fund irrigators' conversion from flood irrigation to more efficient sprinkler systems.

**BERESFORD** – The city of Beresford received a 4.5 percent, 10-year loan in the amount of \$1,150,000 to improve sanitary sewer and storm sewer lines in conjunction with a South Dakota Department of Transportation street reconstruction project.

**BISON** – Bison received its first State Revolving Fund loan to install a storm water collection system and construct a bio-retention pond to manage storm water flows. The loan was in the amount of \$504,050 at 3 percent for 20 years.

**BLACK HAWK SANITARY DISTRICT** – Black Hawk Sanitary District's Clean Water SRF loan in the amount of \$589,600 loan at 3.5 percent for 20 years was used for a wastewater improvement project. The district's wastewater is run through the Northdale Sanitary District to be treated by the city of Rapid City.

**BOX ELDER** – Box Elder utilized a \$648,600 Clean Water SRF loan at 3 percent for 20 years to refinance existing sewer debt incurred to expand its treatment facility.

**BRANDON** – The city of Brandon received two Clean Water SRF loans totaling \$631,018 for a storm drainage project and for the construction of a force main to convey partially treated wastewater from Brandon to the Sioux Falls wastewater treatment plant as well as the associated pumping station and improvements to the existing treatment facility. Both loans were for 10 years at 3 percent interest. Brandon's third loan, for \$687,000 at 2.25 percent for 10 years, was to reroute the force main leading to

the wastewater treatment facility. Brandon's fourth loan, for \$383,250 at 2.25 percent for 10 years, was to construct a storm water detention pond on the east side of the city. The third and fourth loans received 10 percent principal forgiveness (\$68,700 and \$38,325, respectively) through the American Recovery and Reinvestment Act of 2009. The third loan was rescinded at the city's request due to uncertainties concerning the route of the force main and the time limitations imposed by the American Recovery and Reinvestment Act.

**BRANT LAKE SANITARY DISTRICT** - The Brant Lake Sanitary District received a \$1,700,000 loan to construct a wastewater collection system to serve the residents of Brant Lake and convey wastewater to the Chester Sanitary District's wastewater treatment facility. The loan was at 3.25 percent for 30 years.

**BRIDGEWATER** – The city of Bridgewater constructed storm sewer along the north and east sides of the city to connect to an existing inlet basin with its first loan, a 20 year, 5.25 percent loan for \$90,328. The city's second loan, for \$321,600 at 3.25 percent for 20 years funded improvements to the sanitary sewer system. Bridgewater's third loan was in the amount of \$261,000 at 3.25 percent for 30 years and was used to replace or reline several blocks of sewer main and repair or replace several manholes along Main Street.

**BRITTON** – The city of Britton received two Clean Water SRF loans for a total amount of \$832,435. The first loan, at 4.5 percent for 10 years, funded wastewater system improvements, including the installation of a lift station and force main and the construction of artificial wetlands. The city's second loan in the amount of \$322,500 at 3.5 percent for 20 years funded sewer main replacement and extensions along Highway 10.

**BROOKINGS** – The city of Brookings received a loan for \$188,065 at 4 percent for 15 years to finance the construction of a new interceptor. The city of Brookings received its second loan to extend sanitary and storm sewer service to the South Dakota State University Innovation Campus. The loan was for \$1,190,000 at 3.0 percent for 20 years and received \$119,000 of principal forgiveness through the American Recovery and Reinvestment Act of 2009. The city's third loan financed the construction of a storm water detention pond with a rain garden component. The loan was for \$665,000 at 3 percent for 20 years and included \$66,500 of principal forgiveness. The city's fourth loan will also finance the construction of a storm water detention pond with a rain garden component. The loan is for \$483,538 at 3 percent for 20 years.

**BURKE** – The city of Burke received a \$155,000 loan at 3.25 percent for 20 years to fund the wastewater portion of the Franklin Street Utilities Replacement project.

**CANISTOTA** - The city of Canistota received a \$616,840 loan at 3.25 percent for 30 years to replace sewer line on Ash Street. The loan included \$420,190 of principal forgiveness through the American Recovery and Reinvestment Act of 2009. Due to higher than expected costs on the Ash Street project, the city was awarded a second loan of \$188,669 to complete the project. This loan was also at 3.25 percent for 30 years.

**CANOVA** - The town of Canova received a \$262,500 loan at 3.25 percent for 30 years to add a 3.0-acre artificial wetland to the treatment system to provide additional capacity needed for the system to operate as a total retention facility. The loan included \$170,000 of principal forgiveness.

**CANTON** – The city of Canton received its first Clean Water SRF loan at 4 percent for 15 years in the amount of \$515,715 to finance sanitary and storm sewer improvements. The city’s second loan, for \$600,000, was at 3.5 percent for 20 years for utility improvements in conjunction with South Dakota Department of Transportation reconstruction of US Highway 18. Canton’s third loan was for \$2,462,000 to upgrade the treatment capabilities of the city’s wastewater treatment facility by constructing aerated lagoons and adding disinfection facilities. This loan was at 3.0 percent for 20 years and included \$840,500 of principal forgiveness through the American Recovery and Reinvestment Act of 2009.

**CASTLEWOOD** – The city of Castlewood received a \$250,000 loan at 3.5 percent for 20 years to fund sanitary sewer collection improvements. The city’s second loan funded the restoration of the wastewater treatment lagoons. This project was funded at \$160,000 at 3.5 percent for 20 years.

**CENTERVILLE** – Centerville received a loan for \$500,000 at 3.5 percent for 20 years to fund the construction of a new wastewater treatment facility and new force main and lift station to transfer the wastewater to the new facility.

**CHAMBERLAIN** – Chamberlain received two loans at 3 percent for 10 years totaling \$615,500 to rehabilitate sanitary sewer lines and construct storm sewer lines under two major streets in the city. The city’s third and fourth loans, at 5.25 percent for 20 years, totaled \$3,150,000 for renovations to the city’s wastewater treatment facility and expansion of sewer service into a newly annexed area of town.

**CLARK** – Clark rehabilitated portions of its wastewater collection and treatment system with a \$400,000 loan at 3.5 percent for 20 years.

**CLEAR LAKE** – The city of Clear Lake used a \$79,537, 4 percent, 15-year loan to construct two new wastewater treatment stabilization ponds and convert the existing pond into an artificial wetland. The city’s second loan, in the amount of \$910,000 at 3.25 percent for 20 years, funded wastewater collection improvements.

**COLTON** – The city’s first loan, \$204,500 at 3.25 percent for 20 years, funded wastewater treatment system improvements. Colton’s second loan, for \$189,200 at 3.25 percent for 30 years, funded sanitary sewer improvements along Main Street.

**CROOKS** – Crooks received its first Clean Water SRF loan, in the amount of \$697,000 at 3.25 percent for 20 years, to expand its wastewater treatment facility by adding approximately 32 acres of new lagoons.

**CUSTER** – The city of Custer received three Clean Water SRF loans totaling \$888,000. The first loan financed the construction of a force main to convey treated effluent from the wastewater treatment facility to the municipal golf course, a storage reservoir at the golf course to store the effluent, and irrigation facilities at the golf course to utilize the effluent. The second loan financed sewer improvements that included collection lines and an interceptor line extension on the west edge of the city limits. The first and second loans were for 20 years at an interest rate of 3 percent. The third loan, for \$276,000 at 3 percent interest for 10 years, financed the construction of an additional wastewater stabilization pond.

**CUSTER-FALL RIVER WASTE MANAGEMENT DISTRICT** – The Custer-Fall River Solid Waste Management District used a \$106,939 loan at 5 percent interest for 20 years for the construction of a landfill to serve

residents of Custer and Fall River counties. The landfill also serves the Hot Springs Material Recovery Facility (MRF).

**DEADWOOD** – Deadwood received a \$447,838 Clean Water SRF loan at 4 percent interest for 15 years to slip line approximately 2,700 feet of 24-inch diameter sewer interceptor line.

**DELL RAPIDS** – The city of Dell Rapids constructed sanitary and storm sewers with a \$300,000 loan. The new lines replaced existing undersized sewers in conjunction with a street rehabilitation project. The term of the loan was 10 years at 3 percent. The city's second loan, for \$731,737 at 3.25 percent for 20 years, funded the wastewater portion of the 4<sup>th</sup> Street Utility Improvements project. The city's third loan, \$1,062,000 at 3.25 percent for 20 years, was for improvements to storm water drainage in the 12<sup>th</sup> Street and Clark Avenue area. Dell Rapid's fourth loan was for \$950,000 at 3.25 percent for 20 years to construct a new lift station, force main, and gravity sewer. The fifth loan awarded to the city installed sanitary and storm sewer along 15<sup>th</sup> Street. The loan was for \$1,185,200 at 3 percent for 20 years and includes \$635,995 of principal forgiveness.

**ELK POINT** – The city of Elk Point has received six loans for various wastewater and storm water projects. Its first loan, \$458,000 at 4 percent for 15 years, financed the replacement of two existing lift stations with a new lift station, force main and interceptor lines. The city's second loan for \$450,000 at 3.5 percent for 20 years funded new storm sewer and replaced sanitary sewer in conjunction with the Pearl Street Utility Improvement project. Its third loan, in the amount of \$345,000 at 3.5 percent for 20 years, financed renovation of the city's lagoon system. Elk Point's fourth loan, \$100,000 at 3.25 percent for 20 years, funded the wastewater portion of the utility systems' improvements. The fifth SRF loan awarded to Elk Point funded sanitary and storm sewer rehabilitation on Clay and Washington Streets. This loan was \$150,000 at 3.25 percent for 20 years. The city's sixth loan was to replace the sewer main on Main Street in conjunction with a highway reconstruction project. The loan amount was \$931,700 at 3 percent for 20 years and included \$93,170 of principal forgiveness through the American Recovery and Reinvestment Act of 2009.

**ELKTON** - The city of Elkton was awarded a loan for \$510,000 to upgrade its wastewater treatment facility. The loan was at 3.25 percent for 30 years and included \$130,000 of principal forgiveness through the American Recovery and Reinvestment Act of 2009.

**ENEMY SWIM SANITATION DISTRICT** - The Enemy Swim Sanitation District received a \$300,000 loan with 100 percent principal forgiveness through the American Recovery and Reinvestment Act of 2009. The loan was to construct a centralized wastewater collection system at Enemy Swim Lake. The loan was rescinded at the district's request due to the inability to meet the time limitations imposed by the American Recovery and Reinvestment Act.

**FORT PIERRE** – Fort Pierre's first loan, \$330,294 at 3 percent for 10 years, was used to construct two storm sewers and a new sanitary sewer that serves a residential area previously not connected to the city's system. Fort Pierre received its second loan in the amount of \$462,500 at 3.5 percent for 15 years to finance a sanitary sewer lift station and manhole rehabilitation. The city's third loan, in the amount of \$450,000 at 3.5 percent for 20 years, funded additional wastewater lagoons. The city's fourth loan, in the amount of \$374,620 at 3.25 percent for 20 years, funded a nonpoint source project to make improvements to drainage ditches and prevent sediment from entering the Missouri River. Due to higher than anticipated construction costs, this loan was rescinded at the city's request, and a new loan in the amount \$900,000 at 3.25 percent for 20 years was awarded.

**FREEMAN** – The city of Freeman’s first loan, in the amount of \$300,000 at 2.5 percent for 10 years, funded wastewater collection system improvements.

**GARRETSON** – The city of Garretson constructed new wastewater treatment stabilization ponds to make the existing sanitary system total retention and made improvements to the existing wastewater facility using the \$300,000, 4 percent, 15-year loan. The Split Rock Creek lift station and sewer extension project was funded with the city’s second loan for \$503,239 at 3.25 percent for 20 years.

**GAYVILLE** – Gayville received its first Clean Water SRF loan in the amount of \$275,000 at 3.25 percent for 20 years to fund a wastewater lagoon upgrade.

**GETTYSBURG** - The city of Gettysburg received a \$624,000 loan at 3.25 percent for 30 years to reline several blocks of sewer main using cured-in-place pipe. The loan award included \$82,400 of principal forgiveness through the American Recovery and Reinvestment Act of 2009. The principal forgiveness component of the loan was forfeited due to failure to meet the milestone date for submitting bid proposals.

**GREGORY** – Gregory received a \$327,000 loan at 3 percent for 20 years to replace or reline the outfall line to the wastewater treatment facility and replace 500 feet of sanitary sewer pipe within the city.

**GROTON** – The city of Groton has received nine Clean Water SRF loans to finance various projects. Its first two loans, in the total amount of \$264,154, financed new interceptor lines, force mains and lift stations in the east and northwest sections of the city. Both loans were at 3 percent for 10 years. The city’s third loan, \$470,809 at 5.25 percent for 20 years, funded construction of a new three-cell wastewater treatment facility, lift station, and force main. The city received its fourth and fifth Clean Water SRF loans in 2003, both at 3.5 percent for 20 years. The fourth loan, for \$163,775, funded expansion of the sewer system to the northeast part of the city. The fifth loan, \$440,000, funded replacement of sewer lines on Main Street and reconstruction of the road. Groton’s sixth loan - \$150,000, 3.25 percent, 20 years - was awarded for emergency replacement of approximately 3 blocks of sewer main damaged by heavy rainfall and flooding. The seventh loan replaced 4,700 feet of 8-inch sanitary sewer lines and 2,700 feet of 4-inch sewer services and upgraded a lift station. This loan was for \$907,700 at 3.0 percent for 20 years and included \$500,000 of principal forgiveness through the American Recovery and Reinvestment Act of 2009. The city’s eighth loan was to replace a collapsed sanitary sewer line that crossed under an operating railroad. The loan was awarded for \$322,000 at 2.25 percent for 10 years and included \$80,500 of principal forgiveness. The ninth loan also replaced a failing sanitary sewer line and relocated a lift station. The loan amount was \$485,000 at 2.25 percent for 10 years.

**HARRISBURG** – The city of Harrisburg received a Clean Water SRF loan for \$507,277 at 5 percent for 20 years to construct total retention stabilization ponds. Harrisburg’s second and third loans were for a project to convey wastewater from Harrisburg to the city of Sioux Falls for treatment. A loan of \$3,941,200 with 100 percent principal forgiveness through the American Recovery and Reinvestment Act of 2009 was used to construct a lift station at the city’s existing wastewater treatment facility property. A loan of \$5,911,800 at 3.25 percent for 30 years was used to construct a force main from the lift station to the city of Sioux Falls’ collection system. The city’s fourth and fifth loans were used to install sanitary and storm sewer in the Columbia Drainage Basin area. The loan for the storm sewer

portion was for \$1,783,760 at 3 percent for 20 years, and the loan for the sanitary sewer portion was for \$1,435,340 at 2.25 percent for 10 years.

**HARROLD** - The city of Harrold was awarded a loan in the amount of \$170,000 at 3.25 percent for 20 years to replace the entire storm water drainage system on Wyman Avenue from Highway 14 to the south side of town.

**HARTFORD** – The city of Hartford has received five loans totaling \$2,627,839. With the first two loans, for \$1,194,804 at 5 percent interest for 20 years, the city replaced sanitary sewer mains within the city and the wastewater treatment facility. Hartford’s third loan, for \$300,000 at 3.5 percent for 20 years, completed the funding for the wastewater treatment facility project. Hartford’s fourth loan, in the amount of \$550,035 at 3.5 percent for 20 years, funded further wastewater collection and storm sewer improvements. The city’s fifth loan, in the amount of \$583,000 at 3.25 percent for 20 years, also funded further wastewater collection and storm sewer improvements.

**HECLA** - The town of Hecla was awarded a loan to replace sanitary sewer lines, sewer services, and appurtenances. This loan was for \$143,390 at 3.0 percent for 20 years and included \$14,339 of principal forgiveness through the American Recovery and Reinvestment Act of 2009.

**HERMOSA** – The town of Hermosa received its first SRF loan to extend sewer lines to an area along Highway 79 without sewer service. The loan was for \$303,604 at 3.25 percent for 30 years.

**HERRIED** - Herried received its first SRF loan in the amount of \$694,300 at 3.25 percent for 30 years to replace wastewater lines, lift station pumps and structures at the lagoon.

**HIGHMORE** – The city of Highmore’s first loan was in the amount of \$262,300 at 3.5 percent for 20 years to fund an upgrade to its wastewater treatment facility. The upgrade included reshaping dikes, installing riprap, relocating the inlet structure, and replacing inter-pond piping.

**HOT SPRINGS** – The city of Hot Springs received two loans totaling \$1,126,930. The first loan of \$196,930, at 3 percent interest for 10 years, was used to construct new sanitary sewers in an area of the city with failing septic systems. The second loan of \$930,000, at 5 percent for 20 years, was used to close the existing landfill site and construct a transfer station and municipal solid waste composting facility. The city’s third loan was for \$1,453,000 at 3 percent for 20 years and was used to replace sanitary sewer lines throughout the city.

**HURON** – The city of Huron has received four Clean Water SRF loans. The first loan was used to partially fund improvements at the mechanical wastewater treatment facility and artificial wetlands treatment site. This loan totaled \$1,656,000 at 3 percent for 20 years. The second loan, for \$701,007 at 3 percent for 10 years, was used to construct an extension to the storm sewer system to provide drainage in a developing area of the city. Huron utilized a third Clean Water SRF loan in the amount of \$1,856,828 at 5.25 percent for 20 years to expand the existing stabilization pond system and increase pumping capacity. The city funded upgrades to its wastewater treatment facility with a fourth loan for \$1,500,000 at 3.25 percent for 20 years.

**INTERIOR** – Interior’s first Clean Water SRF loan was used to replace its undersized wastewater treatment facility with a new three-cell treatment pond facility and to replace the line leading to treatment facility. The loan amount is \$250,000 at 3.25 percent for 30 years.

**JAVA** - The town of Java used its first Clean Water SRF loan to clean and televise the sanitary sewer collection system, riprap the dikes at the wastewater treatment facility, and rehabilitate the north lift station. The loan was for \$438,325 at 3.25 percent for 30 years and included \$103,325 of principal forgiveness.

**JEFFERSON** – Jefferson received its first Clean Water SRF loan of \$320,000 at 3.5 percent for 20 years to fund wastewater treatment facilities improvements. The city constructed two lagoon cells, enabling the existing cell to become a wetland.

**LAKE COCHRANE SANITARY DISTRICT** – The Lake Cochrane Sanitary District constructed a wastewater collection and treatment system at Lake Cochrane. An \$80,000 loan at 3 percent for 20 years was made to the district to refinance a portion of the project. Its second loan, in the amount of \$160,000 at 3.5 percent for 20 years, upgraded the wastewater collection system.

**LAKE MADISON SANITARY DISTRICT** – The Lake Madison Sanitary District received a 4 percent, 15-year Clean Water SRF loan for \$330,000 to refinance a Farmers Home Administration loan, which partially funded the installation of a wastewater collection and treatment system to serve Lake Madison. The district's second loan, in the amount of \$875,000 at 3.5 percent for 20 years, funded two new wastewater treatment ponds.

**LAKE POINSETT SANITARY DISTRICT** – Lake Poinsett Sanitary District received a loan in the amount of \$590,000 at 3.25 percent for 20 years to fund expansion of the sanitary system at Lake Poinsett. Due to the need to re-site the proposed wastewater treatment lagoons, the project was delayed. As a result the project cost increased significantly, and the loan was deobligated at the sanitary district's request. A second loan in the amount of \$1,094,700 at 3.50 percent for 30 years was received to fund the expansion project. The sanitary district was awarded its third loan to expand sewer service to an additional 90 users, which involved installing a combination of gravity sewers, lift stations, and forcemains and constructing a new 3-acre total retention treatment pond adjacent to an existing pond. The loan was for \$3,075,000 at 3.25 percent for 30 years and included \$1,603,000 of principal forgiveness.

**LEAD** – The city of Lead received seven Clean Water SRF loans amounting to \$1,961,706 to separate combined sanitary and storm sewers along with the rehabilitation of portions of the sanitary sewer system. The first loan was at 3 percent interest for 20 years, the second and third loans were at 3 percent for 10 years, and the fourth loan was at 4.5 percent interest for 10 years. Lead's fifth and sixth loans were at 3.25 percent interest for 20 years. The city's seventh loan continued the sewer separation and rehabilitation project on Lower May, South Main, and West Addie Streets. The loan amount was \$200,000 at 3 percent for 20 years and included \$50,000 of principal forgiveness.

**LEAD-DEADWOOD SANITARY DISTRICT** – A sludge disposal vehicle and a sewer jet were purchased by the Lead-Deadwood Sanitary District with a loan for \$106,855 at 3 percent for 5 years.

**LEMMON** – The city of Lemmon received a \$427,100 loan at 3 percent interest for 20 years to refinance a general obligation sewer bond issued in 1985. The bonds were issued to correct an infiltration/inflow problem.

**LENNOX** – The city of Lennox received a \$350,000 Clean Water SRF loan at 5.25 percent interest for 20 years to construct and rehabilitate sanitary sewer interceptors. The city received a second loan for \$583,735 at 5.25 percent for 20 years to add four aeration basins, two lift stations, and force mains to the existing wastewater facility. Lennox’s third and fourth loans were to replace the existing treatment facility with a sequencing batch reactor (SBR) mechanical aeration system and make improvements to a lift station. A loan of \$1,565,760 with 100 percent principal forgiveness through the American Recovery and Reinvestment Act of 2009 was used for those components of the project with a design life of 20 years. A loan of \$1,942,273 at 3.25 percent for 30 years was used to construct those components of the project with a design life of at least 30 years. This loan includes \$123,024 of principal forgiveness through the American Recovery and Reinvestment Act of 2009.

**MADISON** – The city of Madison received a \$119,416 Clean Water SRF loan at 3 percent interest for 10 years to finance the construction of new collectors. The city’s second loan for \$5,343,256 at 3.25 percent for 20 years made widespread renovations to the existing wastewater treatment facility.

**MARION** - The city of Marion received a \$1,710,000 loan at 3.25 percent for 20 years to replace lines within the wastewater collection system.

**MARTIN** - Martin was awarded its first Clean Water SRF loan in the amount of \$237,250 at 3.25 percent for 20 years to fund the rehabilitation of the city’s north stabilization ponds.

**MCCOOK LAKE SANITARY DISTRICT** – McCook Lake Sanitary District received a Clean Water SRF loan for \$641,935 at 5 percent for 20 years to partially fund the upgrade and expansion of the wastewater treatment facility.

**McLAUGHLIN** – McLaughlin received its first Clean Water SRF loan to replace or repair much of the collection system and for the repair of riprap at the treatment facility. The loan was for \$1,145,675 at 3.25 percent for 30 years and included \$150,000 of principal forgiveness.

**MENNO** – The city of Menno was awarded a \$240,000 Clean Water SRF loan at 3 percent for 20 years to replace collection lines in conjunction with the reconstruction of US Highway 18.

**MILBANK** - The city of Milbank received two Clean Water SRF loans to complete phase II improvements to its wastewater treatment facility. A loan for \$3,515,000 with \$2,257,500 of principal forgiveness through the American Recovery and Reinvestment Act of 2009 was used for improvements to the primary clarifier, intermediate pumping facilities, final clarifiers and other appurtenances necessary to complete the project. The loan carried an interest rate of 3.0 percent for 20 years. A loan for \$1,000,000 at 3.25 percent for 30 years was used to reclaim abandoned sludge storage ponds.

**MITCHELL** – The city of Mitchell received its first loan for \$1,543,405 at 4.5 percent for 10 years to partially fund the construction of a storm drain diversion project. Mitchell’s second loan, of \$1,320,000 at 3.5 percent for 20 years, funded the water quality components of a regional landfill that serves Mitchell as well as several surrounding counties and their communities. The city’s third SRF loan funded the replacement of the Foster Street lift station and associated force main and provided funding for shoreline stabilization and restoration on Lake Mitchell. The loan was in the amount of \$1,682,747 with an interest rate of 2.0 percent for 20 years.

**MOBRIDGE** – The city of Mobridge received two Clean Water SRF loans to partially fund the upgrade and expansion of the wastewater treatment facility. The first loan totaled \$1,500,000 at 3 percent for 20 years, while the second loan of \$158,000 was at a rate of 4 percent for 15 years. The city received its third loan in the amount of \$1,355,000 at 4.5 percent interest for 10 years to install storm sewers in the northwest section of town.

**MONTROSE** – Montrose’s first loan in the amount of \$142,621 at 2.5 percent for 10 years funded wastewater collection and treatment improvements. Due to changes in the project scope, this loan was not sufficient to fund the needed improvements. The city received a second SRF loan for \$804,000 at 3.25 percent for 30 years to upgrade the wastewater treatment facility. This loan included \$160,400 of principal forgiveness through the American Recovery and Reinvestment Act of 2009.

**MOUNT VERNON** - The city of Mount Vernon replaced or relined all existing clay sanitary sewer lines and rehabilitating or replacing manholes along these lines. The city will also upgrade the existing wastewater treatment facility by constructing two new treatment ponds near the existing pond and converting the existing pond into an artificial wetland. The project will be funded with a \$2,300,000 loan at 3.25 percent for 30 years that includes \$1,050,000 of principal forgiveness.

**NISLAND** – Nisland received its first loan in the amount of \$204,000 at 3.25 percent for 20 years to upgrade its wastewater treatment system to a three-cell stabilization pond system.

**NORTH SIOUX CITY** – North Sioux City received a Clean Water SRF loan in the amount of \$239,650 at a rate of 3 percent for 10 years to construct storm sewer and drainage improvements in the community. The city received its second Clean Water SRF loan, \$646,000 at 5 percent interest for 15 years, to expand the storm sewer system and to provide drainage for a rapidly developing area.

**NORTHDAL SANITARY DISTRICT** – The Northdale Sanitary District used a \$256,380, 5 percent, 20-year loan to construct a new gravity sewer, lift station and force main. The new system connects the sanitary district to Rapid City’s wastewater system.

**NORTHVILLE** – Northville was awarded its first SRF loan for the construction of a lift station and force main so the existing total retention wastewater treatment facility can discharge treated effluent. The loan was for \$238,300 at 3.25 percent for 30 years.

**PARKER** – Parker’s first loan of \$824,000 at 3.25 percent for 20 years funded improvements to the city’s wastewater collection system. The city’s second loan, \$620,000 at 3.25 percent for 20 years, funded the second phase of the improvements to the wastewater collection system. Parker was awarded a third loan in the amount of \$700,900 to continue replacing its wastewater collection system. This loan was at 3.25 percent for 30 years and included \$475,450 of principal forgiveness through the American Recovery and Reinvestment Act of 2009.

**PARKSTON** – The city of Parkston received its first Clean Water SRF loan to address deficiencies within the wastewater collection system. The loan was for \$650,000 with a 3.25 percent interest rate and a 20-year term.

**PHILIP** – Philip financed the construction of sanitary and storm sewer improvements with its first loan for \$453,885 at 5 percent interest for 15 years. The city received a second, \$321,127 Clean Water SRF loan for a term of 20 years at 5.25 percent to finance the construction of sanitary sewer, storm sewer,

concrete curb and gutter, and replacement of force main. Philip's third SRF loan, in the amount of \$347,040 at 3.25 percent for 15 years, funded wastewater and storm sewer utility improvements in the downtown area of the city.

**PICKEREL LAKE SANITARY DISTRICT** – The Pickerel Lake Sanitary District received an \$850,000 Clean Water SRF loan, at 5 percent for 15 years, to finance the phase I construction of a new wastewater treatment facility and a sanitary sewer collection system. The district received a second loan of \$670,000 at 5.25 percent for 20 years to complete phase II of the collection system construction.

**PIERRE** – The city of Pierre has received five Clean Water SRF loans for various projects. Its first loan, in the amount of \$433,976 at 4 percent for 15 years, financed the construction of an interceptor line near the airport and the addition of comminutors at the treatment plant as well as improvements to the sludge handling facilities at the treatment plant. The city's second loan, for \$4,417,000 at 5.25 percent for 20 years, financed phase I improvements to the wastewater treatment facility. Pierre received a third loan in the amount of \$5,391,260 at 5 percent for 20 years to improve the wastewater treatment facility (phase II). Its fourth loan, \$1,378,404 at 3.5 percent for 20 years, funded the water quality components of a new regional landfill. Pierre's fifth loan was for \$976,953 at 3.25 percent for 20 years for the stabilization of slide areas and drainage improvements at an old, pre-Subtitle D landfill.

**PLANKINTON** – Plankinton received its first Clean Water SRF loan to replace the main interceptor leading to the wastewater treatment facility, replace or reline approximately 8,000 feet of sanitary sewer lines, and replace 40 manholes. The loan was for \$1,005,744 at 3.25 percent for 30 years and included \$150,000 of principal forgiveness.

**PLATTE** – The city of Platte received a \$1,000,000 loan at 5 percent for 20 years to renovate its sanitary sewer system.

**POLLOCK** – Pollock received a \$151,619 Clean Water SRF loan at 3 percent for 10 years to cover costs that exceeded the available EPA grant funding used to upgrade the wastewater treatment facility.

**RAPID CITY** – Rapid City has received five Clean Water SRF loans that have been used for construction activities at the wastewater treatment facility, rehabilitation and extension of the sanitary sewer system, construction of stormwater facilities and mitigation of approximately four acres of wetlands at the city's Material Recovery Facility (MRF). The first four loans totaled \$5,536,028, all at a rate of 4 percent for 15 years. The fifth loan, at a rate of 4.5 percent for 20 years for \$14,000,000, was used to upgrade the wastewater treatment plant as well as to construct a facility to co-compost wastewater treatment plant biosolids with municipal solid wastes. Rapid City's sixth loan was for replacing or upgrading various components within the water reclamation facility. The loan amount was \$5,000,000 at 3 percent for 20 years.

**RAPID VALLEY SANITARY DISTRICT** – The Rapid Valley Sanitary District has received three Clean Water SRF loans totaling \$1,600,583. The first two loans, totaling \$978,583, were used for rehabilitation and extension of the existing sanitary sewer system and carried terms of 3 percent for 20 years and 4 percent for 15 years. The sanitary district continued to rehabilitate the sanitary sewer system with a third loan of \$630,000 at 5.25 percent for 20 years.

**REDFIELD** – Redfield's first loan, \$333,788 at 3.25 percent for 20 years, funded wastewater and storm water utility improvements on South Main Street and Sixth Avenue.

**RICHMOND LAKE SANITARY DISTRICT** – The Richmond Lake Sanitary District received a \$414,000 Clean Water SRF loan at an interest rate of 5.25 percent for 20 years, which was used to partially finance the construction of a new sanitary sewer system and stabilization pond system for residences around Richmond Lake. The district received a second loan of \$191,500 at 5.25 percent for 20 years to complete phase II of the collection system construction. The district's third and fourth loans were awarded for the rehabilitation of lift stations and the wastewater treatment facility. The loans were for \$193,600 at 3 percent for 20 years and \$339,800 at 3.25 percent for 30 years.

**ROSCOE** – The city of Roscoe received a Clean Water SRF loan for \$358,408 at 5.25 percent for 20 years to expand its wastewater treatment facility, rehabilitate an interceptor sewer and construct a new collection sewer.

**SALEM** – Salem's first Clean Water SRF loan, in the amount of \$592,307 at 3.5 percent for 20 years, funded wastewater collection improvements in conjunction with a South Dakota DOT project. The city's second loan, \$387,960 at 3.25 percent for 20 years, funded wastewater and storm water utility improvements.

**SCOTLAND** – Scotland's first Clean Water SRF loan funded the wastewater component of its Main Street reconstruction project with a \$250,000 loan at 3.5 percent for 20 years. The city's second loan was used to expand the storm sewer system, replace the sanitary sewer along Washington Street and extend the sanitary sewer to an area without sewer service. The loan was for \$945,930 at 3.25 percent for 30 years.

**SIOUX FALLS** – The city of Sioux Falls has received 33 Clean Water SRF loans for a variety of projects. These projects include the construction of new interceptor lines and lift stations, rehabilitation of the sanitary sewers and lift stations, purchase of sludge handling equipment and improvements, infiltration/inflow correction, improvement of storm water drainage, flow equalization basin construction, and other wastewater system improvements. The first loan was at 3 percent for 20 years. Loans 2 through 10 were at 3 percent for 10 years, loans 11 through 14 were at 4.5 percent for 10 years, and the 15<sup>th</sup> loan was at 3.5 percent for 10 years. The City's 16<sup>th</sup> and 17<sup>th</sup> loans, for \$2,479,500 and \$932,000, were both at 3.5 percent for ten years, funded wastewater facilities improvements and identified and implemented best management practices within the city. Loans 18 (for \$3,951,000) and 19 (for \$801,000) were at 2.5 percent for ten years and funded improvements to the wastewater system and retrofitted storm water detention ponds, respectively. The city's 20<sup>th</sup> loan, in the amount of \$25,949,349 at 1.5 percent for 10 years, funded storm sewer improvements and nonpoint source best management practices, and the 21<sup>st</sup> loan provided for construction of the East Side Sanitary Sewer System and nonpoint source best management practices. This loan was for \$37,377,418 at 2.25 percent for 20 years. Both 2005 loans were structured with a portion of the funding in a Series B bond that was approved contingent upon sufficient Clean Water SRF funding being available in FFY 2006 to fund the balance. The Series B amounts were \$8,700,000 and \$21,608,000 for the Storm Drainage (20) and East Side Sanitary Sewer (21) projects, respectively. The city's 22<sup>nd</sup> loan, \$10,550,000 at 2.5 percent for 10 years, also funded the Storm Drainage project, and its 23<sup>rd</sup> loan, for \$10,323,000 at 2.5 percent for 10 years, was approved for the Basin 13 Trunk sewer and other utility system improvements. The city's 24<sup>th</sup> loan, \$500,000 at 2.5 percent for 7 years, was used to close side slopes of the unlined active area and construct an alternative cap on the active area side slopes at the city-owned regional landfill. In 2008, Sioux Falls received three additional Clean Water SRF loans. The city's 25<sup>th</sup>, 26<sup>th</sup>, and 27<sup>th</sup> loans were for \$5,657,000, \$3,744,000 and \$2,621,000, respectively, and each was at 2.5 percent for 10 years. The

loans were awarded for sanitary trunk and collection system sewer construction within the Basin 13 area, along with two odor control structures (loan 25); replacement of a portion of the Central Main Interceptor (loan 26); and reconstructing storm sewer and retrofitting eight existing detention ponds (loan 27). Sioux Falls' 28<sup>th</sup> loan in the amount of \$1,803,000 funded the addition of a third engine/generator in the Energy Recovery Unit at the Water Reclamation Facility. The city's 29<sup>th</sup> loan involved the lining of sanitary sewer lines at numerous locations in the city and the construction of the Basin 13 Sanitary Trunk Sewer Section 2, Phase 1 project and was in the amount of \$2,540,000. The city's 30<sup>th</sup> loan of \$1,970,000 was to expand the gas collection capabilities at the regional landfill. The 31<sup>st</sup> loan was for the continuation of the Central Main Interceptor project. Loans 28, 29, 30, and 31 each had an interest rate of 2.25 percent, a 10-year term and included 10 percent principal forgiveness through the American Recovery and Reinvestment Act of 2009. The city's 32<sup>nd</sup> loan in the amount of \$24,589,400 was also for the continuation of the Central Main Interceptor project and other sewer line rehabilitation work. The city's 33<sup>rd</sup> loan of \$14,711,614 replaced 42- and 36-inch sewer lines with 54-inch sanitary sewer lines in the southeast part of the city. Loans 32 and 33 had a 1.25 percent interest rate and a term of 10 years, and each loan also included a nonpoint component to conduct water quality studies and continue with bank stabilization activities along Skunk Creek and the Big Sioux River.

**SOUTHERN MISSOURI WASTE MANAGEMENT DISTRICT** – The Southern Missouri Recycling and Waste Management District received a \$700,000 Clean Water SRF loan at 5 percent for 20 years for the construction of a regional landfill near Lake Andes. In federal fiscal year 1998, Southern Missouri Waste Management District received a grant from HUD in the amount of \$400,000 to pay against the principal portion of Southern Missouri's Clean Water SRF loan.

**SPEARFISH** – The city of Spearfish used a \$1,956,000 Clean Water SRF loan for 15 years at 4 percent to fund the expansion of the wastewater treatment facility. The city's second loan increased capacity of the wastewater treatment facility and provided additional treatment components to meet the discharge permit limit for residual chlorine. The loan was for \$5,900,000 with an interest rate of 3.25 percent and a term of 20 years.

**SPENCER** - The town of Spencer was awarded a loan to replace sanitary sewer lines throughout the town. This loan was for \$230,156 at 3.25 percent for 30 years and included \$100,000 of principal forgiveness.

**STURGIS** – The city of Sturgis has received four loans totaling \$3,975,630. The first two loans, totaling \$1,438,250 at 5 percent for 20 years, financed the construction of three sewer interceptor lines, a sewer collection line, and wastewater treatment facility upgrades. The city's third loan, \$437,380 at 5.25 percent for 20 years, financed the repair of damage to and replacement of riprap in the second and third cells of the wastewater treatment facility as well as engineering planning studies. The city's fourth loan for \$2,100,000, at 5 percent interest for 20 years, expanded the city's treated effluent irrigation system. Sturgis was awarded its fifth loan to install sanitary sewer service to the 90 homes of the Murray Addition and connecting them to city sanitary sewer service. The \$516,900 loan was at 3.0 percent for 20 years and included \$218,283 of principal forgiveness through the American Recovery and Reinvestment Act of 2009.

**SUMMIT** - The city of Summit received a \$100,000 loan with 100 percent principal forgiveness through the American Recovery and Reinvestment Act of 2009. The loan was to upgrade the city's wastewater treatment system.

**TEA** – The city of Tea has received seven loans for a variety of projects. Its first two loans of \$600,000 each at 4 percent for 15 years funded the construction of a storm drainage system. The third loan of \$208,813, at 5.25 percent for 20 years, funded the construction of a sanitary sewer and lift station. The city received a fourth loan of \$375,000 at 5 percent for 15 years to reconfigure the existing lagoon system and construct a new primary cell and two secondary cells. Tea’s fifth loan of \$495,490 at 3.5 percent for 20 years expanded the city’s wastewater treatment capability by adding an aerated lagoon. The sixth loan funded the construction of a new lift station at the wastewater treatment facility and installation of about 1,200 feet of sanitary sewer trunk line, force main and appurtenances. This loan was for \$858,000 at 3.25 percent for 20 years. The city’s seventh loan for \$875,000 involved the construction of a 24-inch sanitary sewer trunk line along Highway 111 to serve the northern part of the city. This loan was at 3.0 percent for 20 years and included \$87,500 of principal forgiveness through the American Recovery and Reinvestment Act of 2009. The principal forgiveness component of the loan was forfeited due to failure to meet the milestone date for submitting plans and specifications, and the loan amount was reduced to \$845,000.

**TYNDALL** – The city of Tyndall’s first loan, in the amount of \$795,000 at 3.25 percent for 20 years, funded the South Main Street and 14<sup>th</sup> Avenue Sanitary Sewer Project.

**VALLEY SPRINGS** – The city of Valley Springs received a \$430,000 loan for 20 years at 5.25 percent interest to fund the expansion and upgrade of the existing wastewater treatment facility. The city’s second loan, in the amount of \$350,000 at 3.25 percent for 20 years, funded sanitary sewer replacement.

**VERMILLION** – Vermillion’s first loan, \$125,000 at 3 percent for 20 years, was used to reconstruct a sanitary sewer interceptor. The second loan, \$500,000 at 4 percent for 15 years, funded the construction of approximately 6,200 feet of storm sewer pipe and associated appurtenances in three areas of the city. The third loan, a nonpoint source loan of \$480,000 at 4.5 percent for 10 years, financed the construction of a second trench at the city’s landfill and to purchase a scraper. The city’s fourth SRF loan, \$456,000 at 3.5 percent for 20 years, funded wastewater collection facilities in the northwest area of the city. Vermillion’s fifth and sixth loans, in the amount of \$3,548,351 and \$4,851,000, respectively, financed two phases of wastewater treatment plant improvements to update mechanical process equipment, controls and instrumentation, to replace the main lift station, and to construct an additional force main between the new lift station and the treatment facility. Both are 20-year loans with an interest rate of 3.25 percent. The city’s seventh loan for \$499,000 involved lining approximately 11,600 feet of sanitary sewer lines in various locations throughout the city using cured-in-place pipe. This loan was at 3.0 percent for 20 years and included \$249,500 of principal forgiveness through the American Recovery and Reinvestment Act of 2009.

**VIBORG** – Viborg received its first Clean Water SRF loan for \$238,300 at 3.25 percent for 30 years to replace a portion of its sewer lines in conjunction with a road reconstruction project.

**WAGNER** –Wagner received its first SRF loan, in an amount of \$150,000 at 3.25 percent for 20 years, to replace wastewater utilities along North Park St. and North Street and extend sanitary sewer to unsewered residences at Lake Wagner. Wagner was awarded its second loan to replace sewer line on South Park Street. The \$500,000 loan was at 3.25 percent for 30 years and included \$50,000 of principal forgiveness through the American Recovery and Reinvestment Act of 2009. The loan was rescinded at the city’s request.

**WALL** – The city of Wall received a Clean Water SRF loan in the amount of \$1,146,000 at 5 percent for 20 years for its municipal wastewater improvement project. The project consisted of the construction of a seven-mile transfer line to new total retention ponds.

**WALL LAKE SANITARY DISTRICT** – The district received its first Clean Water SRF loan for \$200,000 at 3.5 percent for 20 years to fund an extension of sewer main to residents without service. This included the installation of lift stations and small diameter force main.

**WARNER** – The town of Warner used a \$101,152 Clean Water SRF loan at 4.5 percent for 10 years to construct a storm sewer collection and disposal system to improve storm drainage within the community. Warner's second loan was used to replace lift station pumps and expand the existing wastewater treatment pond system by adding an additional cell. This loan was for \$1,826,760 at 3.25 percent for 30 years and included \$1,058,760 of principal forgiveness.

**WATERTOWN** – The city of Watertown has received eleven Clean Water SRF loans for various projects. The first two loans, both at 4 percent for 15 years, financed the upgrade and expansion of the city's wastewater treatment facility. The third and fourth loans, payable at 5.25 percent interest for 20 years, were used to rehabilitate portions of the sanitary sewer collection system and for engineering costs associated with the final upgrade of the wastewater treatment facility. The city's fifth loan, \$2,055,000 at 3.5 percent for 20 years, funded replacement or rehabilitation of sanitary sewers throughout the city and lift stations serving the Lake Kampeska area. Watertown's sixth loan of \$1,303,130 funded a storm water project in the southwest portion of the city and a nonpoint source portion for Best Management Practices (BMP) on the Big Sioux River, thus qualifying the city for a loan at 2.25 percent for 20 years. The city received its seventh and eighth loans for a project to install sanitary and storm sewer on First Avenue North and continuation of the BMP project on the Big Sioux River. Loan seven was for \$928,375 at 2.25 percent for 20 years, of which \$847,170 was for the sanitary sewer portion of the First Avenue North project and \$81,205 for the BMP project. Loan eight was for \$671,624 at 2.25 percent for 20 years, of which \$612,877 was for the storm sewer portion of the First Avenue North project and \$58,747 for the BMP project. Watertown's ninth loan was in the amount of \$16,446,000 and was used to construct a new head works facility, rehabilitate a lift station, and extend, replace and reline sanitary sewer. The city's tenth loan for \$3,330,000 funded several storm sewer projects throughout the city. Loans 9 and 10 each had an interest rate of 3 percent, a 20-year term and included 10 percent principal forgiveness through the American Recovery and Reinvestment Act of 2009. An eleventh loan in the amount of \$815,000 was awarded for the construction of a parking lot utilizing pervious pavement for storm water management. This loan was at 3 percent for 20 years and included \$500,000 of percent principal forgiveness.

**WATERTOWN SCHOOL DISTRICT** – The Watertown School District was awarded a loan in the amount of \$503,635 with 100 percent principal forgiveness through the American Recovery and Reinvestment Act of 2009. The loan was to construct a parking lot utilizing pervious pavement and other green infrastructure features for storm water management. The loan was reduced to \$424,843 due to insufficient costs being under contract by February 17, 2010.

**WAUBAY** – The city of Waubay received a 20 year, 5 percent Clean Water SRF loan in the amount of \$81,454 to construct a wastewater collection system within the city limits on the south shore of Blue Dog Lake, an area previously served by septic tanks.

**WEBSTER** – The city of Webster used a 10 year, 4.5 percent Clean Water SRF loan for \$345,394 to reconstruct a sanitary sewer line on Main Street. The city received a second loan in the amount of \$811,000 at 3.5 percent for 20 years to fund the replacement of about 7,400 feet of sewer main, 136 service connections, and approximately 3,400 feet of storm sewer lines. The city's third loan was in the amount of \$500,000 loan with 100 percent principal forgiveness through the American Recovery and Reinvestment Act of 2009. The loan was to upgrade the city's discharging wastewater treatment facility to a total retention artificial wetland system.

**WESTON HEIGHTS SANITARY DISTRICT** – Weston Heights secured a loan for \$638,300 at 3.25 percent for 20 years to improve its wastewater treatment system.

**WHITEWOOD** – Whitewood has received two loans totaling \$455,801. The first loan was at 4 percent interest for 15 years while the second was at 5 percent for 20 years. Whitewood constructed a new mechanical wastewater treatment facility in conjunction with the existing stabilization pond system and expanded the wastewater collection system.

**WILLOW LAKE** – Willow Lake's first loan, in the amount of \$100,000 at 3.5 percent for 20 years, funded improvements to the wastewater collection system.

**WINNER** – The city of Winner's first loan, in the amount of \$925,000 at 3.25 percent for 20 years, funded improvements to the wastewater collection system.

**WOLSEY** – The city of Wolsey was awarded its first Clean Water SRF loan - \$162,300 at 3.25 percent for 20 years - to replace sanitary sewer mains under US Highway 14/281 in conjunction with a South Dakota Department of Transportation project. The city's second loan for \$614,400 involved installing approximately 3,000 feet of storm sewer to separate combined sewer on Maple Avenue and installing a lift station and sanitary sewer to provide sanitary sewer service to a new development in the south part of town. This loan was at 3.0 percent for 20 years and included \$61,440 of principal forgiveness through the American Recovery and Reinvestment Act of 2009. Due to an expected increase in project costs and the inability to meet the time limitations imposed by the American Recovery and Reinvestment Act, this loan was rescinded. A third loan in the amount of \$901,560 at 3.0 for 20 years was awarded to Wolsey for the project.

**WORTHING** – The town of Worthing received a \$227,645 Clean Water SRF loan at 5.25 percent interest for 20 years that was used to expand and upgrade the existing stabilization pond treatment facility. Worthing's second loan was used to install a new sewer line along Cedar Street and to replace the lift station at the wastewater treatment facility and a sewer trunk line along Third Street. The loan was for \$580,000 at 3.25 percent for 20 years.

**YALE** – The town of Yale received a Clean Water SRF loan to rehabilitate the collection system and add an additional cell to the wastewater treatment facility. The loan was for \$885,100 at 3.25 percent for 30 years and included \$606,110 of principal forgiveness

**YANKTON** – The city of Yankton received three loans totaling \$13,255,000. All three loans were used to upgrade and expand the existing wastewater treatment facility. The term of the first loan was 5.25 percent for 20 years. The second loan utilized the leveraged program bonds with a term of 6 percent for 20 years. Yankton's third loan, in the amount of \$6,130,000 at 3.5 percent for 20 years, funded the third phase of the project.



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**EXHIBITS I-VIII**

**CLEAN WATER SRF**

**STATUS REPORTS**

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**EXHIBIT I**  
**Projects Receiving SRF Assistance**  
**Federal Fiscal Year 2011**

<b>Sponsor</b>	<b>Binding Commitment Date</b>	<b>Assistance Amount</b>	<b>Rate</b>	<b>Term (yrs)</b>
Belle Fourche Irrigation Dist (01)	06/24/2011	\$200,000	0%	0
Bison (01)	06/24/2011	\$504,050	3.0%	20
Bridgewater (03)	06/24/2011	\$261,000	3.25%	30
Brookings (04)	06/24/2011	\$483,538	3.0%	20
Canova (01)	01/07/2011	\$262,500	3.25%	30
Colton (02)	03/25/2011	\$189,200	3.0%	20
Groton (09)	06/24/2011	\$485,000	2.25%	10
Harrisburg (04)	03/25/2011	\$1,435,340	2.25%	10
Harrisburg (05)	03/25/2011	\$1,783,760	3.0%	20
Hermosa (01)	03/25/2011	\$303,604	3.25%	30
Herreid (01)	03/25/2011	\$694,300	3.25%	30
Interior (01)	06/24/2011	\$250,000	3.25%	30
Java (01)	06/24/2011	\$438,325	3.25%	30
McLaughlin (01)	06/24/2011	\$1,145,675	3.25%	30
Mount Vernon (01)	01/07/2011	\$2,300,000	3.25%	30
Northville (01)	03/25/2011	\$238,300	3.25%	30
Plankinton (01)	06/24/2011	\$1,005,744	3.25%	30
Richmond Lake San Dist (03)	03/25/2011	\$193,600	3.0%	20
Richmond Lake San Dist (04)	03/25/2011	\$339,800	3.25%	30
Scotland (02)	06/24/2011	\$945,930	3.25%	30
Sioux Falls (32)	01/07/2011	\$23,400,000	1.25%	10
Sioux Falls (32NPS)	01/07/2011	\$1,189,400	1.25%	10
Sioux Falls (33)	06/24/2011	\$14,000,000	1.25%	10
Sioux Falls (33NPS)	06/24/2011	\$711,614	1.25%	10
Viborg (01)	06/24/2011	\$883,000	3.25%	30
Warner (02)	06/24/2011	\$1,826,760	3.25%	30
Yale (01)	06/24/2011	\$885,110	3.25%	30
<b>TOTAL</b>		<b>\$56,355,550</b>		

**EXHIBIT II**  
**SRF Needs Categories**  
**Federal Fiscal Year 2011**

<b>Sponsor</b>	<b>Secondary Treatment</b>	<b>I&amp;I Correction</b>	<b>System Rehab</b>	<b>New Collectors</b>	<b>New Interceptors</b>	<b>Storm Sewers</b>	<b>Nonpoint Source</b>
Belle Fourche Irrigation Dist (01)							\$200,000
Bison (01)						\$504,050	
Bridgewater (03)			\$261,000				
Brookings (04)						\$483,538	
Canova (01)	\$292,500						
Colton (02)		\$189,200					
Groton (09)			\$485,000				
Harrisburg (04)					\$1,435,340		
Harrisburg (05)						\$1,783,760	
Hermosa (01)				\$303,604			
Herreid (01)			\$694,300				
Interior (01)	\$250,000						

Sponsor	Secondary Treatment	I&I Correction	System Rehab	New Collectors	New Interceptors	Storm Sewers	Nonpoint Source
Java (01)			\$438,325				
McLaughlin (01)	\$75,615		\$1,070,060				
Mount Vernon (01)	\$714,650		\$1,585,350				
Northville (01)	\$238,300						
Plankinton (01)			\$1,005,744				
Richmond Lake San Dist (03)			\$193,600				
Richmond Lake San Dist (04)	\$339,800						
Scotland (02)			\$253,423			\$692,507	
Sioux Falls (32)			\$23,400,000				
Sioux Falls (32NPS)							\$1,189,400
Sioux Falls (33)			\$14,000,000				
Sioux Falls (33NPS)							\$711,614
Viborg (01)			\$883,000				
Warner (02)	\$1,826,760						
Yale (01)	\$442,555		\$442,555				
<b>TOTAL</b>	<b>\$4,180,180</b>	<b>\$189,200</b>	<b>\$44,712,357</b>	<b>\$303,604</b>	<b>\$1,435,340</b>	<b>\$3,463,855</b>	<b>\$2,101,014</b>

### EXHIBIT III Allocation and Source of SRF Funds

Federal Fiscal Year	Federal Capitalization Grant Award	State Match	Leveraged Funds	Total
1989	\$4,577,200	\$915,440		\$5,492,640
1990	\$4,738,000	\$947,600		\$5,685,600
1991	\$10,074,800	\$2,014,960		\$12,089,760
1992	\$9,534,900	\$1,906,980		\$11,441,880
1993	\$9,431,000	\$1,886,200		\$11,317,200
1994	\$5,813,800	\$1,162,760		\$6,976,560
1995	\$6,007,800	\$1,201,560	\$4,507,540	\$11,716,900
1996	\$9,904,700	\$1,980,940		\$11,885,640
1997	\$2,990,500	\$598,100		\$3,588,600
1998	\$6,577,300	\$1,315,460		\$7,892,760
1999	\$6,577,900	\$1,315,580		\$7,893,480
2000	\$6,555,200	\$1,311,040		\$7,866,240
2001	\$6,496,100	\$1,299,220		\$7,795,320
2002 *	\$0	\$0		\$0
2003 *	\$0	\$0		\$0
2004	\$6,471,800	\$1,294,360		\$7,766,160
2005	\$5,243,500	\$1,048,700		\$6,292,200
2006	\$4,242,300	\$848,460	\$41,000,000	\$46,090,760
2007	\$5,207,200	\$1,041,440		\$6,248,640
2008	\$3,274,300	\$654,860	\$19,826,250	\$23,755,410
2009	\$3,274,300	\$654,860	\$37,455,570	\$41,384,730
2009 - ARRA	\$19,239,100	\$0		\$19,239,100
2010	\$10,002,000	\$2,000,400		\$12,002,400
2011	\$7,222,000	\$1,444,400		\$8,666,400
<b>TOTAL</b>	<b>\$153,455,700</b>	<b>\$26,843,320</b>	<b>\$102,789,360</b>	<b>\$283,088,380</b>

\* The 2002 and 2003 capitalization grants and state match were transferred to the Drinking Water SRF program. Administrative surcharge funds were used as state match.

**EXHIBIT IV**  
**Clean Water SRF Disbursements**  
**October 1, 2010 to September 30, 2011**

**LOAN DISBURSEMENTS**  
**BASE PROGRAM**

<b>Date</b>	<b>Borrower Name</b>	<b>State Funds</b>	<b>Federal Funds</b>	<b>Repayments</b>	<b>Interest/Earnings</b>	<b>Leveraged</b>	<b>Total Disbursement</b>
10/13/10	Aberdeen (01NPS)	\$0	\$0	\$15,372	\$0	\$0	\$15,372
10/13/10	Canton (03)	\$0	\$0	\$0	\$0	\$317,756	\$317,756
10/13/10	Elk Point (06)	\$0	\$0	\$0	\$0	\$30,079	\$30,079
10/13/10	Harrisburg (03)	\$100,000	\$0	\$0	\$0	\$0	\$100,000
10/13/10	Harrisburg (03)	\$0	\$0	\$0	\$0	\$140,454	\$140,454
10/13/10	Sioux Falls (21NPS)	\$0	\$0	\$1,407	\$0	\$0	\$1,407
10/13/10	Tea (07)	\$0	\$0	\$0	\$0	\$311,715	\$311,715
10/13/10	Watertown (10)	\$0	\$0	\$0	\$0	\$344,156	\$344,156
10/13/10	Winner (01)	\$0	\$0	\$0	\$0	\$11,345	\$11,345
10/14/10	Watertown (09)	\$0	\$500,000	\$0	\$0	\$0	\$500,000
10/14/10	Watertown (09)	\$265,165	\$0	\$0	\$0	\$0	\$265,165
10/21/10	Canistota (01)	\$0	\$0	\$0	\$0	\$85,062	\$85,062
10/21/10	Elkton (01)	\$20,367	\$0	\$0	\$0	\$0	\$20,367
10/21/10	Elkton (01)	\$0	\$0	\$0	\$0	\$69,034	\$69,034
10/21/10	Garretson (02)	\$0	\$0	\$0	\$0	\$24,571	\$24,571
10/21/10	Marion (01)	\$0	\$0	\$0	\$0	\$23,623	\$23,623
10/21/10	Sioux Falls (21B)	\$0	\$0	\$0	\$0	\$3,864	\$3,864
10/21/10	Sioux Falls (25)	\$0	\$0	\$0	\$0	\$303,452	\$303,452
10/21/10	Sioux Falls (26)	\$0	\$0	\$0	\$17,789	\$0	\$17,789
10/21/10	Sioux Falls (27)	\$0	\$0	\$0	\$0	\$99,462	\$99,462
10/21/10	Sioux Falls (29)	\$0	\$0	\$0	\$0	\$58,911	\$58,911
10/21/10	Vermillion (05)	\$0	\$0	\$0	\$0	\$131,003	\$131,003
10/22/10	Sioux Falls (30)	\$34,882	\$0	\$0	\$0	\$0	\$34,882
10/22/10	Sioux Falls (30)	\$0	\$455,591	\$0	\$0	\$0	\$455,591

Date	Borrower Name	State Funds	Federal Funds	Repayments	Interest/Earnings	Leveraged	Total Disbursement
10/28/10	Canton (03)	\$0	\$0	\$0	\$0	\$214,790	\$214,790
10/28/10	Harrisburg (03)	\$0	\$0	\$0	\$0	\$76,973	\$76,973
10/28/10	Lennox (04)	\$288,020	\$0	\$0	\$0	\$0	\$288,020
10/28/10	Sioux Falls (21NPS)	\$0	\$0	\$2,298	\$0	\$0	\$2,298
11/05/10	Brookings (02)	\$78,630	\$0	\$0	\$0	\$0	\$78,630
11/05/10	Brookings (02)	\$0	\$0	\$0	\$0	\$47,051	\$47,051
11/05/10	Fort Pierre (05)	\$0	\$0	\$0	\$0	\$103,948	\$103,948
11/05/10	Gregory (01)	\$0	\$0	\$0	\$0	\$61,631	\$61,631
11/05/10	Vermillion (05)	\$0	\$0	\$0	\$0	\$187,801	\$187,801
11/05/10	Watertown (10)	\$0	\$0	\$0	\$0	\$325,765	\$325,765
11/09/10	Watertown (09)	\$34,835	\$200,000	\$0	\$0	\$0	\$234,835
11/09/10	Watertown (09)	\$0	\$0	\$0	\$0	\$379,342	\$379,342
11/12/10	Canistota (01)	\$0	\$0	\$0	\$0	\$96,748	\$96,748
11/19/10	Brandon (04)	\$0	\$0	\$0	\$0	\$130,550	\$130,550
11/19/10	Canistota (01)	\$0	\$0	\$0	\$0	\$4,587	\$4,587
11/19/10	Canistota (02)	\$57,193	\$0	\$0	\$0	\$0	\$57,193
11/19/10	Sioux Falls (25)	\$0	\$0	\$0	\$0	\$132,503	\$132,503
11/19/10	Sioux Falls (27)	\$0	\$0	\$0	\$0	\$101,224	\$101,224
11/19/10	Sioux Falls (29)	\$0	\$0	\$0	\$0	\$120,990	\$120,990
11/19/10	Sioux Falls (30)	\$0	\$0	\$0	\$0	\$549,620	\$549,620
11/19/10	Sioux Falls (30)	\$43,396	\$0	\$0	\$0	\$0	\$43,396
11/22/10	Aberdeen (01NPS)	\$0	\$0	\$249,519	\$0	\$0	\$249,519
11/22/10	Canton (03)	\$0	\$0	\$0	\$0	\$2,166	\$2,166
11/22/10	Canton (03)	\$0	\$0	\$120,976	\$0	\$0	\$120,976
11/22/10	Elkton (01)	\$0	\$0	\$0	\$0	\$8,127	\$8,127
11/22/10	Elkton (01)	\$0	\$0	\$0	\$42,238	\$0	\$42,238
11/22/10	Sioux Falls (21NPS)	\$0	\$0	\$24,718	\$0	\$0	\$24,718
11/22/10	Sioux Falls (26)	\$0	\$0	\$0	\$11,788	\$0	\$11,788
11/24/10	Canton (03)	\$0	\$0	\$0	\$0	\$18,828	\$18,828
11/24/10	Lennox (04)	\$6,315	\$0	\$0	\$0	\$0	\$6,315
11/29/10	Pierre (05)	\$0	\$0	\$0	\$86,175	\$0	\$86,175
12/03/10	Baltic (02)	\$0	\$0	\$0	\$0	\$16,725	\$16,725

Date	Borrower Name	State Funds	Federal Funds	Repayments	Interest/Earnings	Leveraged	Total Disbursement
12/03/10	Elk Point (06)	\$0	\$0	\$0	\$0	\$13,045	\$13,045
12/03/10	Madison (02)	\$4,003	\$0	\$0	\$0	\$0	\$4,003
12/03/10	Mitchell (03)	\$0	\$0	\$0	\$0	\$23,664	\$23,664
12/03/10	Vermillion (05)	\$0	\$0	\$0	\$0	\$63,875	\$63,875
12/03/10	Vermillion (05)	\$0	\$0	\$32,622	\$0	\$0	\$32,622
12/03/10	Watertown (09)	\$0	\$0	\$0	\$0	\$1,860,748	\$1,860,748
12/03/10	Watertown (10)	\$0	\$0	\$0	\$0	\$429,297	\$429,297
12/03/10	Winner (01)	\$0	\$0	\$0	\$0	\$38,753	\$38,753
12/09/10	Rapid City (06)	\$0	\$0	\$0	\$0	\$479,498	\$479,498
12/09/10	Rapid City (06)	\$144,913	\$600,000	\$0	\$0	\$0	\$744,913
12/09/10	Tea (07)	\$0	\$0	\$0	\$0	\$128,949	\$128,949
12/09/10	Wolsey (03)	\$154,343	\$0	\$0	\$0	\$0	\$154,343
12/17/10	Canton (03)	\$0	\$0	\$0	\$0	\$301,172	\$301,172
12/17/10	Canton (03)	\$0	\$0	\$32,721	\$0	\$0	\$32,721
12/17/10	Fort Pierre (05)	\$0	\$0	\$0	\$0	\$10,593	\$10,593
12/17/10	Harrisburg (03)	\$0	\$0	\$0	\$0	\$191,212	\$191,212
12/17/10	Harrold ((01)	\$0	\$0	\$0	\$0	\$50,192	\$50,192
12/17/10	Sioux Falls (21B)	\$0	\$0	\$0	\$0	\$2,944	\$2,944
12/17/10	Sioux Falls (25)	\$0	\$0	\$0	\$0	\$94,088	\$94,088
12/17/10	Sioux Falls (26)	\$0	\$0	\$0	\$34,850	\$0	\$34,850
12/17/10	Sioux Falls (27)	\$0	\$0	\$0	\$0	\$103,299	\$103,299
12/17/10	Sioux Falls (27)	\$0	\$0	\$27,635	\$0	\$0	\$27,635
12/17/10	Sioux Falls (30)	\$0	\$0	\$0	\$0	\$341,420	\$341,420
12/17/10	Wolsey (03)	\$188,269	\$30,015	\$0	\$0	\$0	\$218,284
01/04/11	Aurora (02)	\$0	\$0	\$85,147	\$0	\$0	\$85,147
01/04/11	Canton (03)	\$0	\$0	\$14,030	\$0	\$0	\$14,030
01/04/11	Elk Point (06)	\$0	\$0	\$0	\$0	\$15,099	\$15,099
01/04/11	Sioux Falls (21NPS)	\$0	\$0	\$6,289	\$0	\$0	\$6,289
01/04/11	Winner (01)	\$0	\$0	\$0	\$0	\$59,843	\$59,843
01/05/11	Brookings (03)	\$0	\$35,606	\$0	\$0	\$0	\$35,606
01/05/11	Brookings (03)	\$0	\$0	\$320,458	\$0	\$0	\$320,458
01/05/11	Hecla (01)	\$0	\$0	\$45,903	\$0	\$0	\$45,903

Date	Borrower Name	State Funds	Federal Funds	Repayments	Interest/Earnings	Leveraged	Total Disbursement
01/05/11	Marion (01)	\$0	\$50,000	\$0	\$0	\$0	\$50,000
01/05/11	Marion (01)	\$0	\$0	\$0	\$0	\$5,361	\$5,361
01/05/11	Wolsey (03)	\$0	\$46,018	\$0	\$0	\$0	\$46,018
01/05/11	Worthing (02)	\$0	\$0	\$29,529	\$0	\$0	\$29,529
01/13/11	Aberdeen (01NPS)	\$0	\$0	\$237,388	\$0	\$0	\$237,388
01/13/11	Baltic (02)	\$0	\$0	\$0	\$0	\$11,648	\$11,648
01/13/11	Canistota (02)	\$0	\$75,466	\$0	\$0	\$0	\$75,466
01/13/11	Gettysburg (01)	\$0	\$0	\$0	\$0	\$59,382	\$59,382
01/13/11	Lake Poinsett (02)	\$0	\$0	\$0	\$0	\$6,652	\$6,652
01/13/11	Vermillion (05)	\$0	\$0	\$0	\$0	\$120,107	\$120,107
01/26/11	Canton (03)	\$0	\$0	\$43,773	\$0	\$0	\$43,773
01/26/11	Elk Point (06)	\$0	\$0	\$0	\$0	\$168,312	\$168,312
01/26/11	Groton (08)	\$0	\$171,167	\$0	\$0	\$0	\$171,167
01/26/11	Lennox (04)	\$0	\$141,823	\$0	\$0	\$0	\$141,823
01/26/11	Lennox (04)	\$0	\$0	\$0	\$0	\$48,151	\$48,151
01/26/11	Marion (01)	\$0	\$0	\$0	\$0	\$143,195	\$143,195
01/26/11	Sioux Falls (25)	\$0	\$0	\$0	\$0	\$221,076	\$221,076
01/26/11	Sioux Falls (26)	\$0	\$0	\$0	\$33,885	\$0	\$33,885
01/26/11	Sioux Falls (27)	\$0	\$0	\$9,445	\$0	\$0	\$9,445
01/26/11	Sioux Falls (30)	\$0	\$0	\$0	\$0	\$30,053	\$30,053
02/03/11	Fort Pierre (05)	\$0	\$0	\$0	\$0	\$20,459	\$20,459
02/03/11	Fort Pierre (05)	\$0	\$0	\$0	\$715	\$0	\$715
02/03/11	Groton (07)	\$0	\$0	\$10,251	\$0	\$0	\$10,251
02/03/11	Sioux Falls (21NPS)	\$0	\$0	\$4,720	\$0	\$0	\$4,720
02/03/11	Watertown (09)	\$0	\$0	\$0	\$0	\$707,404	\$707,404
02/03/11	Watertown (10)	\$0	\$0	\$0	\$0	\$9,599	\$9,599
02/03/11	Winner (01)	\$0	\$0	\$0	\$0	\$50,188	\$50,188
02/03/11	Wolsey (03)	\$0	\$31,784	\$0	\$0	\$0	\$31,784
02/09/11	Vermillion (05)	\$0	\$0	\$55,270	\$0	\$0	\$55,270
02/18/11	Aurora (02)	\$0	\$0	\$36,156	\$0	\$0	\$36,156
02/18/11	Elkton (01)	\$0	\$0	\$0	\$29,696	\$0	\$29,696
02/18/11	Groton (07)	\$0	\$0	\$1,162	\$0	\$0	\$1,162

Date	Borrower Name	State Funds	Federal Funds	Repayments	Interest/Earnings	Leveraged	Total Disbursement
02/18/11	Groton (08)	\$0	\$0	\$7,024	\$0	\$0	\$7,024
02/18/11	Groton (08)	\$0	\$2,342	\$0	\$0	\$0	\$2,342
02/18/11	Sioux Falls (27)	\$0	\$0	\$22,675	\$0	\$0	\$22,675
02/18/11	Sioux Falls (29)	\$0	\$0	\$0	\$0	\$2,367	\$2,367
02/18/11	Sioux Falls (32)	\$0	\$0	\$0	\$0	\$53,430	\$53,430
02/18/11	Wolsey (03)	\$0	\$10,215	\$0	\$0	\$0	\$10,215
03/10/11	Baltic (02)	\$0	\$0	\$0	\$0	\$2,269	\$2,269
03/10/11	Elkton (01)	\$0	\$0	\$0	\$10,284	\$0	\$10,284
03/10/11	Parker (03)	\$0	\$0	\$0	\$0	\$29,104	\$29,104
03/10/11	Sioux Falls (21NPS)	\$0	\$0	\$58,078	\$0	\$0	\$58,078
03/10/11	Vermillion (05)	\$0	\$0	\$0	\$0	\$85,462	\$85,462
03/11/11	Canistota (02)	\$0	\$11,939	\$0	\$0	\$0	\$11,939
03/17/11	Lennox (04)	\$0	\$0	\$0	\$0	\$121,201	\$121,201
03/17/11	Sioux Falls (25)	\$0	\$0	\$0	\$3,009	\$0	\$3,009
03/17/11	Sioux Falls (26)	\$0	\$0	\$0	\$60,816	\$0	\$60,816
03/17/11	Sioux Falls (28)	\$0	\$0	\$0	\$57,794	\$0	\$57,794
03/17/11	Sioux Falls (29)	\$0	\$0	\$0	\$0	\$9,432	\$9,432
03/17/11	Sioux Falls (30)	\$0	\$0	\$0	\$0	\$9,843	\$9,843
03/17/11	Sioux Falls (32)	\$0	\$0	\$0	\$0	\$3,561	\$3,561
03/31/11	Parker (03)	\$0	\$0	\$0	\$0	\$11,639	\$11,639
03/31/11	Rapid City (06)	\$0	\$0	\$0	\$0	\$906,846	\$906,846
03/31/11	Sioux Falls (21NPS)	\$0	\$0	\$486,605	\$0	\$0	\$486,605
03/31/11	Watertown (09)	\$0	\$0	\$0	\$0	\$170,955	\$170,955
03/31/11	Watertown (10)	\$0	\$0	\$0	\$0	\$1,387	\$1,387
04/06/11	Vermillion (05)	\$0	\$0	\$18,740	\$0	\$0	\$18,740
04/06/11	Watertown (09)	\$0	\$0	\$0	\$0	\$516,101	\$516,101
04/20/11	Sioux Falls (25)	\$0	\$0	\$0	\$1,225	\$0	\$1,225
04/20/11	Sioux Falls (26)	\$0	\$0	\$0	\$0	\$216,361	\$216,361
04/20/11	Sioux Falls (28)	\$0	\$0	\$0	\$7,535	\$0	\$7,535
04/20/11	Sioux Falls (29)	\$0	\$0	\$0	\$0	\$546	\$546
04/20/11	Sioux Falls (30)	\$0	\$0	\$0	\$0	\$48,691	\$48,691
04/20/11	Spencer (01)	\$0	\$15,633	\$0	\$0	\$0	\$15,633

Date	Borrower Name	State Funds	Federal Funds	Repayments	Interest/Earnings	Leveraged	Total Disbursement
04/20/11	Spencer (01)	\$0	\$0	\$20,389	\$0	\$0	\$20,389
04/20/11	Winner (01)	\$0	\$0	\$0	\$0	\$3,638	\$3,638
04/20/11	Winner (01)	\$0	\$0	\$0	\$19,655	\$0	\$19,655
04/21/11	Sioux Falls (21NPS)	\$0	\$0	\$280,386	\$0	\$0	\$280,386
05/11/11	Fort Pierre (05)	\$0	\$0	\$41,599	\$0	\$0	\$41,599
05/11/11	Lennox (04)	\$0	\$0	\$69,010	\$0	\$0	\$69,010
05/11/11	Watertown (09)	\$0	\$0	\$424,182	\$0	\$0	\$424,182
05/19/11	Milbank (01)	\$0	\$0	\$164,525	\$0	\$0	\$164,525
05/19/11	Sioux Falls (26)	\$0	\$0	\$0	\$0	\$54,735	\$54,735
05/19/11	Sioux Falls (28)	\$0	\$0	\$0	\$89,033	\$0	\$89,033
05/19/11	Sioux Falls (29)	\$0	\$0	\$0	\$0	\$18,513	\$18,513
05/19/11	Sioux Falls (30)	\$0	\$0	\$0	\$0	\$11,984	\$11,984
05/19/11	Sioux Falls (32)	\$0	\$0	\$0	\$0	\$1,193,009	\$1,193,009
05/19/11	Sioux Falls (32)	\$0	\$0	\$475,684	\$0	\$0	\$475,684
05/19/11	Spencer (01)	\$0	\$1,302	\$0	\$0	\$0	\$1,302
05/19/11	Spencer (01)	\$0	\$0	\$1,698	\$0	\$0	\$1,698
05/20/11	Sioux Falls (32)	\$0	\$0	\$251,336	\$0	\$0	\$251,336
06/09/11	Dell Rapids (05)	\$0	\$30,269	\$0	\$0	\$0	\$30,269
06/09/11	Dell Rapids (05)	\$0	\$0	\$26,203	\$0	\$0	\$26,203
06/09/11	Elkton (01)	\$0	\$0	\$0	\$14,096	\$0	\$14,096
06/09/11	Lennox (04)	\$0	\$0	\$0	\$0	\$83,160	\$83,160
06/09/11	Wolsey (03)	\$0	\$4,473	\$0	\$0	\$0	\$4,473
06/22/11	Aberdeen (02)	\$0	\$63,874	\$0	\$0	\$0	\$63,874
06/22/11	Aberdeen (02)	\$0	\$0	\$0	\$0	\$20,107	\$20,107
06/22/11	Fort Pierre (05)	\$0	\$0	\$8,401	\$0	\$0	\$8,401
06/22/11	Fort Pierre (05)	\$0	\$0	\$0	\$22,420	\$0	\$22,420
06/22/11	Menno (01)	\$0	\$93,850	\$0	\$0	\$0	\$93,850
06/22/11	Milbank (01)	\$0	\$0	\$72,492	\$0	\$0	\$72,492
06/22/11	Sioux Falls (21NPS)	\$0	\$0	\$7,095	\$0	\$0	\$7,095
06/22/11	Sioux Falls (26)	\$0	\$0	\$0	\$27,895	\$0	\$27,895
06/22/11	Sioux Falls (28)	\$0	\$0	\$0	\$19,922	\$0	\$19,922
06/22/11	Sioux Falls (30)	\$0	\$0	\$0	\$0	\$18,103	\$18,103

Date	Borrower Name	State Funds	Federal Funds	Repayments	Interest/Earnings	Leveraged	Total Disbursement
06/22/11	Sioux Falls (32)	\$0	\$0	\$0	\$0	\$875,000	\$875,000
06/22/11	Sioux Falls (32)	\$0	\$0	\$1,220,940	\$0	\$0	\$1,220,940
06/22/11	Vermillion (05)	\$0	\$0	\$107,258	\$0	\$0	\$107,258
06/22/11	Watertown (09)	\$0	\$0	\$0	\$0	\$79,984	\$79,984
06/22/11	Watertown (09)	\$0	\$0	\$595,016	\$0	\$0	\$595,016
06/22/11	Watertown (10)	\$0	\$0	\$0	\$0	\$8,088	\$8,088
06/22/11	Watertown (10)	\$0	\$0	\$4,550	\$0	\$0	\$4,550
06/22/11	Watertown (11)	\$0	\$35,685	\$0	\$0	\$0	\$35,685
06/22/11	Watertown (11)	\$0	\$0	\$22,434	\$0	\$0	\$22,434
06/28/11	Elk Point (06)	\$0	\$0	\$18,419	\$0	\$0	\$18,419
07/08/11	Mount Vernon (01)	\$0	\$29,484	\$0	\$0	\$0	\$29,484
07/08/11	Mount Vernon (01)	\$0	\$0	\$35,033	\$0	\$0	\$35,033
07/08/11	Watertown (09)	\$0	\$0	\$543,997	\$0	\$0	\$543,997
07/08/11	Watertown (10)	\$0	\$0	\$6,490	\$0	\$0	\$6,490
07/08/11	Watertown (11)	\$0	\$114,632	\$0	\$0	\$0	\$114,632
07/08/11	Watertown (11)	\$0	\$0	\$72,065	\$0	\$0	\$72,065
07/15/11	Dell Rapids (05)	\$0	\$85,125	\$0	\$0	\$0	\$85,125
07/15/11	Dell Rapids (05)	\$0	\$0	\$73,691	\$0	\$0	\$73,691
07/15/11	Menno (01)	\$0	\$75,668	\$0	\$0	\$0	\$75,668
07/15/11	Vermillion (05)	\$0	\$0	\$104,328	\$0	\$0	\$104,328
07/20/11	Milbank (01)	\$0	\$0	\$69,361	\$0	\$0	\$69,361
07/20/11	Sioux Falls (28)	\$0	\$0	\$0	\$9,316	\$0	\$9,316
07/20/11	Sioux Falls (32)	\$0	\$0	\$3,138,256	\$0	\$0	\$3,138,256
07/20/11	Wolsey (03)	\$0	\$89,275	\$0	\$0	\$0	\$89,275
07/21/11	Mitchell (03NPS)	\$0	\$0	\$86,385	\$0	\$0	\$86,385
07/21/11	Sioux Falls (27)	\$0	\$0	\$10,318	\$0	\$0	\$10,318
07/21/11	Sioux Falls (30)	\$0	\$0	\$0	\$0	\$16,220	\$16,220
07/26/11	Sioux Falls (26)	\$0	\$0	\$0	\$34,811	\$0	\$34,811
07/27/11	Lennox (04)	\$0	\$0	\$0	\$0	\$60,042	\$60,042
07/27/11	Sioux Falls (21NPS)	\$0	\$0	\$528	\$0	\$0	\$528
07/27/11	Spencer (01)	\$0	\$48,582	\$0	\$0	\$0	\$48,582
07/27/11	Spencer (01)	\$0	\$0	\$63,358	\$0	\$0	\$63,358

Date	Borrower Name	State Funds	Federal Funds	Repayments	Interest/Earnings	Leveraged	Total Disbursement
08/04/11	Aberdeen (01NPS)	\$0	\$0	\$3,876	\$0	\$0	\$3,876
08/04/11	Watertown (09)	\$0	\$0	\$414,877	\$0	\$0	\$414,877
08/04/11	Watertown (11)	\$0	\$43,329	\$0	\$0	\$0	\$43,329
08/04/11	Watertown (11)	\$0	\$0	\$27,240	\$0	\$0	\$27,240
08/04/11	Winner (01)	\$0	\$0	\$0	\$35,130	\$0	\$35,130
08/12/11	Dell Rapids (05)	\$0	\$56,609	\$0	\$0	\$0	\$56,609
08/12/11	Dell Rapids (05)	\$0	\$0	\$49,005	\$0	\$0	\$49,005
08/12/11	Groton (08)	\$0	\$0	\$17,321	\$0	\$0	\$17,321
08/12/11	Groton (08)	\$0	\$5,774	\$0	\$0	\$0	\$5,774
08/12/11	Menno (01)	\$0	\$2,369	\$0	\$0	\$0	\$2,369
08/12/11	Rapid City (06)	\$0	\$0	\$0	\$0	\$113,656	\$113,656
08/12/11	Rapid City (06)	\$0	\$0	\$0	\$343,009	\$0	\$343,009
08/12/11	Vermillion (05)	\$0	\$0	\$89,208	\$0	\$0	\$89,208
08/18/11	Winner (01)	\$0	\$0	\$0	\$89,426	\$0	\$89,426
08/19/11	Elkton (01)	\$0	\$0	\$0	\$27,516	\$0	\$27,516
08/19/11	Milbank (01)	\$0	\$0	\$191,466	\$0	\$0	\$191,466
08/19/11	Sioux Falls (21B)	\$0	\$0	\$0	\$0	\$947	\$947
08/19/11	Sioux Falls (26)	\$0	\$0	\$0	\$29,784	\$0	\$29,784
08/19/11	Sioux Falls (30)	\$0	\$0	\$0	\$0	\$7,419	\$7,419
08/25/11	Sioux Falls (32)	\$0	\$0	\$213,784	\$0	\$0	\$213,784
08/25/11	Sioux Falls (32)	\$0	\$0	\$0	\$2,738,141	\$0	\$2,738,141
08/26/11	Lennox (04)	\$0	\$0	\$0	\$0	\$44,811	\$44,811
08/26/11	Lennox (04)	\$0	\$0	\$85,608	\$0	\$0	\$85,608
08/26/11	Winner (01)	\$0	\$0	\$0	\$39,265	\$0	\$39,265
09/12/11	Watertown (09)	\$0	\$0	\$319,359	\$0	\$0	\$319,359
09/12/11	Watertown (10)	\$0	\$0	\$51	\$0	\$0	\$51
09/12/11	Watertown (11)	\$0	\$29,854	\$0	\$0	\$0	\$29,854
09/12/11	Watertown (11)	\$0	\$0	\$18,768	\$0	\$0	\$18,768
09/13/11	Sioux Falls (21NPS)	\$0	\$0	(\$36,809)	\$0	\$0	(\$36,809)
09/15/11	Winner (01)	\$0	\$0	\$0	\$100,901	\$0	\$100,901
09/23/11	Aberdeen (02)	\$0	\$67,780	\$0	\$0	\$0	\$67,780
09/23/11	Dell Rapids (05)	\$0	\$71,568	\$0	\$0	\$0	\$71,568

Date	Borrower Name	State Funds	Federal Funds	Repayments	Interest/Earnings	Leveraged	Total Disbursement
09/23/11	Dell Rapids (05)	\$0	\$0	\$61,954	\$0	\$0	\$61,954
09/23/11	Elkton (01)	\$0	\$0	\$0	\$3,325	\$0	\$3,325
09/23/11	Lead (07)	\$0	\$0	\$0	\$46,317	\$0	\$46,317
09/23/11	Menno (01)	\$0	\$8,436	\$0	\$0	\$0	\$8,436
09/23/11	Mount Vernon (01)	\$0	\$157,955	\$0	\$0	\$0	\$157,955
09/23/11	Mount Vernon (01)	\$0	\$0	\$187,681	\$0	\$0	\$187,681
09/23/11	Sioux Falls (26)	\$0	\$0	\$0	\$19,385	\$0	\$19,385
09/23/11	Sioux Falls (30)	\$0	\$0	\$0	\$0	\$25,973	\$25,973
09/23/11	Sioux Falls (32)	\$0	\$0	\$0	\$2,303,394	\$0	\$2,303,394
09/28/11	Milbank (01)	\$0	\$0	\$172,960	\$0	\$0	\$172,960
09/28/11	Milbank (02)	\$0	\$0	\$212,307	\$0	\$0	\$212,307
<b>TOTAL BASE PROGRAM</b>		<b>\$1,420,331</b>	<b>\$3,493,492</b>	<b>\$12,043,994</b>	<b>\$6,410,540</b>	<b>\$15,167,718</b>	<b>\$38,536,075</b>

**LOAN DISBURSEMENTS  
ARRA FUNDS**

Date	Borrower Name	Total Disbursement
10/07/10	Harrisburg (02)	\$616,834
10/07/10	Hecla (01)	\$14,339
10/07/10	Webster (03)	\$23,933
10/13/10	Aurora (02)	\$18,471
10/18/10	Webster (03)	\$26,105
10/20/10	Canistota (01)	\$54,580
10/20/10	Milbank (01)	\$278,582
10/25/10	Harrisburg (02)	\$409,502
10/25/10	Sioux Falls (28)	\$1,346
11/03/10	Baltic (02)	\$44,992
11/03/10	Brookings (02)	\$13,964
11/12/10	Webster (03)	\$195,224
11/19/10	Brandon (04)	\$14,506
11/19/10	Harrisburg (02)	\$278,210

<b>Date</b>	<b>Borrower Name</b>	<b>Total Disbursement</b>
11/19/10	Lennox (03)	\$164,240
12/01/10	Aurora (02)	\$41,909
12/01/10	Baltic (02)	\$28,780
12/01/10	Milbank (01)	\$236,694
12/15/10	Harrisburg (02)	\$228,455
12/15/10	Watertown LATI	\$67,091
12/15/10	Webster (03)	\$56,573
12/16/10	Aurora (02)	\$125,865
12/16/10	Sioux Falls (28)	\$140,970
12/21/10	Milbank (01)	\$587,096
12/21/10	Sioux Falls (28)	\$241,057
01/20/11	Lennox (03)	\$514,358
01/20/11	Milbank (01)	\$21,007
01/20/11	Sioux Falls (28)	\$838,361
01/26/11	Groton (07)	\$112,587
02/01/11	Harrisburg (02)	\$41,291
02/15/11	Sioux Falls (28)	\$167,843
02/28/11	Milbank (01)	\$226,901
03/03/11	Watertown LATI	\$53,202
03/15/11	Sioux Falls (28)	\$104,733
03/22/11	Milbank (01)	\$151,228
04/21/11	Harrisburg (02)	\$50,837
04/21/11	Milbank (01)	\$130,919
05/17/11	Milbank (01)	\$9,905
07/06/11	Harrisburg (02)	\$92,400
07/06/11	Milbank (01)	\$78,792
07/12/11	Watertown LATI	\$5,000
08/03/11	Watertown (09)	\$36,937
09/20/11	Harrisburg (02)	\$77,568
<b>Total ARRA Program</b>		<b>\$6,623,187</b>
<b>Total Loan Disbursements (Base Program and ARRA)</b>		<b>\$45,159,262</b>

**ADMINISTRATIVE DISBURSEMENTS**

<b>Date</b>	<b>Name</b>	<b>Cost of Issuance</b>	<b>State Funds</b>	<b>Federal Funds</b>	<b>ARRA Funds</b>	<b>State Admin Restricted</b>	<b>State Admin Discretionary</b>	<b>Total Payment</b>
10/18/10	SD - Admin	\$0.00	\$0.00	\$0.00	\$24,300.00	\$0.00	\$0.00	\$24,300.00
10/22/10	SD-Planning Grants	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$15,300.00	\$15,300.00
10/22/10	SD-Planning Dist Grants	\$0.00	\$0.00	\$0.00	\$0.00	\$12,500.00	\$0.00	\$12,500.00
10/22/10	SD-Davis-Bacon	\$0.00	\$0.00	\$0.00	\$0.00	\$250.00	\$0.00	\$250.00
11/12/10	Moody's	\$8,953.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$8,953.00
11/19/10	Perkins Coie	\$47,476.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$47,476.00
11/19/10	SD - Admin	\$0.00	\$0.00	\$0.00	\$23,300.00	\$0.00	\$0.00	\$23,300.00
11/22/10	SD-Planning Grants	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$94,200.00	\$94,200.00
11/22/10	SD-Planning Dist Grants	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$2,500.00	\$2,500.00
11/22/10	SD-Davis-Bacon	\$0.00	\$0.00	\$0.00	\$0.00	\$1,750.00	\$0.00	\$1,750.00
12/09/10	FNB	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$35,700.00	\$35,700.00
12/17/10	SD-Planning Grants	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$71,000.00	\$71,000.00
12/17/10	SD-Planning Dist Grants	\$0.00	\$0.00	\$0.00	\$0.00	\$12,500.00	\$5,000.00	\$17,500.00
12/17/10	SD-Davis-Bacon	\$0.00	\$0.00	\$0.00	\$0.00	\$1,000.00	\$0.00	\$1,000.00
12/20/10	SD - Admin	\$0.00	\$0.00	\$0.00	\$23,800.00	\$0.00	\$0.00	\$23,800.00
01/13/11	FNB	\$2,638.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$2,638.00
01/13/11	Public Financial Mgmt	\$17,888.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$17,888.00
01/13/11	Standard & Poor's	\$22,103.51	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$22,103.51
01/13/11	GrantThornton LLP	\$1,465.75	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$1,465.75
01/13/11	ImageMaster LLC	\$1,667.43	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$1,667.43
01/25/11	SD-Planning Grants	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$142,300.00	\$142,300.00
01/25/11	SD-Planning Dist Grants	\$0.00	\$0.00	\$0.00	\$0.00	\$2,500.00	\$0.00	\$2,500.00
01/25/11	SD-Planning Dist Grants	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$7,500.00	\$7,500.00
01/25/11	SD-Davis-Bacon	\$0.00	\$0.00	\$0.00	\$0.00	\$1,250.00	\$0.00	\$1,250.00
01/25/11	SD - Admin	\$0.00	\$0.00	\$0.00	\$24,400.00	\$0.00	\$0.00	\$24,400.00
02/08/11	SD - Admin	\$0.00	\$0.00	\$0.00	\$25,700.00	\$0.00	\$0.00	\$25,700.00
02/09/11	SD-Planning Grants	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$96,100.00	\$96,100.00
02/09/11	SD-Planning Dist Grants	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$5,000.00	\$5,000.00
02/17/11	Perkins Coie	\$33,684.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$33,684.00
03/16/11	SD-Planning Grants	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$15,500.00	\$15,500.00
03/16/11	SD-Planning Dist Grants	\$0.00	\$0.00	\$0.00	\$0.00	\$2,500.00	\$5,000.00	\$7,500.00
03/16/11	SD-Davis-Bacon	\$0.00	\$0.00	\$0.00	\$0.00	\$750.00	\$0.00	\$750.00
03/22/11	SD - Admin	\$0.00	\$0.00	\$0.00	\$20,900.00	\$0.00	\$0.00	\$20,900.00
04/07/11	SD - Admin	\$0.00	\$0.00	\$0.00	\$37,200.00	\$0.00	\$0.00	\$37,200.00
04/08/11	SD-Planning Grants	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$17,800.00	\$17,800.00

Date	Name	Cost of Issuance	State Funds	Federal Funds	ARRA Funds	State Admin Restricted	State Admin Discretionary	Total Payment
04/08/11	SD-Planning Dist Grants	\$0.00	\$0.00	\$0.00	\$0.00	\$20,000.00	\$10,000.00	\$30,000.00
04/08/11	SD-Davis-Bacon	\$0.00	\$0.00	\$0.00	\$0.00	\$750.00	\$0.00	\$750.00
05/06/11	Public Financial Mgmt	\$1,466.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$1,466.00
05/11/11	FNB	\$0.00	\$0.00	\$0.00	\$0.00	\$2,000.00	\$0.00	\$2,000.00
05/12/11	SD - Admin	\$0.00	\$0.00	\$0.00	\$32,300.00	\$0.00	\$0.00	\$32,300.00
05/20/11	SD-Planning Grants	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$16,000.00	\$16,000.00
05/20/11	SD-Planning Dist Grants	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$10,000.00	\$10,000.00
05/20/11	SD-Davis-Bacon	\$0.00	\$0.00	\$0.00	\$0.00	\$1,250.00	\$0.00	\$1,250.00
06/15/11	SD - Admin	\$0.00	\$0.00	\$0.00	\$13,600.00	\$0.00	\$0.00	\$13,600.00
06/09/11	Moody's	\$19,000.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$19,000.00
06/21/11	SD-Planning Grants	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$92,100.00	\$92,100.00
06/21/11	SD-Planning Dist Grants	\$0.00	\$0.00	\$0.00	\$0.00	\$2,500.00	\$12,500.00	\$15,000.00
06/21/11	SD-Davis-Bacon	\$0.00	\$0.00	\$0.00	\$0.00	\$1,250.00	\$0.00	\$1,250.00
06/23/11	FNB	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$35,275.00	\$35,275.00
07/26/11	SD - Admin	\$0.00	\$0.00	\$0.00	\$34,000.00	\$0.00	\$0.00	\$34,000.00
07/27/11	SD-Planning Grants	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$29,300.00	\$29,300.00
08/12/11	SD - Admin	\$0.00	\$0.00	\$0.00	\$23,900.00	\$0.00	\$0.00	\$23,900.00
08/17/11	SD-Planning Grants	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$10,000.00	\$10,000.00
08/17/11	SD-Planning Dist Grants	\$0.00	\$0.00	\$0.00	\$0.00	\$30,000.00	\$2,500.00	\$32,500.00
08/17/11	SD-Davis-Bacon	\$0.00	\$0.00	\$0.00	\$0.00	\$1,000.00	\$0.00	\$1,000.00
09/19/11	SD - Admin	\$0.00	\$0.00	\$0.00	\$21,500.00	\$0.00	\$0.00	\$21,500.00
09/21/11	SD-Planning Grants	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$17,400.00	\$17,400.00
09/21/11	SD-Planning Dist Grants	\$0.00	\$0.00	\$0.00	\$0.00	\$10,000.00	\$12,500.00	\$22,500.00
09/21/11	SD-Davis-Bacon	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$250.00	\$250.00
09/28/11	Public Financial Mgmt	\$21,400.35	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$21,400.35
09/28/11	Public Financial Mgmt	\$0.00	\$0.00	\$0.00	\$0.00	\$6,446.00	\$0.00	\$6,446.00
		<b>\$177,742.04</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$304,900.00</b>	<b>\$110,196.00</b>	<b>\$760,725.00</b>	<b>\$1,353,563.04</b>
<b>TOTAL OF ALL CWSRF DISBURSEMENTS</b>								<b>\$46,512,825.07</b>

Disbursements to the State of SD reflect reimbursements for disbursements made through the state accounting system. These reimbursements are for payroll expenses, overhead costs, loan administration grants and planning grants. These reimbursements are rounded and do not reflect expenses as incurred during the year. Expenses reimbursed also may be from a prior fiscal year. See Financial Statements for expenses incurred on an accrual basis.

**EXHIBIT V**  
**Letter of Credit Analysis**  
**Projected Draws vs. Actual Draws**  
**Federal Fiscal Year 2011**

<b>Quarter</b>	<b>Available Federal Funds</b>	<b>Actual Loan Draws</b>	<b>Actual Admin Draws</b>	<b>Difference</b>
1 <sup>st</sup>	\$13,362,418	\$5,694,924	\$71,400	\$7,596,094
2 <sup>nd</sup>	\$2,500,000	\$2,807,871	\$71,000	(\$378,871)
3 <sup>rd</sup>	\$2,500,000	\$436,747	\$83,100	\$1,957,758
4 <sup>th</sup>	\$7,222,000	\$1,177,137	\$79,400	\$5,965,463
	<b>\$25,584,418</b>	<b>\$10,116,679</b>	<b>\$304,900</b>	<b>\$15,140,444</b>

**Letter of Credit Draws**  
**Federal Fiscal Year 2011**

<b>Draw #</b>	<b>Date</b>	<b>Base Loan</b>	<b>ARRA Admin</b>	<b>ARRA Loan</b>	<b>Total</b>
60	10/08/11	\$0	\$0	\$655,106	\$655,106
812	10/13/10	\$500,000	\$0	\$0	\$500,000
61	10/14/10	\$0	\$0	\$18,471	\$18,471
605	10/18/10	\$0	\$24,300	\$0	\$24,300
62	10/18/10	\$0	\$0	\$26,105	\$26,105
63	10/21/10	\$0	\$0	\$333,162	\$333,162
813	10/21/10	\$455,591	\$0	\$0	\$455,591
64	10/26/10	\$0	\$0	\$410,848	\$410,848
66	11/03/11	\$0	\$0	\$58,956	\$58,956
815	11/08/10	\$200,000	\$0	\$0	\$200,000
67	11/15/10	\$0	\$0	\$195,224	\$195,224
606	11/19/10	\$0	\$23,300	\$0	\$23,300
68	11/22/10	\$0	\$0	\$456,956	\$456,956
69	12/02/10	\$0	\$0	\$307,383	\$307,383
819	12/08/10	\$600,000	\$0	\$0	\$600,000
70	12/16/10	\$0	\$0	\$352,119	\$352,119
820	12/16/10	\$30,015	\$0	\$0	\$30,015
71	12/17/10	\$0	\$0	\$266,835	\$266,835
607	12/20/10	\$0	\$23,800	\$0	\$23,800
72	12/22/10	\$0	\$0	\$828,153	\$828,153
821	01/04/11	\$131,624	\$0	\$0	\$131,624
822	01/04/11	\$75,466	\$0	\$0	\$75,466
75	01/21/11	\$0	\$0	\$1,373,726	\$1,373,726
608	01/25/11	\$0	\$24,400	\$0	\$24,400
825	01/25/11	\$312,990	\$0	\$0	\$312,990
77	01/27/11	\$0	\$0	\$112,587	\$112,587
826	02/02/11	\$31,784	\$0	\$0	\$31,784
78	02/02/11	\$0	\$0	\$41,291	\$41,291
609	02/08/11	\$0	\$25,700	\$0	\$25,700
79	02/16/11	\$0	\$0	\$167,843	\$167,843
828	02/17/11	\$12,557	\$0	\$0	\$12,557

<b>Draw #</b>	<b>Date</b>	<b>Base Loan</b>	<b>ARRA Admin</b>	<b>ARRA Loan</b>	<b>Total</b>
80	02/28/11	\$0	\$0	\$226,901	\$226,901
81	03/04/11	\$0	\$0	\$53,202	\$53,202
829	03/10/11	\$11,939	\$0	\$0	\$11,939
82	03/16/11	\$0	\$0	\$104,733	\$104,733
610	03/22/11	\$0	\$20,900	\$0	\$20,900
83	03/23/11	\$0	\$0	\$151,228	\$151,228
612	04/07/11	\$0	\$37,200	\$0	\$37,200
833	04/19/11	\$15,633	\$0	\$0	\$15,633
84	04/25/11	\$0	\$0	\$181,756	\$181,756
613	05/12/11	\$0	\$32,300	\$0	\$32,300
86	05/17/11	\$0	\$0	\$9,905	\$9,905
835	05/18/11	\$1,302	\$0	\$0	\$1,302
836	06/08/11	\$34,742	\$0	\$0	\$34,742
614	06/15/11	\$0	\$13,600	\$0	\$13,600
838	06/21/11	\$193,409	\$0	\$0	\$193,409
88	07/06/11	\$0	\$0	\$171,192	\$171,192
840	07/07/11	\$144,116	\$0	\$0	\$144,116
89	07/13/11	\$0	\$0	\$5,000	\$5,000
841	07/14/11	\$160,793	\$0	\$0	\$160,793
842	07/19/11	\$89,275	\$0	\$0	\$89,275
615	07/26/11	\$0	\$34,000	\$0	\$34,000
843	07/26/11	\$48,582	\$0	\$0	\$48,582
845	08/03/11	\$43,329	\$0	\$0	\$43,329
91	08/04/11	\$0	\$0	\$36,937	\$36,937
846	08/11/11	\$64,752	\$0	\$0	\$64,752
616	08/12/11	\$0	\$23,900	\$0	\$23,900
850	09/09/11	\$29,854	\$0	\$0	\$29,854
617	09/19/11	\$0	\$21,500	\$0	\$21,500
93	09/21/11	\$0	\$0	\$77,568	\$77,568
853	09/22/11	\$305,739	\$0	\$0	\$305,739
		<b>\$3,493,492</b>	<b>\$304,900</b>	<b>\$6,623,187</b>	<b>\$10,421,579</b>

**EXHIBIT VI**  
**Environmental Review and Land Purchase Information**

**Completed During Federal Fiscal Year 2011**

<b>Recipient</b>	<b>Environmental Assessment Class</b>	<b>Environmental Assessment Publication Date</b>	<b>Land Purchase w/SRF?</b>
Belle Fourche Irrigation Dist (01)	N/A *		No
Bison (01)	CATEX	06/30/2011	No
Brookings (04)	FNSI	07/15/2011	No
Canova (01)	FNSI	09/15/2011	No
Colton (02)	CATEX	07/21/2011	No
Herreid (01)	CATEX	09/15/2011	No
Mount Vernon (01)	FNSI	03/26/2011	No
Plankinton (01)	CATEX	07/14/2011	No
Richmond Lake San Dist (03)	CATEX	03/25/2011	No
Richmond Lake San Dist (04)	CATEX	03/25/2011	No
Sioux Falls (32)	CATEX	11/19/2010	No
Sioux Falls (32NPS)	N/A *		No
Sioux Falls (33)	CATEX	06/10/2011	No
Sioux Falls (33NPS)	N/A *		No
Viborg (01)	CATEX	07/28/2011	No

\* An environmental assessment is not required for nonpoint source projects.

**Awarded During Federal Fiscal Year 2011 and Still Pending**

<b>Recipient</b>	<b>Environmental Assessment Class</b>	<b>Land Purchase w/SRF?</b>
Bridgewater (03)	CATEX	No
Groton (09)	CATEX	No
Harrisburg (04)	FNSI	No
Harrisburg (05)	FNSI	No
Hermosa (01)	CATEX	No
Interior (01)	FNSI	No
Java (01)	CATEX	No
McLaughlin (01)	CATEX	No
Northville (01)	CATEX	No
Scotland (02)	CATEX	No
Warner (02)	FNSI	No
Yale (01)	FNSI	No

**EXHIBIT VII**  
**CWSRF Loan Transactions by Borrower**  
**Status as of September 30, 2011**

<b>Borrower</b>	<b>Maximum Committed Amount</b>	<b>ARRA Advance</b>	<b>Federal Advance</b>	<b>State Advance</b>	<b>Recycled Advance</b>	<b>Leveraged Advance</b>	<b>Total Advances</b>	<b>Principal Forgiven</b>	<b>Principal Repayments</b>	<b>Loan Balance</b>
Aberdeen (01)	\$12,062,600	\$0	\$5,262,600	\$20,886	\$5,433,257	\$1,345,857	\$12,062,600	\$0	\$1,768,156.69	\$10,294,443.31
Aberdeen (01NPS)	\$1,156,259	\$0	\$0	\$0	\$1,049,011	\$0	\$1,049,011	\$0	\$60,167.68	\$988,843.32
Aberdeen (02)	\$6,000,000	\$0	\$1,467,465	\$186,734	\$0	\$1,900,000	\$3,554,199	\$0	\$149,432.79	\$3,404,766.21
Aurora (01)	\$309,759	\$0	\$265,204	\$44,555	\$0	\$0	\$309,759	\$0	\$108,966.91	\$200,792.09
Aurora (02)	\$421,303	\$300,000	\$0	\$0	\$121,303	\$0	\$421,303	\$191,692	\$0.00	\$229,611.00
Baltic (01)	\$405,646	\$0	\$0	\$0	\$405,646	\$0	\$405,646	\$0	\$120,236.22	\$285,409.78
Baltic (02)	\$276,164	\$200,000	\$0	\$0	\$0	\$76,164	\$276,164	\$127,588	\$0.00	\$148,576.00
Belle Fourche (01)	\$253,000	\$0	\$214,604	\$38,396	\$0	\$0	\$253,000	\$0	\$232,366.79	\$20,633.21
Belle Fourche (02)	\$264,422	\$0	\$220,351	\$44,071	\$0	\$0	\$264,422	\$0	\$264,422.00	\$0.00
Belle Fourche Irrigation Dist (01)	\$200,000	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0.00	\$0.00
Beresford (01)	\$1,115,852	\$0	\$1,039,555	\$76,297	\$0	\$0	\$1,115,852	\$0	\$1,115,852.00	\$0.00
Black Hawk SD (01)	\$477,823	\$0	\$0	\$0	\$477,823	\$0	\$477,823	\$0	\$110,277.05	\$367,545.95
Box Elder (01)	\$648,600	\$0	\$540,500	\$108,100	\$0	\$0	\$648,600	\$0	\$648,600.00	\$0.00
Brandon (01)	\$105,000	\$0	\$1,277	\$103,723	\$0	\$0	\$105,000	\$0	\$105,000.00	\$0.00
Brandon (02)	\$526,018	\$0	\$400,629	\$125,389	\$0	\$0	\$526,018	\$0	\$526,018.00	\$0.00
Brandon (04)	\$383,250	\$38,325	\$0	\$0	\$0	\$344,925	\$383,250	\$38,325	\$15,470.39	\$329,454.61
Bridgewater (01)	\$90,328	\$0	\$75,273	\$15,055	\$0	\$0	\$90,328	\$0	\$45,175.79	\$45,152.21
Bridgewater (02)	\$321,600	\$0	\$0	\$0	\$321,600	\$0	\$321,600	\$0	\$55,383.34	\$266,216.66
Britton (01)	\$509,935	\$0	\$424,945	\$84,990	\$0	\$0	\$509,935	\$0	\$509,935.00	\$0.00
Britton (02)	\$291,854	\$0	\$0	\$0	\$291,854	\$0	\$291,854	\$0	\$76,785.26	\$215,068.74
Brookings (01)	\$188,065	\$0	\$156,721	\$31,344	\$0	\$0	\$188,065	\$0	\$188,065.00	\$0.00
Brookings (02)	\$744,545	\$74,454	\$171,000	\$129,000	\$0	\$370,091	\$744,545	\$74,455	\$0.00	\$670,090.00
Brookings (03)	\$665,000	\$0	\$35,606	\$0	\$320,458	\$0	\$356,064	\$35,606	\$0.00	\$320,458.00
Burke (01)	\$155,000	\$0	\$0	\$0	\$155,000	\$0	\$155,000	\$0	\$20,420.69	\$134,579.31
Canistota (01)	\$616,840	\$420,190	\$0	\$0	\$0	\$196,650	\$616,840	\$420,190	\$1,955.55	\$194,694.45
Canistota (02)	\$188,669	\$0	\$87,405	\$57,193	\$0	\$0	\$144,598	\$0	\$0.00	\$144,598.00
Canton (01)	\$515,715	\$0	\$515,715	\$0	\$0	\$0	\$515,715	\$0	\$515,715.00	\$0.00
Canton (02)	\$600,000	\$0	\$0	\$0	\$600,000	\$0	\$600,000	\$0	\$157,693.42	\$442,306.58

<b>Borrower</b>	<b>Maximum Committed Amount</b>	<b>ARRA Advance</b>	<b>Federal Advance</b>	<b>State Advance</b>	<b>Recycled Advance</b>	<b>Leveraged Advance</b>	<b>Total Advances</b>	<b>Principal Forgiven</b>	<b>Principal Repayments</b>	<b>Loan Balance</b>
Canton (03)	\$2,462,000	\$840,500	\$0	\$0	\$211,500	\$1,410,000	\$2,462,000	\$840,500	\$29,844.00	\$1,591,656.00
Castlewood (01)	\$215,859	\$0	\$0	\$0	\$215,859	\$0	\$215,859	\$0	\$68,877.31	\$146,981.69
Castlewood (02)	\$160,000	\$0	\$48,416	\$111,584	\$0	\$0	\$160,000	\$0	\$27,553.88	\$132,446.12
Centerville (01)	\$500,000	\$0	\$0	\$0	\$500,000	\$0	\$500,000	\$0	\$148,215.47	\$351,784.53
Chamberlain (01)	\$350,500	\$0	\$350,500	\$0	\$0	\$0	\$350,500	\$0	\$350,500.00	\$0.00
Chamberlain (02)	\$265,000	\$0	\$220,833	\$44,167	\$0	\$0	\$265,000	\$0	\$265,000.00	\$0.00
Chamberlain (03)	\$2,700,000	\$0	\$2,249,998	\$450,002	\$0	\$0	\$2,700,000	\$0	\$2,700,000.00	\$0.00
Chamberlain (04)	\$450,000	\$0	\$375,000	\$75,000	\$0	\$0	\$450,000	\$0	\$450,000.00	\$0.00
Clark (01)	\$400,000	\$0	\$0	\$0	\$400,000	\$0	\$400,000	\$0	\$102,340.59	\$297,659.41
Clear Lake (01)	\$79,537	\$0	\$61,462	\$18,075	\$0	\$0	\$79,537	\$0	\$79,537.00	\$0.00
Clear Lake (02)	\$687,227	\$0	\$658,926	\$28,301	\$0	\$0	\$687,227	\$0	\$132,594.30	\$554,632.70
Colton (01)	\$178,332	\$0	\$0	\$0	\$178,332	\$0	\$178,332	\$0	\$25,100.06	\$153,231.94
Crooks (01)	\$421,975	\$0	\$0	\$0	\$0	\$421,975	\$421,975	\$0	\$27,009.72	\$394,965.28
Custer City (01)	\$430,000	\$0	\$338,913	\$91,087	\$0	\$0	\$430,000	\$0	\$430,000.00	\$0.00
Custer City (02)	\$182,000	\$0	\$151,667	\$30,333	\$0	\$0	\$182,000	\$0	\$182,000.00	\$0.00
Custer City (03)	\$276,000	\$0	\$229,997	\$46,003	\$0	\$0	\$276,000	\$0	\$276,000.00	\$0.00
Custer-Fall River WMD (01)	\$106,939	\$0	\$89,116	\$17,823	\$0	\$0	\$106,939	\$0	\$106,939.00	\$0.00
Deadwood (01)	\$447,838	\$0	\$373,198	\$74,640	\$0	\$0	\$447,838	\$0	\$447,838.00	\$0.00
Dell Rapids (01)	\$300,000	\$0	\$249,999	\$50,001	\$0	\$0	\$300,000	\$0	\$300,000.00	\$0.00
Dell Rapids (02)	\$561,737	\$0	\$0	\$0	\$561,737	\$0	\$561,737	\$0	\$90,985.90	\$470,751.10
Dell Rapids (03)	\$1,062,000	\$0	\$0	\$0	\$0	\$1,062,000	\$1,062,000	\$0	\$98,308.70	\$963,691.30
Dell Rapids (04)	\$950,000	\$0	\$56,391	\$50,000	\$0	\$843,609	\$950,000	\$0	\$43,081.06	\$906,918.94
Dell Rapids (05)	\$1,185,995	\$0	\$243,571	\$0	\$210,853	\$0	\$454,424	\$243,571	\$0.00	\$210,853.00
Elk Point (01)	\$458,000	\$0	\$381,665	\$76,335	\$0	\$0	\$458,000	\$0	\$458,000.00	\$0.00
Elk Point (02)	\$450,000	\$0	\$34,145	\$57,798	\$358,057	\$0	\$450,000	\$0	\$136,554.03	\$313,445.97
Elk Point (03)	\$345,000	\$0	\$0	\$0	\$345,000	\$0	\$345,000	\$0	\$80,030.71	\$264,969.29
Elk Point (04)	\$100,000	\$0	\$0	\$0	\$31,993	\$68,007	\$100,000	\$0	\$10,146.54	\$89,853.46
Elk Point (05)	\$150,000	\$0	\$100,000	\$5,581	\$0	\$44,419	\$150,000	\$0	\$9,601.22	\$140,398.78
Elk Point (06)	\$607,840	\$93,170	\$0	\$0	\$18,419	\$496,251	\$607,840	\$60,784	\$0.00	\$547,056.00
Elkton (01)	\$510,000	\$130,000	\$0	\$125,000	\$127,155	\$110,000	\$492,155	\$130,000	\$0.00	\$362,155.00
Ft. Pierre (01)	\$330,294	\$0	\$275,243	\$55,051	\$0	\$0	\$330,294	\$0	\$330,294.00	\$0.00
Ft. Pierre (02)	\$462,500	\$0	\$0	\$0	\$462,500	\$0	\$462,500	\$0	\$232,136.76	\$230,363.24
Ft. Pierre (03)	\$443,223	\$0	\$0	\$0	\$443,223	\$0	\$443,223	\$0	\$89,764.77	\$353,458.23
Ft. Pierre (05)	\$900,000	\$0	\$0	\$0	\$73,135	\$135,000	\$208,135	\$0	\$1,906.68	\$206,228.32

Borrower	Maximum Committed Amount	ARRA Advance	Federal Advance	State Advance	Recycled Advance	Leveraged Advance	Total Advances	Principal Forgiven	Principal Repayments	Loan Balance
Freeman (01)	\$300,000	\$0	\$0	\$0	\$300,000	\$0	\$300,000	\$0	\$148,170.15	\$151,829.85
Freeman (02)	\$800,000	\$0	\$429,651	\$370,349	\$0	\$0	\$800,000	\$0	\$51,206.36	\$748,793.64
Garretson (01)	\$300,000	\$0	\$249,999	\$50,001	\$0	\$0	\$300,000	\$0	\$300,000.00	\$0.00
Garretson (02)	\$503,239	\$0	\$226,938	\$2,027	\$0	\$274,274	\$503,239	\$0	\$17,969.52	\$485,269.48
Gayville (01)	\$262,972	\$0	\$225,840	\$37,132	\$0	\$0	\$262,972	\$0	\$217,404.14	\$45,567.86
Gettysburg (01)	\$535,758	\$0	\$0	\$0	\$0	\$535,758	\$535,758	\$0	\$4,911.95	\$530,846.05
Gregory (01)	\$241,574	\$0	\$0	\$134,896	\$0	\$106,678	\$241,574	\$0	\$2,214.81	\$239,359.19
Groton (01)	\$189,524	\$0	\$157,935	\$31,589	\$0	\$0	\$189,524	\$0	\$189,524.00	\$0.00
Groton (02)	\$74,630	\$0	\$62,190	\$12,440	\$0	\$0	\$74,630	\$0	\$74,630.00	\$0.00
Groton (03)	\$470,809	\$0	\$392,342	\$78,467	\$0	\$0	\$470,809	\$0	\$240,400.52	\$230,408.48
Groton (04)	\$126,648	\$0	\$0	\$0	\$126,648	\$0	\$126,648	\$0	\$34,722.95	\$91,925.05
Groton (05)	\$440,000	\$0	\$0	\$0	\$440,000	\$0	\$440,000	\$0	\$111,007.73	\$328,992.27
Groton (06)	\$56,368	\$0	\$0	\$5,090	\$0	\$51,278	\$56,368	\$0	\$4,140.32	\$52,227.68
Groton (07)	\$707,200	\$299,500	\$0	\$0	\$11,413	\$0	\$310,913	\$131,827	\$0.00	\$179,086.00
Groton (08)	\$322,000	\$0	\$179,283	\$0	\$24,345	\$0	\$203,628	\$50,907	\$0.00	\$152,721.00
Harrisburg (01)	\$507,277	\$0	\$422,732	\$84,545	\$0	\$0	\$507,277	\$0	\$507,277.00	\$0.00
Harrisburg (02)	\$3,941,200	\$3,941,200	\$0	\$0	\$0	\$0	\$3,941,200	\$3,941,200	\$0.00	\$0.00
Harrisburg (03)	\$2,555,790	\$0	\$709,385	\$590,615	\$0	\$1,240,965	\$2,540,965	\$0	\$0.00	\$2,540,965.00
Harrold (01)	\$162,372	\$0	\$0	\$0	\$0	\$162,372	\$162,372	\$0	\$8,632.05	\$153,739.95
Hartford (01)	\$504,000	\$0	\$471,591	\$32,409	\$0	\$0	\$504,000	\$0	\$178,700.79	\$325,299.21
Hartford (02)	\$690,804	\$0	\$623,704	\$67,100	\$0	\$0	\$690,804	\$0	\$244,407.08	\$446,396.92
Hartford (03)	\$300,000	\$0	\$0	\$0	\$300,000	\$0	\$300,000	\$0	\$109,677.99	\$190,322.01
Hartford (04)	\$550,035	\$0	\$0	\$0	\$550,035	\$0	\$550,035	\$0	\$161,122.87	\$388,912.13
Hartford (05)	\$523,629	\$0	\$481,720	\$41,909	\$0	\$0	\$523,629	\$0	\$58,646.06	\$464,982.94
Hecla (01)	\$143,390	\$14,339	\$0	\$0	\$45,903	\$0	\$60,242	\$6,024	\$0.00	\$54,218.00
Highmore (01)	\$262,300	\$0	\$0	\$0	\$262,300	\$0	\$262,300	\$0	\$77,753.84	\$184,546.16
Hot Springs (01)	\$196,930	\$0	\$164,108	\$32,822	\$0	\$0	\$196,930	\$0	\$196,930.00	\$0.00
Hot Springs (NPS-01)	\$930,000	\$0	\$774,999	\$155,001	\$0	\$0	\$930,000	\$0	\$658,481.99	\$271,518.01
Hot Springs (02)	\$1,453,000	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0.00	\$0.00
Huron (01)	\$1,656,000	\$0	\$1,379,999	\$276,001	\$0	\$0	\$1,656,000	\$0	\$1,656,000.00	\$0.00
Huron (02)	\$701,997	\$0	\$591,496	\$110,501	\$0	\$0	\$701,997	\$0	\$701,997.00	\$0.00
Huron (03)	\$1,856,828	\$0	\$1,547,356	\$309,472	\$0	\$0	\$1,856,828	\$0	\$1,139,974.80	\$716,853.20
Jefferson (01)	\$166,084	\$0	\$0	\$0	\$166,084	\$0	\$166,084	\$0	\$40,108.12	\$125,975.88

<b>Borrower</b>	<b>Maximum Committed Amount</b>	<b>ARRA Advance</b>	<b>Federal Advance</b>	<b>State Advance</b>	<b>Recycled Advance</b>	<b>Leveraged Advance</b>	<b>Total Advances</b>	<b>Principal Forgiven</b>	<b>Principal Repayments</b>	<b>Loan Balance</b>
Lake Cochrane SD (01)	\$80,000	\$0	\$66,667	\$13,333	\$0	\$0	\$80,000	\$0	\$80,000.00	\$0.00
Lake Cochrane SD (02)	\$156,111	\$0	\$0	\$0	\$156,111	\$0	\$156,111	\$0	\$40,903.87	\$115,207.13
Lake Madison SD (01)	\$330,000	\$0	\$275,000	\$55,000	\$0	\$0	\$330,000	\$0	\$330,000.00	\$0.00
Lake Madison SD (02)	\$613,419	\$0	\$0	\$0	\$605,879	\$7,540	\$613,419	\$0	\$154,567.50	\$458,851.50
Lake Poinsett SD (02)	\$1,094,700	\$0	\$357,407	\$106,374	\$0	\$630,919	\$1,094,700	\$0	\$42,388.95	\$1,052,311.05
Lake Poinsett SD (03)	\$3,075,000	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0.00	\$0.00
Lead (01)	\$186,409	\$0	\$155,341	\$31,068	\$0	\$0	\$186,409	\$0	\$186,409.00	\$0.00
Lead (02)	\$500,770	\$0	\$406,506	\$94,264	\$0	\$0	\$500,770	\$0	\$500,770.00	\$0.00
Lead (03)	\$375,298	\$0	\$353,839	\$21,459	\$0	\$0	\$375,298	\$0	\$375,298.00	\$0.00
Lead (04)	\$239,200	\$0	\$199,333	\$39,867	\$0	\$0	\$239,200	\$0	\$203,130.94	\$36,069.06
Lead (05)	\$220,029	\$0	\$0	\$0	\$220,029	\$0	\$220,029	\$0	\$51,799.34	\$168,229.66
Lead (06)	\$240,000	\$0	\$0	\$0	\$99,039	\$140,961	\$240,000	\$0	\$18,842.49	\$221,157.51
Lead (07)	\$200,000	\$0	\$0	\$0	\$46,317	\$0	\$46,317	\$11,579	\$0.00	\$34,738.00
Lead-Deadwood SD (01)	\$106,855	\$0	\$89,046	\$17,809	\$0	\$0	\$106,855	\$0	\$106,855.00	\$0.00
Lemmon (01)	\$427,100	\$0	\$355,916	\$71,184	\$0	\$0	\$427,100	\$0	\$427,100.00	\$0.00
Lennox (01)	\$350,000	\$0	\$291,664	\$58,336	\$0	\$0	\$350,000	\$0	\$215,701.90	\$134,298.10
Lennox (02)	\$583,735	\$0	\$486,447	\$97,288	\$0	\$0	\$583,735	\$0	\$293,964.37	\$289,770.63
Lennox (03)	\$1,565,760	\$1,565,760	\$0	\$0	\$0	\$0	\$1,565,760	\$1,565,760	\$0.00	\$0.00
Lennox (04)	\$1,942,273	\$123,024	\$141,823	\$465,393	\$154,618	\$500,000	\$1,384,858	\$88,630	\$0.00	\$1,296,228.00
Madison (01)	\$119,416	\$0	\$99,512	\$19,904	\$0	\$0	\$119,416	\$0	\$119,416.00	\$0.00
Madison (02)	\$4,986,796	\$0	\$158,000	\$19,786	\$15,959	\$4,793,051	\$4,986,796	\$0	\$317,842.12	\$4,668,953.88
Marion (01)	\$1,710,000	\$0	\$50,000	\$100,000	\$0	\$1,422,797	\$1,572,797	\$0	\$13,621.97	\$1,559,175.03
Martin (01)	\$237,250	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0.00	\$0.00
McCook Lake SD (01)	\$641,935	\$0	\$596,631	\$45,304	\$0	\$0	\$641,935	\$0	\$533,158.59	\$108,776.41
Menno (01)	\$240,000	\$0	\$180,323	\$0	\$0	\$0	\$180,323	\$0	\$0.00	\$180,323.00
Milbank (01)	\$3,515,000	\$2,336,292	\$0	\$0	\$670,804	\$0	\$3,007,096	\$1,933,562	\$853,919.00	\$219,615.00
Milbank (02)	\$1,000,000	\$0	\$0	\$0	\$212,307	\$0	\$212,307	\$0	\$0.00	\$212,307.00
Mitchell (01)	\$1,543,405	\$0	\$0	\$0	\$1,543,405	\$0	\$1,543,405	\$0	\$1,543,405.00	\$0.00
Mitchell (02)	\$1,320,000	\$0	\$0	\$0	\$1,320,000	\$0	\$1,320,000	\$0	\$288,005.03	\$1,031,994.97
Mitchell (03)	\$1,534,224	\$0	\$452,184	\$66,471	\$0	\$1,015,569	\$1,534,224	\$0	\$31,367.31	\$1,502,856.69
Mitchell (03NPS)	\$148,523	\$0	\$0	\$0	\$111,585	\$0	\$111,585	\$0	\$0.00	\$111,585.00
Mobridge (01)	\$1,500,000	\$0	\$1,250,000	\$250,000	\$0	\$0	\$1,500,000	\$0	\$1,500,000.00	\$0.00
Mobridge (02)	\$158,000	\$0	\$0	\$158,000	\$0	\$0	\$158,000	\$0	\$158,000.00	\$0.00
Mobridge (03)	\$1,350,000	\$0	\$1,265,857	\$84,143	\$0	\$0	\$1,350,000	\$0	\$1,226,496.08	\$123,503.92

<b>Borrower</b>	<b>Maximum Committed Amount</b>	<b>ARRA Advance</b>	<b>Federal Advance</b>	<b>State Advance</b>	<b>Recycled Advance</b>	<b>Leveraged Advance</b>	<b>Total Advances</b>	<b>Principal Forgiven</b>	<b>Principal Repayments</b>	<b>Loan Balance</b>
Montrose (01)	\$34,988	\$0	\$0	\$0	\$34,988	\$0	\$34,988	\$0	\$9,215.34	\$25,772.66
Montrose (02)	\$804,000	\$112,710	\$0	\$0	\$0	\$0	\$112,710	\$25,495	\$0.00	\$87,215.00
Mount Vernon (01)	\$2,300,000	\$0	\$187,439	\$0	\$222,714	\$0	\$410,153	\$187,439	\$0.00	\$222,714.00
Nisland (01)	\$204,000	\$0	\$0	\$0	\$204,000	\$0	\$204,000	\$0	\$32,837.69	\$171,162.31
Northdale San Dist (01)	\$256,380	\$0	\$213,649	\$42,731	\$0	\$0	\$256,380	\$0	\$256,380.00	\$0.00
North Sioux City (01)	\$239,650	\$0	\$203,822	\$35,828	\$0	\$0	\$239,650	\$0	\$239,650.00	\$0.00
North Sioux City (02)	\$646,000	\$0	\$538,333	\$107,667	\$0	\$0	\$646,000	\$0	\$615,829.87	\$30,170.13
Parker (01)	\$430,000	\$0	\$0	\$0	\$430,000	\$0	\$430,000	\$0	\$96,608.61	\$333,391.39
Parker (02)	\$480,501	\$0	\$233,085	\$116,764	\$10,652	\$120,000	\$480,501	\$0	\$46,708.61	\$433,792.39
Parker (03)	\$694,329	\$475,450	\$0	\$0	\$0	\$218,879	\$694,329	\$471,450	\$0.00	\$222,879.00
Parkston (01)	\$635,690	\$0	\$50,000	\$75,000	\$0	\$510,690	\$635,690	\$0	\$34,734.42	\$600,955.58
Philip (01)	\$453,885	\$0	\$378,236	\$75,649	\$0	\$0	\$453,885	\$0	\$453,885.00	\$0.00
Philip (02)	\$321,127	\$0	\$267,624	\$53,503	\$0	\$0	\$321,127	\$0	\$166,314.00	\$154,813.00
Philip (03)	\$316,423	\$0	\$0	\$0	\$316,423	\$0	\$316,423	\$0	\$84,097.28	\$232,325.72
Pickeral Lake SD (01)	\$850,000	\$0	\$708,332	\$141,668	\$0	\$0	\$850,000	\$0	\$739,282.00	\$110,718.00
Pickeral Lake SD (02)	\$670,000	\$0	\$558,332	\$111,668	\$0	\$0	\$670,000	\$0	\$670,000.00	\$0.00
Pierre (01)	\$433,976	\$0	\$314,307	\$119,669	\$0	\$0	\$433,976	\$0	\$433,976.00	\$0.00
Pierre (02)	\$4,417,000	\$0	\$3,680,833	\$736,167	\$0	\$0	\$4,417,000	\$0	\$4,417,000.00	\$0.00
Pierre (03)	\$5,391,260	\$0	\$4,496,881	\$894,379	\$0	\$0	\$5,391,260	\$0	\$5,391,260.00	\$0.00
Pierre (04)	\$1,199,832	\$0	\$0	\$0	\$1,199,832	\$0	\$1,199,832	\$0	\$315,774.15	\$884,057.85
Pierre (05)	\$976,953	\$0	\$0	\$0	\$86,175	\$0	\$86,175	\$0	\$768.99	\$85,406.01
Platte (01)	\$975,865	\$0	\$940,518	\$35,347	\$0	\$0	\$975,865	\$0	\$975,865.00	\$0.00
Pollock (01)	\$151,619	\$0	\$126,350	\$25,269	\$0	\$0	\$151,619	\$0	\$151,619.49	\$0.00
Rapid City (01)	\$2,479,905	\$0	\$2,165,049	\$314,856	\$0	\$0	\$2,479,905	\$0	\$2,479,905.00	\$0.00
Rapid City (02)	\$986,685	\$0	\$902,457	\$84,228	\$0	\$0	\$986,685	\$0	\$986,685.00	\$0.00
Rapid City (03)	\$674,577	\$0	\$534,750	\$139,827	\$0	\$0	\$674,577	\$0	\$674,577.00	\$0.00
Rapid City (04)	\$1,214,861	\$0	\$1,012,385	\$202,476	\$0	\$0	\$1,214,861	\$0	\$1,214,861.39	\$0.00
Rapid City (05)	\$14,000,000	\$0	\$0	\$2,500,000	\$11,500,000	\$0	\$14,000,000	\$0	\$14,000,000.00	\$0.00
Rapid City (06)	\$5,000,000	\$0	\$1,155,087	\$144,913	\$343,009	\$1,500,000	\$3,143,009	\$0	\$0.00	\$3,143,009.00
Rapid Valley SD (01)	\$614,000	\$0	\$576,839	\$37,161	\$0	\$0	\$614,000	\$0	\$614,000.00	\$0.00
Rapid Valley SD (02)	\$364,583	\$0	\$303,821	\$60,762	\$0	\$0	\$364,583	\$0	\$364,583.00	\$0.00
Rapid Valley SD (03)	\$630,000	\$0	\$525,000	\$105,000	\$0	\$0	\$630,000	\$0	\$350,992.43	\$279,007.57
Richmond Lake SD (01)	\$414,000	\$0	\$345,000	\$69,000	\$0	\$0	\$414,000	\$0	\$414,000.00	\$0.00

<b>Borrower</b>	<b>Maximum Committed Amount</b>	<b>ARRA Advance</b>	<b>Federal Advance</b>	<b>State Advance</b>	<b>Recycled Advance</b>	<b>Leveraged Advance</b>	<b>Total Advances</b>	<b>Principal Forgiven</b>	<b>Principal Repayments</b>	<b>Loan Balance</b>
Richmond Lake SD (02)	\$191,500	\$0	\$159,584	\$31,916	\$0	\$0	\$191,500	\$0	\$191,500.00	\$0.00
Roscoe (01)	\$358,408	\$0	\$298,673	\$59,735	\$0	\$0	\$358,408	\$0	\$358,408.00	\$0.00
Salem (01)	\$518,035	\$0	\$0	\$0	\$518,035	\$0	\$518,035	\$0	\$142,028.98	\$376,006.02
Salem (02)	\$387,960	\$0	\$0	\$0	\$387,960	\$0	\$387,960	\$0	\$74,853.49	\$313,106.51
Scotland (01)	\$250,000	\$0	\$0	\$0	\$250,000	\$0	\$250,000	\$0	\$60,373.33	\$189,626.67
Selby (01)	\$700,000	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0.00	\$0.00
Sioux Falls (01)	\$2,836,963	\$0	\$2,351,173	\$485,790	\$0	\$0	\$2,836,963	\$0	\$2,681,769.55	\$155,193.03
Sioux Falls (02)	\$453,999	\$0	\$390,244	\$63,755	\$0	\$0	\$453,999	\$0	\$453,999.19	\$0.00
Sioux Falls (03)	\$845,000	\$0	\$630,974	\$214,026	\$0	\$0	\$845,000	\$0	\$844,999.94	\$0.00
Sioux Falls (04)	\$1,200,000	\$0	\$748,461	\$451,539	\$0	\$0	\$1,200,000	\$0	\$1,199,999.89	\$0.00
Sioux Falls (05)	\$1,955,000	\$0	\$1,947,515	\$7,485	\$0	\$0	\$1,955,000	\$0	\$1,954,999.84	\$0.00
Sioux Falls (06)	\$700,000	\$0	\$671,246	\$28,754	\$0	\$0	\$700,000	\$0	\$699,999.92	\$0.00
Sioux Falls (07)	\$4,500,000	\$0	\$3,782,334	\$717,666	\$0	\$0	\$4,500,000	\$0	\$4,500,000.00	\$0.00
Sioux Falls (08)	\$699,003	\$0	\$611,463	\$87,540	\$0	\$0	\$699,003	\$0	\$699,003.00	\$0.00
Sioux Falls (09)	\$1,250,000	\$0	\$1,041,664	\$208,336	\$0	\$0	\$1,250,000	\$0	\$1,250,000.00	\$0.00
Sioux Falls (10)	\$1,432,941	\$0	\$1,277,677	\$155,264	\$0	\$0	\$1,432,941	\$0	\$1,432,941.00	\$0.00
Sioux Falls (11)	\$1,195,346	\$0	\$996,121	\$199,225	\$0	\$0	\$1,195,346	\$0	\$1,195,346.00	\$0.00
Sioux Falls (12)	\$1,300,000	\$0	\$1,083,333	\$216,667	\$0	\$0	\$1,300,000	\$0	\$1,300,000.00	\$0.00
Sioux Falls (13)	\$2,083,137	\$0	\$1,756,383	\$326,754	\$0	\$0	\$2,083,137	\$0	\$2,083,137.00	\$0.00
Sioux Falls (14)	\$4,888,537	\$0	\$3,499,312	\$1,389,225	\$0	\$0	\$4,888,537	\$0	\$4,440,793.94	\$447,743.06
Sioux Falls (15)	\$1,467,706	\$0	\$229,570	\$45,915	\$1,192,221	\$0	\$1,467,706	\$0	\$959,367.86	\$508,338.14
Sioux Falls (16)	\$2,479,500	\$0	\$0	\$0	\$2,479,500	\$0	\$2,479,500	\$0	\$1,537,891.59	\$941,608.41
Sioux Falls (17)	\$561,320	\$0	\$0	\$0	\$561,320	\$0	\$561,320	\$0	\$352,043.24	\$209,276.76
Sioux Falls (18)	\$3,730,114	\$0	\$3,730,114	\$0	\$0	\$0	\$3,730,114	\$0	\$1,686,630.14	\$2,043,483.86
Sioux Falls (19)	\$415,785	\$0	\$415,785	\$0	\$0	\$0	\$415,785	\$0	\$195,154.56	\$220,630.44
Sioux Falls (20A)	\$16,000,000	\$0	\$0	\$0	\$6,085,071	\$9,914,929	\$16,000,000	\$0	\$6,508,526.89	\$9,491,473.11
Sioux Falls (20B)	\$8,700,000	\$0	\$0	\$0	\$0	\$8,700,000	\$8,700,000	\$0	\$3,539,011.57	\$5,160,988.43
Sioux Falls (20NPS)	\$1,249,349	\$0	\$6,128	\$0	\$1,243,221	\$0	\$1,249,349	\$0	\$508,213.80	\$741,135.20
Sioux Falls (21A)	\$12,500,000	\$0	\$0	\$0	\$1,865,216	\$10,634,784	\$12,500,000	\$0	\$2,208,308.69	\$10,291,691.31
Sioux Falls (21B)	\$20,108,000	\$0	\$0	\$0	\$12,880,593	\$4,417,805	\$17,298,398	\$0	\$2,949,147.27	\$14,349,250.73
Sioux Falls (21NPS)	\$3,125,636	\$0	\$0	\$0	\$2,750,584	\$0	\$2,750,584	\$0	\$172,722.20	\$2,577,861.83
Sioux Falls (22)	\$10,550,000	\$0	\$128,058	\$0	\$10,421,942	\$0	\$10,550,000	\$0	\$3,651,697.03	\$6,898,302.97
Sioux Falls (23)	\$10,309,144	\$0	\$1,533,000	\$0	\$989,759	\$7,786,385	\$10,309,144	\$0	\$2,823,725.20	\$7,485,418.80
Sioux Falls (24)	\$500,000	\$0	\$0	\$0	\$456,454	\$43,546	\$500,000	\$0	\$186,096.87	\$313,903.13
Sioux Falls (25)	\$5,657,000	\$0	\$978,974	\$231,777	\$4,521	\$2,268,710	\$3,483,982	\$0	\$376,448.90	\$3,107,533.10
Sioux Falls (26)	\$3,744,000	\$0	\$1,626,177	\$50,249	\$292,523	\$1,762,979	\$3,731,928	\$0	\$356,577.09	\$3,375,350.91
Sioux Falls (27)	\$2,621,000	\$0	\$672,928	\$27,072	\$70,987	\$1,850,013	\$2,621,000	\$0	\$256,103.06	\$2,364,896.94
Sioux Falls (28)	\$1,803,000	\$1,619,400	\$0	\$0	\$183,600	\$0	\$1,803,000	\$18,030	\$181,862.80	\$1,603,107.20
Sioux Falls (29)	\$1,211,097	\$254,000	\$261,997	\$24,003	\$0	\$671,097	\$1,211,097	\$121,110	\$47,641.88	\$1,042,345.12
Sioux Falls (30)	\$8,462,000	\$846,200	\$1,408,493	\$185,044	\$0	\$2,411,313	\$4,851,050	\$485,105	\$0.00	\$4,365,945.00
Sioux Falls (31)	\$1,831,523	\$1,210,719	\$0	\$0	\$0	\$620,804	\$1,831,523	\$183,152	\$111,209.95	\$1,537,161.05

<b>Borrower</b>	<b>Maximum Committed Amount</b>	<b>ARRA Advance</b>	<b>Federal Advance</b>	<b>State Advance</b>	<b>Recycled Advance</b>	<b>Leveraged Advance</b>	<b>Total Advances</b>	<b>Principal Forgiven</b>	<b>Principal Repayments</b>	<b>Loan Balance</b>
Sioux Falls (32)	\$23,400,000	\$0	\$0	\$0	\$10,341,535	\$2,125,000	\$12,466,535	\$0	\$0.00	\$12,466,535.00
Sioux Falls (32NPS)	\$1,189,400	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0.00	\$0.00
Sioux Falls (33)	\$14,000,000	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0.00	\$0.00
Sioux Falls (33NPS)	\$711,614	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0.00	\$0.00
Southern Missouri (NPS-01)	\$700,000	\$0	\$583,333	\$116,667	\$0	\$0	\$700,000	\$0	\$580,593.52	\$119,406.48
Spearfish (01)	\$1,956,000	\$0	\$1,894,868	\$61,132	\$0	\$0	\$1,956,000	\$0	\$1,955,999.83	\$0.00
Spearfish (02)	\$5,658,584	\$0	\$350,000	\$166,515	\$0	\$5,142,069	\$5,658,584	\$0	\$309,187.58	\$5,349,396.42
Spencer (01)	\$230,156	\$0	\$65,517	\$0	\$85,445	\$0	\$150,962	\$65,517	\$0.00	\$85,445.00
Sturgis (01)	\$502,000	\$0	\$418,333	\$83,667	\$0	\$0	\$502,000	\$0	\$502,000.00	\$0.00
Sturgis (02)	\$936,250	\$0	\$832,882	\$103,368	\$0	\$0	\$936,250	\$0	\$936,250.00	\$0.00
Sturgis (03)	\$437,380	\$0	\$364,484	\$72,896	\$0	\$0	\$437,380	\$0	\$437,380.00	\$0.00
Sturgis (04)	\$2,100,000	\$0	\$1,808,748	\$291,252	\$0	\$0	\$2,100,000	\$0	\$2,100,000.00	\$0.00
Sturgis (05)	\$516,900	\$218,283	\$0	\$0	\$0	\$298,617	\$516,900	\$218,283	\$8,275.11	\$290,341.89
Summit (01)	\$100,000	\$100,000	\$0	\$0	\$0	\$0	\$100,000	\$100,000	\$0.00	\$0.00
Tea (01)	\$600,000	\$0	\$500,001	\$99,999	\$0	\$0	\$600,000	\$0	\$600,000.00	\$0.00
Tea (02)	\$600,000	\$0	\$510,397	\$89,603	\$0	\$0	\$600,000	\$0	\$600,000.00	\$0.00
Tea (03)	\$208,813	\$0	\$174,011	\$34,802	\$0	\$0	\$208,813	\$0	\$120,441.92	\$88,371.08
Tea (04)	\$375,000	\$0	\$312,499	\$62,501	\$0	\$0	\$375,000	\$0	\$299,674.55	\$75,325.45
Tea (05)	\$495,490	\$0	\$0	\$0	\$495,490	\$0	\$495,490	\$0	\$146,878.43	\$348,611.57
Tea (06)	\$787,174	\$0	\$545,111	\$6,392	\$0	\$235,671	\$787,174	\$0	\$72,868.24	\$714,305.76
Tea (07)	\$845,000	\$0	\$0	\$0	\$0	\$845,000	\$845,000	\$0	\$15,552.39	\$829,447.61
Tyndall (01)	\$795,000	\$0	\$0	\$0	\$795,000	\$0	\$795,000	\$0	\$73,592.67	\$721,407.33
Valley Springs (01)	\$422,128	\$0	\$351,772	\$70,356	\$0	\$0	\$422,128	\$0	\$201,988.69	\$220,139.31
Valley Springs (02)	\$350,000	\$0	\$0	\$0	\$350,000	\$0	\$350,000	\$0	\$76,013.05	\$273,986.95
Vermillion (01)	\$125,000	\$0	\$27,851	\$97,149	\$0	\$0	\$125,000	\$0	\$112,820.91	\$12,179.09
Vermillion (02)	\$370,471	\$0	\$308,725	\$61,746	\$0	\$0	\$370,471	\$0	\$370,471.00	\$0.00
Vermillion (03)	\$273,965	\$0	\$0	\$0	\$273,965	\$0	\$273,965	\$0	\$75,112.44	\$198,852.56
Vermillion (04)	\$3,333,994	\$0	\$811,031	\$0	\$2,522,963	\$0	\$3,333,994	\$0	\$672,567.41	\$2,661,426.59
Vermillion (05)	\$4,851,000	\$0	\$282,069	\$2,725	\$407,426	\$3,435,269	\$4,127,489	\$0	\$142,948.53	\$3,984,540.47
Vermillion (06)	\$499,000	\$249,500	\$0	\$0	\$0	\$249,500	\$499,000	\$249,500	\$6,914.01	\$242,585.99
Vermillion (NPS-01)	\$356,531	\$0	\$297,109	\$59,422	\$0	\$0	\$356,531	\$0	\$356,531.00	\$0.00
Viborg (01)	\$883,000	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0.00	\$0.00
Wagner (01)	\$138,329	\$0	\$16,036	\$7,373	\$0	\$114,920	\$138,329	\$0	\$12,805.01	\$125,523.99
Wall (01)	\$788,600	\$0	\$657,167	\$131,433	\$0	\$0	\$788,600	\$0	\$788,600.00	\$0.00

<b>Borrower</b>	<b>Maximum Committed Amount</b>	<b>ARRA Advance</b>	<b>Federal Advance</b>	<b>State Advance</b>	<b>Recycled Advance</b>	<b>Leveraged Advance</b>	<b>Total Advances</b>	<b>Principal Forgiven</b>	<b>Principal Repayments</b>	<b>Loan Balance</b>
Wall Lake San Dist (01)	\$175,126	\$0	\$145,937	\$29,189	\$0	\$0	\$175,126	\$0	\$53,887.71	\$121,238.29
Warner (01)	\$101,152	\$0	\$84,293	\$16,859	\$0	\$0	\$101,152	\$0	\$101,152.00	\$0.00
Watertown (01)	\$2,000,000	\$0	\$1,207,976	\$792,024	\$0	\$0	\$2,000,000	\$0	\$2,000,000.00	\$0.00
Watertown (02)	\$4,000,000	\$0	\$3,239,214	\$760,786	\$0	\$0	\$4,000,000	\$0	\$4,000,000.00	\$0.00
Watertown (03)	\$2,583,734	\$0	\$2,153,112	\$430,622	\$0	\$0	\$2,583,734	\$0	\$1,623,859.30	\$959,874.70
Watertown (04)	\$932,830	\$0	\$0	\$0	\$932,830	\$0	\$932,830	\$0	\$932,830.00	\$0.00
Watertown (05)	\$2,055,000	\$0	\$183,001	\$0	\$1,871,999	\$0	\$2,055,000	\$0	\$431,113.07	\$1,623,886.93
Watertown (06)	\$1,151,694	\$0	\$887,814	\$0	\$0	\$263,880	\$1,151,694	\$0	\$95,290.68	\$1,056,403.32
Watertown (06NPS)	\$113,985	\$0	\$0	\$0	\$113,985	\$0	\$113,985	\$0	\$14,013.90	\$99,971.10
Watertown (07)	\$808,736	\$0	\$0	\$0	\$0	\$808,736	\$808,736	\$0	\$81,834.15	\$726,901.85
Watertown (07NPS)	\$81,205	\$0	\$0	\$0	\$81,205	\$0	\$81,205	\$0	\$8,272.78	\$72,932.22
Watertown (08)	\$525,041	\$0	\$0	\$0	\$0	\$525,041	\$525,041	\$0	\$53,488.45	\$471,552.55
Watertown (08NPS)	\$58,747	\$0	\$0	\$0	\$58,747	\$0	\$58,747	\$0	\$5,984.82	\$52,762.18
Watertown (09)	\$16,446,000	\$1,681,537	\$700,000	\$300,000	\$2,297,431	\$4,700,000	\$9,678,968	\$967,896	\$54,274.01	\$8,656,797.99
Watertown (10)	\$3,330,000	\$333,000	\$546,348	\$53,652	\$11,091	\$2,037,000	\$2,981,091	\$298,109	\$24,428.46	\$2,658,553.54
Watertown (11)	\$815,000	\$0	\$223,500	\$0	\$140,507	\$0	\$364,007	\$223,500	\$0.00	\$140,507.00
Watertown Schools LATI (01)	\$399,747	\$399,747	\$0	\$0	\$0	\$0	\$399,747	\$399,747	\$0.00	\$0.00
Waubay (01)	\$81,454	\$0	\$0	\$81,454	\$0	\$0	\$81,454	\$0	\$81,454.00	\$0.00
Webster (01)	\$345,394	\$0	\$287,828	\$57,566	\$0	\$0	\$345,394	\$0	\$345,394.00	\$0.00
Webster (02)	\$811,000	\$0	\$0	\$0	\$811,000	\$0	\$811,000	\$0	\$261,210.54	\$549,789.46
Webster (03)	\$500,000	\$500,000	\$0	\$0	\$0	\$0	\$500,000	\$500,000	\$0.00	\$0.00
Weston Heights SD (01)	\$600,412	\$0	\$369,291	\$231,121	\$0	\$0	\$600,412	\$0	\$70,956.36	\$529,455.64
Whitewood (01)	\$180,801	\$0	\$154,457	\$26,344	\$0	\$0	\$180,801	\$0	\$180,801.00	\$0.00
Whitewood (02)	\$189,032	\$0	\$164,076	\$24,956	\$0	\$0	\$189,032	\$0	\$74,182.63	\$114,849.37
Willow Lake (01)	\$100,000	\$0	\$0	\$0	\$100,000	\$0	\$100,000	\$0	\$26,439.46	\$73,560.54
Winner (01)	\$925,000	\$0	\$0	\$0	\$284,377	\$389,534	\$673,911	\$0	\$20,835.32	\$653,075.68
Wolsey (01)	\$162,300	\$0	\$0	\$0	\$0	\$162,300	\$162,300	\$0	\$7,360.05	\$154,939.95
Wolsey (03)	\$901,560	\$0	\$211,780	\$342,612	\$0	\$0	\$554,392	\$0	\$0.00	\$554,392.00
Worthing (01)	\$227,645	\$0	\$189,706	\$37,939	\$0	\$0	\$227,645	\$0	\$136,067.15	\$91,577.85
Worthing (02)	\$580,000	\$0	\$173,000	\$206,683	\$181,502	\$0	\$561,185	\$0	\$15,763.42	\$545,421.58
Yankton (01)	\$2,625,000	\$0	\$2,187,499	\$437,501	\$0	\$0	\$2,625,000	\$0	\$2,625,000.00	\$0.00
Yankton (02)	\$4,500,000	\$0	\$0	\$0	\$0	\$4,500,000	\$4,500,000	\$0	\$4,500,000.00	\$0.00
Yankton (03)	\$6,020,406	\$0	\$5,063,821	\$956,585	\$0	\$0	\$6,020,406	\$0	\$1,861,010.25	\$4,159,395.75
	<b>\$421,245,481</b>	<b>\$18,377,300</b>	<b>\$115,630,761</b>	<b>\$24,552,023</b>	<b>\$99,834,294</b>	<b>\$98,971,581</b>	<b>\$357,365,959</b>	<b>\$14,406,533</b>	<b>\$152,941,543.50</b>	<b>\$190,017,882.60</b>



**EXHIBIT VIII**  
**Projected Principal and Interest Payments**  
**Federal Fiscal Year 2012**

<b>Borrower</b>	<b>Principal</b>	<b>Interest</b>	<b>Admin Surcharge</b>	<b>Total</b>
Aberdeen (01)	\$530,953	\$151,452	\$75,714	\$758,119
Aberdeen (01NPS)	\$50,954	\$14,545	\$7,272	\$72,771
Aberdeen (02)	\$132,751	\$83,729	\$25,109	\$241,589
Aurora (01)	\$14,934	\$7,810	\$1,952	\$24,697
Aurora (02)	\$3,439	\$15,877	\$2,886	\$22,202
Baltic (01)	\$18,541	\$6,963	\$2,785	\$28,289
Baltic (02)	\$5,510	\$3,663	\$733	\$9,906
Belle Fourche (01)	\$15,056	\$285	\$95	\$15,436
Black Hawk San Dist (01)	\$15,477	\$6,795	\$2,718	\$24,991
Brandon (04)	\$31,466	\$5,560	\$1,588	\$38,615
Bridgewater (01)	\$5,050	\$1,704	\$568	\$7,322
Bridgewater (02)	\$13,442	\$6,531	\$1,958	\$21,931
Britton (02)	\$12,997	\$5,256	\$2,102	\$20,355
Brookings (02)	\$18,569	\$37,342	\$11,198	\$67,109
Burke (01)	\$6,272	\$3,306	\$992	\$10,570
Canistota (01)	\$4,007	\$5,313	\$966	\$10,286
Canistota (02)	\$1,438	\$6,203	\$1,127	\$8,769
Canton (02)	\$26,729	\$10,809	\$4,323	\$41,861
Canton (03)	\$61,043	\$39,221	\$7,846	\$108,110
Castlewood (01)	\$10,039	\$3,581	\$1,432	\$15,053
Castlewood (02)	\$6,687	\$3,249	\$974	\$10,911
Centerville (01)	\$17,065	\$6,490	\$2,596	\$26,151
Clark (01)	\$17,572	\$7,278	\$2,911	\$27,761
Clear Lake (02)	\$29,193	\$13,595	\$4,077	\$46,865
Colton (01)	\$7,283	\$3,763	\$1,129	\$12,175
Crooks (01)	\$16,135	\$9,725	\$2,916	\$28,776
Dell Rapids (02)	\$23,290	\$11,553	\$3,465	\$38,307
Dell Rapids (03)	\$41,606	\$23,707	\$7,109	\$72,422
Dell Rapids (04)	\$35,742	\$22,342	\$6,700	\$64,784
Elk Point (02)	\$21,967	\$7,632	\$3,053	\$32,651
Elk Point (03)	\$14,942	\$6,485	\$2,594	\$24,022
Elk Point (04)	\$4,029	\$2,209	\$662	\$6,900
Elk Point (05)	\$5,736	\$3,457	\$1,037	\$10,229
Elk Point (06)	\$15,160	\$27,090	\$5,419	\$47,669
Elkton (01)	\$9,058	\$21,277	\$4,256	\$34,592
Fort Pierre (02)	\$29,405	\$4,975	\$1,990	\$36,370
Fort Pierre (03)	\$17,185	\$7,922	\$3,169	\$28,276
Fort Pierre (05)	\$7,115	\$4,652	\$931	\$12,697

<b>Borrower</b>	<b>Principal</b>	<b>Interest</b>	<b>Admin Surcharge</b>	<b>Total</b>
Freeman (01)	\$30,488	\$2,809	\$702	\$33,999
Freeman (02)	\$30,590	\$18,437	\$5,529	\$54,555
Garretson (02)	\$14,035	\$9,012	\$2,703	\$25,750
Gayville (01)	\$16,654	\$984	\$295	\$17,933
Gettysburg (01)	\$20,019	\$13,287	\$2,415	\$35,721
Gregory (01)	\$9,027	\$5,900	\$1,180	\$16,106
Groton (03)	\$20,001	\$6,609	\$2,203	\$28,813
Groton (04)	\$5,689	\$2,245	\$898	\$8,832
Groton (05)	\$19,422	\$8,044	\$3,217	\$30,683
Groton (06)	\$2,173	\$1,286	\$386	\$3,844
Groton (07)	\$2,569	\$11,101	\$2,221	\$15,891
Harrold (01)	\$4,691	\$2,854	\$856	\$8,401
Hartford (01)	\$18,033	\$8,981	\$2,994	\$30,008
Hartford (02)	\$24,746	\$12,325	\$4,108	\$41,179
Hartford (03)	\$10,788	\$3,502	\$1,401	\$15,690
Hartford (04)	\$19,341	\$7,172	\$2,869	\$29,381
Hartford (05)	\$15,573	\$8,622	\$2,586	\$26,781
Hecla (01)	\$1,072	\$2,372	\$475	\$3,918
Highmore (01)	\$11,989	\$4,502	\$1,801	\$18,291
Hot Springs (NPS-01)	\$61,471	\$9,135	\$3,045	\$73,651
Huron (03)	\$115,459	\$26,162	\$8,721	\$150,342
Jefferson (01)	\$7,267	\$3,082	\$1,233	\$11,582
Lake Cochrane San Dist (02)	\$5,199	\$2,128	\$851	\$8,178
Lake Madison San Dist (02)	\$27,072	\$11,213	\$4,485	\$42,770
Lake Poinsett San Dist (02)	\$22,574	\$28,108	\$8,429	\$59,110
Lead (04)	\$21,399	\$761	\$217	\$22,377
Lead (05)	\$7,211	\$3,110	\$933	\$11,254
Lead (06)	\$7,001	\$4,104	\$1,231	\$12,335
Lennox (01)	\$21,642	\$4,972	\$1,657	\$28,271
Lennox (02)	\$32,408	\$10,936	\$3,645	\$46,990
Lennox (04)	\$13,425	\$58,289	\$10,594	\$82,309
Madison (02)	\$190,736	\$114,958	\$34,474	\$340,168
Marion (01)	\$30,795	\$42,567	\$11,603	\$84,964
McCook Lake San Dist (01)	\$46,842	\$3,281	\$1,094	\$51,217
Milbank (01)	\$19,759	\$84,784	\$16,961	\$121,503
Milbank (02)	\$2,111	\$4,696	\$854	\$7,661
Mitchell (02)	\$56,907	\$25,271	\$10,108	\$92,286
Mitchell (03)	\$63,681	\$22,186	\$7,395	\$93,263
Mitchell (03NPS)	\$1,036	\$372	\$124	\$1,532
Mobridge (03)	\$123,504	\$2,170	\$619	\$126,293
Montrose (01)	\$3,859	\$487	\$122	\$4,467
Montrose (02)	\$569	\$5,605	\$1,019	\$7,193
Nisland (01)	\$6,590	\$3,169	\$950	\$10,709

<b>Borrower</b>	<b>Principal</b>	<b>Interest</b>	<b>Admin Surcharge</b>	<b>Total</b>
North Sioux City (02)	\$30,170	\$425	\$142	\$30,737
Parker (01)	\$18,715	\$8,161	\$2,447	\$29,323
Parker (02)	\$19,084	\$10,668	\$3,199	\$32,951
Parker (03)	\$4,469	\$6,084	\$1,106	\$11,658
Parkston (01)	\$24,111	\$14,801	\$4,438	\$43,350
Philip (02)	\$16,715	\$5,316	\$1,772	\$23,803
Philip (03)	\$17,776	\$5,140	\$1,542	\$24,458
Pickeral Lake San Dist (01)	\$14,689	\$4,145	\$1,382	\$20,215
Pierre (04)	\$53,424	\$21,605	\$8,641	\$83,670
Pierre (05)	\$3,139	\$2,317	\$421	\$5,877
Rapid City (06)	\$87,097	\$115,454	\$23,096	\$225,648
Rapid Valley San Dist (03)	\$38,140	\$10,304	\$3,435	\$51,879
Salem (01)	\$23,268	\$9,184	\$3,673	\$36,125
Salem (02)	\$16,480	\$7,675	\$2,302	\$26,457
Scotland (01)	\$10,939	\$4,639	\$1,855	\$17,434
Sioux Falls (01)	\$155,193	\$1,607	\$536	\$157,335
Sioux Falls (14)	\$447,743	\$6,564	\$1,873	\$456,180
Sioux Falls (15)	\$158,904	\$10,899	\$4,359	\$174,162
Sioux Falls (16)	\$271,057	\$20,454	\$8,181	\$299,692
Sioux Falls (17)	\$60,244	\$4,546	\$1,818	\$66,608
Sioux Falls (18)	\$388,583	\$37,970	\$9,493	\$436,047
Sioux Falls (19)	\$41,955	\$4,100	\$1,025	\$47,079
Sioux Falls (20A)	\$1,592,549	\$88,966	\$44,476	\$1,725,991
Sioux Falls (20B)	\$865,949	\$48,375	\$24,184	\$938,508
Sioux Falls (20NPS)	\$124,353	\$6,947	\$3,473	\$134,773
Sioux Falls (21A)	\$550,927	\$151,298	\$75,638	\$777,863
Sioux Falls (21B)	\$772,121	\$210,948	\$105,458	\$1,088,527
Sioux Falls (21NPS)	\$134,799	\$39,072	\$19,533	\$193,404
Sioux Falls (22)	\$1,032,815	\$130,260	\$32,565	\$1,195,640
Sioux Falls (23)	\$991,078	\$142,314	\$35,578	\$1,168,971
Sioux Falls (24)	\$70,897	\$5,749	\$1,437	\$78,083
Sioux Falls (25)	\$332,359	\$59,671	\$14,918	\$406,948
Sioux Falls (26)	\$340,522	\$66,141	\$16,535	\$423,197
Sioux Falls (27)	\$244,671	\$45,472	\$11,368	\$301,510
Sioux Falls (28)	\$152,840	\$27,044	\$7,726	\$187,611
Sioux Falls (29)	\$99,555	\$17,591	\$5,025	\$122,171
Sioux Falls (30)	\$389,819	\$73,078	\$20,877	\$483,775
Sioux Falls (31)	\$151,221	\$25,913	\$7,403	\$184,537
Southern Missouri WMD (01)	\$17,226	\$4,184	\$1,395	\$22,805
Spearfish (02)	\$160,315	\$99,314	\$29,782	\$289,411
Sturgis (05)	\$11,326	\$7,153	\$1,431	\$19,910
Tea (03)	\$9,148	\$2,520	\$840	\$12,508
Tea (04)	\$24,179	\$1,894	\$631	\$26,704

<b>Borrower</b>	<b>Principal</b>	<b>Interest</b>	<b>Admin Surcharge</b>	<b>Total</b>
Tea (05)	\$16,911	\$6,432	\$2,572	\$25,915
Tea (06)	\$30,839	\$17,572	\$5,270	\$53,681
Tea (07)	\$31,811	\$21,272	\$4,255	\$57,339
Tyndall (01)	\$31,146	\$17,747	\$5,322	\$54,214
Valley Springs (01)	\$16,960	\$6,335	\$2,112	\$25,408
Valley Springs (02)	\$11,488	\$5,066	\$1,519	\$18,074
Vermillion (01)	\$8,059	\$206	\$69	\$8,334
Vermillion (03)	\$12,305	\$4,857	\$1,943	\$19,105
Vermillion (04)	\$106,875	\$49,242	\$14,767	\$170,883
Vermillion (05)	\$111,599	\$70,394	\$21,110	\$203,103
Vermillion (06)	\$7,071	\$4,504	\$901	\$12,476
Wagner (01)	\$5,419	\$3,088	\$926	\$9,433
Wall Lake San Dist (01)	\$8,074	\$2,956	\$1,182	\$12,212
Watertown (03)	\$163,160	\$35,412	\$11,804	\$210,376
Watertown (05)	\$95,864	\$39,706	\$15,881	\$151,451
Watertown (06)	\$48,997	\$15,573	\$7,785	\$72,354
Watertown (06NPS)	\$4,885	\$1,472	\$736	\$7,093
Watertown (07)	\$34,298	\$10,712	\$5,355	\$50,365
Watertown (07NPS)	\$2,441	\$1,075	\$537	\$4,053
Watertown (08)	\$22,250	\$6,949	\$3,474	\$32,673
Watertown (08NPS)	\$2,490	\$778	\$389	\$3,656
Watertown (09)	\$301,184	\$218,814	\$43,773	\$563,771
Watertown (10)	\$100,090	\$65,556	\$13,114	\$178,761
Webster (02)	\$37,553	\$13,396	\$5,358	\$56,306
Weston Heights (01)	\$24,200	\$13,012	\$3,902	\$41,115
Whitewood (02)	\$6,996	\$3,376	\$844	\$11,216
Willow Lake (01)	\$4,457	\$1,798	\$719	\$6,973
Winner (01)	\$25,706	\$15,493	\$4,646	\$45,845
Wolsey (01)	\$6,106	\$3,817	\$1,145	\$11,068
Worthing (01)	\$10,446	\$2,602	\$867	\$13,916
Worthing (02)	\$8,497	\$10,957	\$3,286	\$22,740
Yankton (03)	\$212,145	\$76,672	\$30,667	\$319,484
<b>Total FFY2012</b>	<b>\$13,229,478</b>	<b>\$3,268,014</b>	<b>\$1,032,699</b>	<b>\$17,530,191</b>

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**EXHIBITS IX - XI**

**CLEAN WATER SRF**

**FINANCIAL STATEMENTS**

**(unaudited)**

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**Exhibit IX**  
**DENR Clean Water State Revolving Fund**  
**Statement of Net Assets**  
**June 30, 2011**

Current Assets:	
Cash and Cash Equivalents	\$ 27,253,947.34
Restricted Cash and Cash Equivalents	335,026.06
Due From Federal Governments	112,865.56
Due from Other Governments	265,053.90
Deferred Charges	48,143.87
Accrued Interest Receivable	2,379,117.71
Loans Receivable	22,969,684.30
Total Current Assets	<u>53,363,838.74</u>
Noncurrent Assets:	
Investments	79,849,645.87
Restricted Investments	374,421.62
Deferred Charges	636,595.87
Loans Receivable	166,938,327.02
Total Noncurrent Assets:	<u>247,798,990.38</u>
<b>Total Assets</b>	<u>301,162,829.12</u>
<b>Liabilities</b>	
Current Liabilities:	
Accounts Payable	201,211.32
Cost of Issuance Payable	21,326.98
Accrued Liabilities	15,497.84
Compensated Absences Payable	33,007.30
Accrued Interest Payable	2,185,927.27
Notes Payable	0.00
Bonds Payable - net of unamortized premium, discount, and deferred amount of refunding	4,341,832.83
Total Current Liabilities	<u>6,798,803.54</u>
Noncurrent Liabilities:	
Compensated Absences Payable	29,400.15
Bonds Payable - net of unamortized premium, discount, and deferred amount of refunding	97,741,571.72
Total Noncurrent Liabilities:	<u>97,770,971.87</u>
<b>Total Liabilities</b>	<u>104,569,775.41</u>
<b>Net Assets</b>	
Restricted For Debt Service	709,447.68
Unrestricted	195,883,606.03
<b>Total Net Assets</b>	<u>\$ 196,593,053.71</u>

The notes to the financial statements are an integral part of this statement.

**Exhibit X**  
**DENR Clean Water State Revolving Fund**  
**Statement of Revenues, Expenses, and Changes in Fund Net Assets**  
**For the State Fiscal Year Ended June 30, 2011**

<b>Operating Revenues:</b>		
Loan Interest Income		\$ 4,152,911.86
Federal Capitalization Grants		15,274,974.29
Other Income		1,320,246.72
Bond Premium		541,305.50
Total Operating Revenue		<u>21,289,438.37</u>
 <b>Operating Expenses:</b>		
Administrative Expenses		
Personal Services	181,438.19	
Employee Benefits	56,960.50	
Travel	11,629.47	
Contractual	368,627.19	
Supplies	872.25	
Grants	645,613.67	
Other	1,223.43	
Total Administrative Expenses		<u>1,266,364.70</u>
Grant Expense		5,300,714.00
Interest Expense		4,206,436.66
Servicer Expense		32,125.09
Arbitrage Rebate		196,372.21
Bond Issuance Expense		106,965.83
Total Operating Expenses		<u>11,108,978.49</u>
Operating Income (Loss)		10,180,459.88
 <b>Nonoperating Revenues (Expenses):</b>		
Investment Income		<u>3,258,673.12</u>
Income (Loss) Before Transfers		13,439,133.00
 <b>Transfers:</b>		
Transfer In		10,000,000.00
Transfer Out		<u>(30,194.90)</u>
Change in Net Assets		23,408,938.10
Net Assets at Beginning of Year		<u>173,184,115.61</u>
<b>Net Assets at End of Year</b>		<u><u>\$ 196,593,053.71</u></u>

The notes to the financial statements are an integral part of this statement.

**Exhibit XI**  
**DENR Clean Water State Revolving Fund**  
**Statement of Cash Flows For the State Fiscal Year Ended June 30, 2011**

<b>Cash Flows from Operating Activities:</b>		
Receipts for Loan Repayments	\$ 39,049,184.46	
Receipts for Interest Income on Loans	3,959,433.44	
Receipts for Surcharge Interest on Loans	1,280,685.45	
Receipts for Administering Program	15,256,677.00	
BAN's Premium Revenue	541,305.50	
Payments to Loan Recipients	(45,517,675.00)	
Servicing Payments	(32,125.09)	
Payments for Employee Services	(223,288.05)	
Payments for Contractual Services	(264,369.55)	
Payment for Grants	(6,008,451.04)	
Other Payments	(13,725.15)	
Arbitrage Rebate Payment	(196,372.21)	
BAN's Issuance Costs Paid	(67,985.50)	
Net Cash Provided (Used) by Operating Activities		7,763,294.26
<b>Cash Flows from Noncapital Financing Activities:</b>		
Bond Issuance Costs Paid	(348,252.19)	
BAN Receipts	36,550,000.00	
BAN Payments	(73,550,000.00)	
Bond Payments	(21,205,000.00)	
Bond Receipts	58,021,345.65	
Transfers In	10,000,000.00	
Transfers Out	(30,194.90)	
Principal Payments on Bonds	(3,665,000.00)	
Interest Payments on Bonds and Notes	(3,123,104.51)	
Net Cash Provided (Used) by Noncapital Financing Activities		2,649,794.05
<b>Cash Flows from Investing Activities:</b>		
Interest on Investments	2,951,289.13	
Proceeds from Sale of Investment Securities	32,502,129.22	
Purchase of Investment Securities	(55,678,171.47)	
Net Cash Provided (Used) by Investing Activities		(20,224,753.12)
Net Increase (Decrease) in Cash and Cash Equivalents		(9,811,664.81)
Cash and Cash Equivalents at Beginning of Year		37,400,638.21
Cash and Cash Equivalents at End of Year		\$ 27,588,973.40
<b>Reconciliation of Operating Income to Net Cash Provided (Used) by Operating Activities</b>		
Operating Income (Loss)		\$ 10,180,459.88
<b>Adjustments to Reconcile Net Income to Net Cash Provided (Used) by Operating Activities:</b>		
Interest Expense	4,206,436.66	
Amortization of Bond Issuance Cost	38,980.33	
<b>Assets: (Increase)/Decrease</b>		
Loans Receivable	(6,468,490.54)	
Accrued Interest Receivable on Loans	(193,478.42)	
Due from Other Governments	(39,561.27)	
Due from Federal Governments	(18,297.29)	
<b>Liabilities: Increase/(Decrease)</b>		
Accounts Payable	42,134.27	
Accrued Employee Benefits	11,643.00	
Accrued Liabilities	3,467.64	
Total Adjustments		(2,417,165.62)
Net Cash Provided by Operations		\$ 7,763,294.26

The notes to the financial statements are an integral part of this statement.

**Clean Water State Revolving Fund  
Notes To The Financial Statements  
June 30, 2011**

**1. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES**

**A. Authorizing Legislation**

The 1988 South Dakota Legislature authorized the State Water Pollution Control Revolving Loan Fund Program, also known as the Clean Water State Revolving Fund (CWSRF) Loan Program. Additionally, the legislature appropriated \$1,200,000 and directed the South Dakota Conservancy District to administer the program. The Department of Environment and Natural Resources performs all of the functions of the South Dakota Conservancy District, except for quasi-legislative, quasi-judicial, advisory, and special budgetary functions, which are performed by the Board of Water and Natural Resources acting in its capacity as the Conservancy District. The CWSRF is a low interest loan program to finance the construction of wastewater facilities, storm sewers and non-point source pollution control projects. The program was created by the 1987 Clean Water Act amendments. Funds are provided to the states in the form of capitalization grants awarded annually through the United States Environmental Protection Agency. The federal capitalization grants are matched by state funds at a ratio of 5:1. The Clean Water State Revolving Fund is a part of the State of South Dakota and, as such, the accompanying financial statements are included in the Comprehensive Annual Financial Report of the State of South Dakota.

**B. Fund Accounting**

The CWSRF is accounted for as an enterprise fund. An enterprise fund is a proprietary fund type used to report an activity for which a fee is charged to external users for goods or services.

**C. Basis of Accounting**

The CWSRF follows the accrual basis of accounting. This method of accounting recognizes the financial effect of transactions, events, and interfund activities when they occur, regardless of the timing of related cash flows. The CWSRF follows all Governmental Accounting Standards Board (GASB) pronouncements and those Financial Accounting Standards Boards Statements and Interpretations, Accounting Principles Board Opinions and Accounting Research Bulletins that were issued on or before November 30, 1989, except those that conflict with a GASB pronouncement.

**D. Cash and Cash Equivalents**

For purposes of the accompanying statement of net assets and statement of cash flows all highly liquid investments with original maturities of 90 days or less are considered to cash equivalents.

**E. Investments**

Investments are reported at fair value. Unrealized gains and losses due to fluctuations in market value are included in investment income.

**F. Deferred Charges**

Issuance costs, discounts, premiums, and deferred amount of refunding on bonds are amortized using the straight line method over the life of the bonds to which they relate.

**G. Reserves**

The bond indentures provide that certain reserve accounts be established. The reserves as of June 30, 2011, consist of the following Investment Agreements and are reported as restricted net assets in the financial statements:

- 6.22% MBIA Inc. due August 1, 2017 totaling \$95,771
- 5.07% AIG due August 1, 2025 totaling \$278,651

In addition, \$335,026.06 is invested in a Money Market Fund described in note 2 below.

H. Restricted Resources

When both restricted and unrestricted resources are available for use, it is the CWSRF's policy to use restricted resources first, and then unrestricted resources as they are needed.

I. Revenue and Expense Recognition

Enterprise funds distinguish operating revenues and expenses from nonoperating items. Operating revenues and expenses generally result from providing services and producing and delivering items in connection with an enterprise fund's principal ongoing operations. The CWSRF records all revenues derived from interest on loans, and federal capitalization grants as operating revenues since these revenues are generated from the CWSRF's daily operations needed to carry out its purpose. Operating expenses include interest expense on bonds, grants and subsidies, and contractual service expenses related to the administration of the CWSRF program.

J. Federal Capitalization Grant

Federal capitalization grants reported as operating income in the statement of revenues, expenses, and changes in fund net assets is a federally funded loan program. Information about the program is as follows:

CFDA Number:	66.458
Federal Agency:	Environmental Protection Agency
Program:	Clean Water State Revolving Fund
State Agency:	Environment & Natural Resources
Expenditures:	\$190,208,683
Outstanding Loans:	\$189,908,011
Current Year	
Administrative Expense:	\$300,672
Loan Disbursement:	\$45,517,675

**2. CASH AND INVESTMENTS**

Cash and Cash Equivalents consisted of a Goldman Sachs Financial Square Treasury Obligation Fund (money market fund) and the South Dakota Cash Flow Fund. The Goldman Sachs Fund was rated "AAAm" by Standard and Poor's Rating Group. The fund paid .01% for the period 7/01/2010 – 6/30/2011. The South Dakota Conservancy District Investment Policy adopted pursuant to the Master Trust Indenture authorizes the investment of up to 20 percent of funds in the South Dakota Cash Flow Fund which is an unrated fund. The fund paid 3.37% for state fiscal year 2011. Funds were invested for the period 1/5/2011 to 6/30/2011.

*Custodial Credit Risk:* Custodial credit risk is the risk that in the event of a bank failure, the Clean Water State Revolving Fund's investments may not be returned. At June 30, 2011, \$80,224,067 of guaranteed investment contracts were uninsured and unregistered, with the securities held by its trust department, but not in the CWSRF's name.

*Interest Rate Risk:* Interest rate risk is the risk that changes in interest rates will adversely affect the fair value of an investment. The maturities of the investments are listed below.

<u>Investment</u>	<u>Maturities</u>	<u>Fair Value</u>
Guaranteed Investment Contract	7/31/2012	2,460,244
Guaranteed Investment Contract	8/01/2015	6,611,439
Guaranteed Investment Contract	8/01/2017	9,456,372
Guaranteed Investment Contract	8/01/2025	17,648,725

Guaranteed Investment Contract

8/01/2026

44,047,287

\$80,224,068

*Credit Risk:* Credit risk is the risk that an issuer or other counterparty to an investment will not fulfill its obligations to the CWSRF. The South Dakota State Revolving Fund Master Trust Indenture requires any investment agreement to be with a guarantor whose long-term rating category is no lower than the two highest long-term rating categories. The investment is rated by Moody's Investors Service.

<u>Moody's Rating</u>	<u>Fair Value</u>
Aa2	2,460,244
Aa3	6,611,439
B3*	9,456,372
Baa1*	<u>61,696,012</u>
Total	<u>\$80,224,067</u>

\* These guarantor's ratings are below the acceptable rating category (i.e., below Moody's Aa3). These investments have been fully collateralized with government securities in accordance with the provisions of Guaranteed Investment Contracts. These fully collateralized investments have a fair value that equates to contract value.

### 3. LOANS RECEIVABLE

Loans receivable consist of loans made to local governments through a loan agreement. In order for a local government to receive a loan, evidence must be shown that the principal and interest of the loan will be repaid. Therefore, each local government must show the ability to impose utility rates, levy special assessments or collect sales taxes that will generate enough revenue to equal at least 110% of the annual principal and interest on the loan. Loans made from the CWSRF may be made at or below market interest rates and shall be fully amortized within twenty years of the date which is not more than one year following completion of the project financed. Interest rates are reduced for those loans shorter than twenty years.

### 4. LONG-TERM DEBT

#### Revenue Bonds

The bond issues outstanding as of June 30, 2011 are as follows:

<u>Issue</u>	<u>Interest Rate</u>	<u>Maturity Through</u>	<u>Principal Balance</u>
Series 1996A			
Term Bonds	5.625%	2017	\$ 100,000
Series 2001			
Serial Bonds	4%-5%	2014	835,000
Term Bonds	5%	2022	2,250,000
Series 2004			
Serial Bonds	2.5%-5.25%	2017	4,810,000
Series 2005			
Serial State Match	3.25%-5%	2026	1,265,000
Serial Leveraged	3.25%-5%	2026	34,405,000
Series 2010AB			
Build America Bonds (BABs)			
State Match	4.084%-5.646%	2031	2,430,000

Leveraged	4.084%-5.646%	2031	23,600,000
Tax Exempt Bonds			
State Match	4.084%-5.646%	2030	2,710,000
Leveraged	4.084%-5.646%	2030	26,965,000
Total			99,370,000
Less: Unamortized Deferred Amount of Refunding			(519,379)
Less: Unamortized Bond Discount			(17,233)
Add: Unamortized Bond Premium			3,250,016
Total Net of Amortization			\$102,083,404

Future bond payments and future interest payments remaining as of June 30, 2011 are as follows:

Year Ended June 30	Principal	Interest	Total Principal and Interest
2012	4,320,000	4,840,350	9,160,350
2013	5,400,000	4,410,085	9,810,085
2014	4,915,000	4,188,491	9,103,491
2015	5,130,000	3,966,260	9,096,260
2016	5,340,000	3,732,191	9,082,191
2017-2021	25,055,000	15,161,995	40,216,995
2022-2026	30,845,000	8,742,836	39,587,836
2027-2031	<u>18,355,000</u>	<u>2,031,630</u>	<u>20,386,630</u>
TOTAL	<u>\$99,370,000</u>	<u>\$47,073,838</u>	<u>\$146,443,838</u>

Changes in long-term liabilities

	Beginning Balance	Additions	Reductions	Ending Balance	Due Within One Year
Revenue Bonds	\$69,045,759	\$58,027,416	\$24,989,771	\$102,083,404	\$4,341,833
Compensated Absences	50,764	19,144	7,501	62,407	33,007
Long-Term Liabilities	<u>\$69,096,523</u>	<u>\$58,046,560</u>	<u>\$24,997,272</u>	<u>\$102,145,811</u>	<u>\$4,374,840</u>

**5. COMMITMENTS**

As of June 30, 2011, the CWSRF had loan commitments with political subdivisions worth \$66,777,248.

**6. RETIREMENT PLAN**

The Department of Environment and Natural Resources participates in the South Dakota Retirement System (SDRS) a cost-sharing, multiple employer defined benefit pension plan established to provide retirement, disability, and survivor benefits for employees of the State and its political subdivisions. Authority for establishing, administering and amending plan provisions is found in SDCL 3-12. The SDRS issues a publicly available financial report that includes financial statements, footnote disclosures, and required supplementary information. That report may be obtained by writing to the SDRS, P.O. Box 1098, Pierre, SD 57501-1098 or by calling (605) 773-3731.

Employees are required by state statute to contribute 6 percent of their salary to the plan. State statute also requires the employer to contribute an amount equal to the employee's contribution. The right to receive retirement benefits vests after three years of credited service. The CWSRF contributions to the SDRS for the fiscal years ended June 30, 2011, 2010, and 2009 were \$12,422.19, \$13,258.20, and \$11,683.34, respectively, equal to the required contributions each year.

**7. ANNUAL AND SICK LEAVE ACCRUAL**

All employees earn annual leave. Upon termination, employees are eligible to receive compensation for their accrued annual leave balances. At June 30, 2011, a liability existed for accumulated annual leave calculated at the employee's June 30, 2011 pay rate in the amount of \$27,728.26. Employees who have been continuously employed by the State of South Dakota for at least seven years prior to the date of their retirement, voluntary resignation or death will receive payment for one-fourth of their accumulated sick leave balance. This payment is not to exceed the sum of twelve weeks of employee's annual compensation. At June 30, 2011, a liability existed for accumulated sick leave, calculated at each employee's June 30, 2011 pay rate in the amount of \$34,679.19. The total leave liability of \$62,407.46 at June 30, 2011 is shown as a liability on the balance sheet.

**8. RISK MANAGEMENT**

The Department of Environment and Natural Resources is exposed to various risks of loss related to torts; theft of, damage to, and destruction of assets; errors and omissions; injuries to employees; and natural disasters. The Department is uninsured for property loss. The Department participates in the various programs administered by the State of South Dakota. These risk management programs are funded through assessments charged to participating entities. The risk management programs include; 1) coverage for risks associated with automobile liability and general tort liability (including public officials' errors and omissions liability, medical malpractice liability, law enforcement liability, and products liability) through the State's Public Entity Pool for Liability Fund, 2) coverage of employee medical claims through the State's health insurance program, 3) coverage for unemployment benefits through the State's Workers' Compensation Fund, and, 4) coverage for workers' compensation benefits through the State's Workers' Compensation Fund. Financial information relative to the self-insurance funds administered by the State is presented in the State of South Dakota Comprehensive Annual Financial Report.

**9. BONDING ACTIVITY IN SFY 2011**

The \$36,550,000 in proceeds of the Series 2010 Bond Anticipation Notes (BANs), together with other available funds, were used to pay the redemption price of the District's \$37,000,000 Series 2009 BANs which was due on September 10, 2010. The \$55,705,000 in proceeds of the Series 2010 Bonds, together with other available funds, will be used by the District to refund the \$36,550,000 BANs Series 2010 in September 2011 and to refund the \$21,205,000 principal amount of Bonds issued by the District in 2008. Additionally, a portion of the 2010 BANs and Bonds were used to pay costs of issuance.

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**ADDENDUM A**

**FEDERAL FISCAL YEAR 2012**

**INTENDED USE PLAN**

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**SOUTH DAKOTA CLEAN WATER STATE REVOLVING FUND  
FISCAL YEAR 2012 INTENDED USE PLAN**

**INTRODUCTION**

The state of South Dakota proposes to adopt the following Intended Use Plan (IUP) for federal fiscal year 2012 as required under Section 606(c) of the Clean Water Act.

The primary purpose of the IUP is to identify the proposed annual intended use of the amounts available to the Clean Water State Revolving Fund (SRF). The IUP has been reviewed by the public and reflects the results of that review.

The IUP includes the following:

1. List of projects and activities;
2. Goals, objectives, and environmental results;
3. Amount of funds transferred between the Clean Water SRF and the Drinking Water SRF;
4. Information on the activities to be supported;
5. Assurances and specific proposals;
6. Criteria and method for distribution of funds; and
7. Sources and uses of funds.

**LIST OF PROJECTS AND ACTIVITIES**

The IUP identifies potential municipal wastewater, storm water, and nonpoint source projects. A project must be on the project priority list, Attachment I, to be eligible for a loan. This list was developed from the State

Water Plan and includes projects that did not designate Clean Water SRF loans as a funding source.

Projects may be added to the project priority list by the Board of Water and Natural Resources if the action is included on the meeting agenda at the time it is posted.

Priority ratings are based on the integrated project priority system established in ARSD 74:05:08:03.01 and 74:05:08:03.02. The general objective of the integrated priority system is to ensure that projects funded through the Clean Water SRF program address high priority water quality problems. This is accomplished with a priority system that ranks both municipal wastewater and nonpoint source pollution control projects on an equal basis. Projects and activities utilizing administrative surcharge funds are not required to be ranked and included on the project priority list.

The Clean Water SRF may be used for the following purposes:

1. Low-interest loans for secondary or more stringent treatment of any cost-effective alternatives, new interceptors and appurtenances, infiltration/inflow correction, new collectors, sewer system rehabilitation, expansion and correction of combined sewer overflows, and construction of new storm sewers. The low-interest loans can be made for up to 100 percent of the total project cost;
2. Refinancing of existing debt obligations for municipal wastewater facilities if the debt was incurred and construction initiated after March 7, 1985; or

3. Nonpoint source pollution control projects and programs, including non-traditional projects (projects with a primary purpose other than water quality).

A determination of which projects are funded from the above mentioned lists, the amount of assistance, and the financing terms and conditions will be made by the Board of Water and Natural Resources during federal fiscal year 2012.

### **GOALS, OBJECTIVES, AND ENVIRONMENTAL RESULTS**

#### Long-term Goals and Objectives:

The long-term goals of the State Water Pollution Control Revolving Fund are to fully capitalize the Clean Water SRF, maintain or restore and enhance the chemical, physical, and biological integrity of the state's waters for the benefit of the overall environment, protect public health, and promote economic well-being.

#### Objectives:

1. Maintain a permanent, self-sustaining Clean Water SRF program that will serve in perpetuity as a financing source for wastewater treatment works projects and nonpoint source pollution control projects; and
2. Fulfill the requirements of pertinent federal, state, and local laws and regulations governing water pollution control activities while providing the state and local project sponsors with maximum flexibility and decision-making authority regarding such activities.

#### Short-term Goal and Objectives:

The short-term goal of the Clean Water SRF is to fully capitalize the fund.

#### Objectives:

1. Ensure the technical integrity of Clean Water SRF projects through the review of planning, design plans and specifications, and construction activities;
2. Ensure compliance with all pertinent federal, state, and local water pollution control laws and regulations; and
3. Obtain maximum capitalization of the funds for the state in the shortest time possible.

#### Environmental Results

Beginning January 1, 2005, states are required to quantify and report the environmental benefits being realized through the Clean Water SRF loan program. The reporting requirement is being satisfied using an on-line environmental benefits assessment developed by EPA in cooperation with the States and other organizations. A summary of the fiscal year 2012 loans and the resulting benefits will be provided in the end-of-year-annual report.

### **AMOUNT OF FUNDS TRANSFERRED BETWEEN THE CLEAN WATER SRF AND THE DRINKING WATER SRF**

The Safe Drinking Water Act Amendments of 1996 and subsequent Congressional action allows states to transfer an amount equal to 33 percent of its Drinking Water SRF capitalization grant to the Clean Water SRF or an equivalent amount from the Clean Water SRF to the Drinking Water SRF.

States can also transfer state match, investment earnings, or principal and interest repayments between SRF programs and may transfer a previous year's allocation at any time.

South Dakota has transferred \$15,574,320 from the Clean Water SRF program to the Drinking Water SRF program in past years. In fiscal year 2006 and 2011, \$7.5 million in leveraged bond proceeds and \$10 million of repayments, respectively, were transferred from the Drinking Water SRF program to the Clean Water SRF program. With the 2012 capitalization grant, the ability exists to transfer up to \$30.8 million from the Clean Water SRF program to the Drinking Water SRF program. Nearly \$28.9 million could be transferred from the Drinking Water Program to the Clean Water SRF program. The table on page 8 details the amount of funds transferred between the programs and the amount of funds available to be transferred.

No transfers are anticipated in 2012.

### **INFORMATION ON THE ACTIVITIES TO BE SUPPORTED**

The primary type of assistance to be provided by the Clean Water SRF is direct loans including refinancing of existing debts where eligible. Loan assistance will be provided to municipalities, sanitary districts, counties, or other units of government for publicly owned wastewater treatment facilities, storm sewers, and nonpoint source pollution control programs in accordance with the Clean Water SRF administrative rules adopted by the Board of Water and Natural Resources. With the adoption of the amended and restated Master Indenture in 2004, the Clean Water and Drinking Water SRF programs are cross-collateralized. This allows the board to pledge excess revenues on deposit in the Drinking Water SRF program to act as

additional security for bonds secured by excess revenues on deposit in the Clean Water SRF program, and vice versa.

### **Sources of Loan Funds**

Loan funds are derived from various sources and include federal capitalization grants, state match, leveraged bonds, borrowers' principal repayments, and interest earnings.

Capitalization Grants/State Match: Federal capitalization grants are provided to the state annually. These funds must be matched by the state at a ratio of 5 to 1. The fiscal year 2012 capitalization grant is expected to be \$7,222,000 which requires \$1,444,400 in state match. Bond proceeds will be used to match 2012 capitalization grant funds.

In FY12, the South Dakota CWSRF program will draw cash from Federal grants to pay for project and administrative expenses at a ratio of 83.33 percent federal to 16.67 percent of state match funds. This ratio may be adjusted throughout the year with additional federal funds spent, due to the program having spent state match prior to drawing federal funds, so the program could remain in compliance with the Tax Increase Prevention and Reconciliation Act. Leveraged bond proceeds will be spent on project expenses with a 0 percent federal proportionate share.

Leveraged Bonds: The South Dakota Conservancy District has the ability to issue additional bonds above that required for state match, known as leveraged bonds. It is anticipated that a minimum of \$10,000,000 in leveraged bonds will be required in 2012. The amount of leveraged funds required will be determined at a later time.

Borrowers' Principal Repayments: The principal repaid by the loan borrowers is used to make semi-annual leveraged bond

payments. Any excess principal is available for loans. It is estimated that \$10,000,000 in principal repayments will become available for loans in fiscal year 2012.

Interest Earnings: The interest repaid by the loan borrowers, as well as interest earned on investments, is dedicated to make semi-annual state match bond payments. Any excess interest is available for loans. It is estimated that \$5,300,000 in interest earnings will become available for loans in fiscal year 2012.

**Additional Subsidy - Principal Forgiveness**

The 2010 and 2011 Clean Water SRF appropriation mandated that not less than 30 percent of the funds made available for Clean Water SRF capitalization grants be used by the State to provide additional subsidy to eligible recipients and shall only apply to the portion of the national allocation that exceeds \$1,000,000,000. Additional subsidy may be in the form of forgiveness of principal, negative interest loans, or grants (or any combination of these).

Additional subsidy will be provided in the form of principal forgiveness. Municipalities and sanitary districts must have a minimum rate of \$22 per month based on 5,000 gallons usage or a flat rate to qualify for principal forgiveness. Other applicants must have a minimum rate of \$40 per month based on 5,000 gallons usage or a flat rate to qualify for principal forgiveness.

When determining the amount of principal forgiveness, the Board of Water and Natural Resources may consider the following decision-making factors, which are set forth in alphabetical order:

- (1) Annual utility operating budgets;

- (2) Available local cash and in-kind contributions;
- (3) Available program funds;
- (4) Compliance with permits and regulations;
- (5) Debt service capability;
- (6) Economic impact;
- (7) Other funding sources;
- (8) Qualification as a Green Project Reserve project;
- (9) Readiness to proceed;
- (10) Regionalization or consolidation of facilities;
- (11) Technical feasibility;
- (12) Utility rates; and
- (13) Water quality benefits.

Table 1 summarizes the amounts of principal forgiveness provided through the 2010 and 2011 capitalization grants.

Table 1 – Principal Forgiveness Awarded

FFY	Principal Forgiveness	
	Minimum	Maximum
2010	\$1,497,892	\$4,993,274
2011	\$669,233	\$2,230,775
	\$2,167,125	\$7,224,049
Awarded in 2010		(\$3,735,995)
Awarded in 2011		(\$3,488,195)
Principal Forgiveness Balance		(\$141)

Although the availability of principal forgiveness for the upcoming year is unknown, it is anticipated that the 2012 capitalization grant will include the ability to award principal forgiveness. Attachment II - List of Projects to be Funded in FY 2012 identifies \$1,061,440 in potential principal forgiveness.

## Green Project Reserve

The 2010 and 2011 Clean Water SRF appropriations mandate that to the extent there are sufficient eligible project applications, not less than 20 percent of the funds made available for each year's Clean Water SRF capitalization grant shall be used

	Up to 3 Yrs	Up to 10 Yrs	Up to 20 Yrs	Up to 30 Yrs*
<u>Interim Rate</u>				
Interest Rate	2.00%			
Admin. Surcharge	<u>0.00%</u>			
Total	2.00%			
<u>Base Rate</u>				
Interest Rate		1.75%	2.50%	2.75%
Admin. Surcharge		<u>0.50%</u>	<u>0.50%</u>	<u>0.50%</u>
Total		2.25%	3.00%	3.25%
<u>Nonpoint Source Incentive Rate</u>				
Interest Rate		1.00%	1.50%	1.75%
Admin. Surcharge		<u>0.25%</u>	<u>0.50%</u>	<u>0.50%</u>
Total		1.25%	2.00%	2.25%

\* Term cannot exceed useful life of the project.

by the State for projects to address green infrastructure, water or energy efficiency improvements, or other environmentally innovative activities. These four categories of projects are the components of the Green Project Reserve.

To meet the Green Project Reserve requirement, the South Dakota Clean Water SRF program will be expected to provide at least \$2,000,400 and \$1,938,600 from the 2010 and 2011 capitalization grants, respectively, to qualifying projects. If this amount cannot be achieved, a waiver from the Green Project Reserve requirement can be requested. To obtain a waiver it must be demonstrated that sufficient effort was taken to solicit eligible projects. Sufficient funds

have been awarded to qualifying projects to meet the 2010 and 2011 Green Project Reserve requirement.

It is anticipated that the Green Project Reserve requirement will carry forward with the 2012 capitalization grant. Attachment II - List of Projects to be Funded in FY 2011 identifies \$4.28 million of projects or project components that may count towards the Green Project Reserve. Based on the expected 2012 capitalization grant this amount will meet the mandated 20 percent threshold.

## Interest Rates

Interest rates are reviewed periodically in comparison to established bond rating indexes to assure rates are at or below market rates as required. The SRF rates are then set to be competitive with other funding agencies.

The interest rates for fiscal year 2012 are summarized in Table 2. The rates were last adjusted in February 2009.

Projects for traditional wastewater or stormwater projects that include a nonpoint source component may receive the nonpoint source rate. The annual principal and interest payments are calculated for a loan at the higher base interest rate. Using the lower interest incentive rate, a loan is sized using the annual payment previously calculated. The difference in the two loan amounts is the amount of funding available for the nonpoint source component of the project.

## Administrative Surcharge Activities

The interest rate includes an administrative surcharge as identified in Table 2. The surcharge was established to provide a pool of funds to be used for administrative

purposes after the state ceases to receive capitalization grants. The administrative surcharge is also available for other purposes, as determined eligible by EPA and at the discretion of the Board of Water and Natural Resources and department. Recent emphasis has been on using the surcharge for purposes other than reserves for future program administration.

In fiscal year 2001, the board initiated the Small Community Planning Grant program to encourage proactive planning by small communities. The planning grants reimburse 80 percent of the cost of the study. Planning grants are available only to communities of 2,500 or less. Communities are reimbursed 80 percent of the cost of an engineering study, with the maximum grant amount for any project being \$10,000.

The board provides additional grants for studies incorporating a rate analysis using Rate Maker software. Reimbursement for performing a rate analysis is 80 percent of costs up to a maximum of \$1,600.

Administrative surcharges are being used for non-federal cost share for Total Maximum Daily Load (TMDL) assessment and implementation projects.

Additionally, administrative surcharges were allocated to supplement the Consolidated program by providing water quality grants to Clean Water SRF eligible projects.

Beginning in fiscal year 2005, administrative surcharge funds were also provided to the planning districts to defray the cost of SRF application preparation and project administration. Reimbursement is \$7,500 per approved loan with payments made in \$2,500 increments as certain milestones are met. Future allocations for this activity are anticipated and will be based on expected loan demand.

The American Recovery and Reinvestment Act (ARRA) of 2009 and subsequent capitalization grants have mandated implementation of Davis-Bacon prevailing wage rules. Under joint powers agreements between the planning districts and the department, the planning districts are reimbursed \$1,000 per project to oversee compliance with the Davis-Bacon wage rate verification and certification. Administrative surcharges will continue to be used for this purpose in 2012.

### **Administrative Surcharge Uses in FY 2012**

As of September 30, 2011, \$792,730 of unobligated administrative surcharge funds is available.

In fiscal year 2012, \$1,550,000 of administrative surcharge funds will be allocated. It is proposed to allocate \$200,000 for planning grants and \$1,250,000 to supplement the Consolidated program with grants for wastewater treatment and TMDL implementation projects. These allocation of funds may be adjusted based on demand.

Administrative surcharge funds will again be provided to the planning districts to defray the cost of SRF application preparation and project administration, which includes Davis-Bacon wage rate verification and certification. The 2012 allocation for these activities will be \$100,000

### **Capitalization Grant Administrative Allowance**

The four percent administrative allowance of \$288,880 will be reserved for administrative purposes in fiscal year 2012.

## **ASSURANCES AND SPECIFIC PROPOSALS**

The state has assured compliance with the following sections of the law in the State/EPA Operating Agreement - XI Certification Procedures. In addition, the state has developed specific proposals on implementation of those assurances in the administrative rules promulgated by the Board of Water and Natural Resources.

Section 602(a) - Environmental Reviews - The state certifies that it will conduct environmental reviews of each project on Attachment II receiving assistance from the Clean Water SRF, as applicable. The state will follow EPA-approved National Environmental Policy Act (NEPA) procedures in conjunction with such environmental reviews.

Section 602(b)(3) - Binding Commitments - The state certifies that it will enter into binding commitments equal to at least 120 percent of each quarterly grant payment within one year after receipt.

Section 602(b)(4) - Timely Expenditures of Funds - The state is committed to obligate Clean Water SRF moneys to eligible applicants as quickly and efficiently as possible to facilitate the financing of eligible projects and to initiate construction with a minimum of delay.

Section 602(b)(5) - First Use Enforceable Requirements - The state certifies that all major and minor wastewater treatment facilities identified as part of the National Municipal Policy (NMP) universe are:

1. in compliance, or
2. have received funding through various state and federal assistance programs and

constructed a facility designed to produce an effluent capable of meeting the appropriate permit limits and achieve compliance with its discharge permit, or

3. have upgraded existing facilities or constructed new facilities through its own means to achieve compliance with its discharge permit.

Section 602(b)(6) - Compliance with Title II Requirements - The state certifies that it will comply as applicable.

## **CRITERIA AND METHOD FOR DISTRIBUTION OF FUNDS**

The Clean Water SRF funds are distributed using the following criteria:

1. the availability of funds in the Clean Water SRF program;
2. the applicant's need;
3. violation of health and safety standards; and
4. the applicant's ability to repay.

The methods and criteria used are designed to provide the maximum flexibility and assistance that is affordable to the borrower while providing for the long-term viability of the fund.

**Public Review and Comment** - On May 25, 1988, a public hearing was held to review the initial Clean Water SRF rules and to receive comments. The Board of Water and Natural Resources approved the rules following the hearing. Revisions to the Clean Water SRF rules have been made periodically to reflect the needs of the program.

A formal public hearing was held for the South Dakota fiscal year 2011 Clean Water SRF Intended Use Plan on November 10, 2011.

**Table 3 - Amounts Available to Transfer between State Revolving Fund Programs**

<b>Year</b>	<b>DWSRF Capitalization Grant</b>	<b>Amount Available for Transfer</b>	<b>Banked Transfer Ceiling</b>	<b>Amount Transferred from CWSRF to DWSRF</b>	<b>Amount Transferred from DWSRF to CWSRF</b>	<b>Transfer Description</b>	<b>CWSRF Funds Available to Transfer</b>	<b>DWSRF Funds Available to Transfer</b>
1997	\$12,558,800	\$4,144,404	\$4,144,404				\$4,144,404	\$4,144,404
1998	\$7,121,300	\$2,350,029	\$6,494,433				\$6,494,433	\$6,494,433
1999	\$7,463,800	\$2,463,054	\$8,957,487				\$8,957,487	\$8,957,487
2000	\$7,757,000	\$2,559,810	\$11,517,297				\$11,517,297	\$11,517,297
2001	\$7,789,100	\$2,570,403	\$14,087,700				\$14,087,700	\$14,087,700
2002	\$8,052,500	\$2,657,325	\$16,745,025	\$7,812,960		CW Cap Grant/Match	\$8,932,065	\$16,745,025
2003	\$8,004,100	\$2,641,353	\$19,386,378	\$7,761,360		CW Cap Grant/Match	\$3,812,058	\$19,386,378
2004	\$8,303,100	\$2,740,023	\$22,126,401				\$6,552,081	\$22,126,401
2005	\$8,352,500	\$2,756,325	\$24,882,726				\$9,308,406	\$24,882,726
2006	\$8,229,300	\$2,715,669	\$27,598,395		\$7,500,000	Leveraged Bonds	\$12,024,075	\$20,098,395
2007	\$8,229,000	\$2,715,570	\$30,313,965				\$14,739,645	\$22,813,965
2008	\$8,146,000	\$2,688,180	\$33,002,145				\$17,427,825	\$25,502,145
2009	\$8,146,000	\$2,688,180	\$35,690,325				\$20,116,005	\$28,190,325
2010	\$13,573,000	\$4,479,090	\$40,169,415				\$24,595,095	\$32,669,415
2011	\$9,418,000	\$3,107,940	\$43,277,355		\$10,000,000	Repayments	\$27,703,035	\$25,777,355
2012 (est.)	\$9,418,000	\$3,107,940	\$46,385,295				\$30,810,975	\$28,885,295

## ATTACHMENT I

### PROJECT PRIORITY LIST

Attachment I is a comprehensive list of projects that are eligible for Clean Water SRF loans. This list was developed from State Water Plan applications. Inclusion on the list carries no obligations to the Clean Water SRF program. Attachment II lists those projects expected to be funded in fiscal year 2011.

Priority Points	Loan Recipient	Project Number	Project Description	Estimated Loan Amount	Expected Loan Rate & Term
35	Ellsworth Development Authority	C461467-01	Construction of a new wastewater treatment facility to serve Ellsworth AFB and the city of Box Elder	\$11,000,000	3.00%, 20 yrs
26	Sioux Falls	C461232-33	Rehabilitation of the Hayward Trunk Sewer to eliminate excessive infiltration and replace approximately 8,000 feet of the Sioux River Interceptor and continuation of nonpoint source activities in the Big Sioux watershed.	\$15,238,000	1.25%, 10 yrs
24	Green Valley San. Dist.	C461251-01	Construction of a central wastewater collection system and connection to the Rapid City system to eliminate failing septic systems.	\$3,200,000	3.25%, 30 yrs
22	Piedmont	C461462-01	Construction of a centralized collection system and activated sludge treatment facility to replace on-site septic systems within the recently incorporated municipality.	\$1,675,000	3.00%, 20 yrs
22	Yankton	C461038-04	Construction of a new lift station (with pumps utilizing variable frequency drives) to replace two existing lift stations and rerouting of gravity sewer and force main to accommodate the new lift station.	\$3,680,000	3.00%, 20 yrs
20	Brookings	C461019-05	Construction of the Nelson 5 <sup>th</sup> Addition detention pond.	\$450,000	3.00%, 20 yrs
18	Brookings	C461019-07 and C461019-08	Rehabilitation of the wastewater treatment facility which has exceeded its useful life expectancy and is at its maximum organic capacity.	\$20,600,000	3.00%, 20 yrs and 3.25%, 30 yrs
18	Vermillion	C461022-07	Construction of a new landfill cell and leachate pond.	\$1,500,000	3.00%, 20 yrs
16	Hot Springs	C461040-03	Rehabilitation of the wastewater treatment facility to include replacement of the wet well, tricking filter, digester building piping, and outlet piping.	\$1,990,000	3.00%, 20 yrs

<b>Priority Points</b>	<b>Loan Recipient</b>	<b>Project Number</b>	<b>Project Description</b>	<b>Estimated Loan Amount</b>	<b>Expected Loan Rate &amp; Term</b>
16	Redfield	C461182-02	Installation of a gravity sewer system to serve 26 homes that currently utilize on-site septic systems.	\$620,000	3.25%, 30 yrs
16	Southern Missouri Recycling and Waste Management District	C461013-02	Construction of a third disposal trench.	\$200,000	3.00%, 20 yrs
16	Turton	C461396-01	Replacement of a lift station and rehabilitation of the wastewater treatment system by converting to an artificial wetland system.	\$456,100	3.00%, 20 yr
16	Woonsocket	C461138-01	Construction of storm sewers and detention ponds to address storm water management.	\$2,110,500	3.25%, 30 yrs
15	Fort Pierre	C461049-06	Increase of the berms at the wastewater treatment facility to provide additional hydraulic capacity.	\$780,000	
15	Lake Poinsett Sanitary District	C461027-04	Extension of the sanitary sewer collection system to serve additional residences (approximately 125) and the construction of a total retention wastewater treatment lagoon system.	\$3,729,000	3.25%, 30 yrs
14	Ravinia	C461384-01	Rehabilitation of existing storm sewers, culverts, and ditches and construction of a storm water detention pond.	\$434,540	3.25%, 30 yrs
15	Hermosa	C461278-01	Extension of sewer service to an area utilizing septic systems.	\$504,500	3.00%, 20 yrs
15	Summerset	C461448-01	Construction of sludge drying beds	\$230,000	3.25%, 30 yrs
13	Alpena	C461263-01	Construction of a new 14-acre cell at the wastewater treatment facility to provide additional capacity.	\$700,000	3.25%, 30 yrs
13	Warner	C461042-02	Replacement of approximately 3,500 feet of 8-inch lines, 1,300 feet of 4-inch service lines, and 1,870 feet of force main; rehabilitation of the lift station; and construction of a 6.0-acre primary pond, and miscellaneous improvements to the existing wastewater treatment system.	\$2,453,000	3.25%, 30 yrs
12	Aberdeen	C461072-03	Replacement of sanitary and storm sewer on Klein Street between 2 <sup>nd</sup> and 6 <sup>th</sup> Avenues.	\$1,600,000	3.00%, 20 yrs
12	Andover	C461086-01	Replacement of the gravity collection system and upgrading the single cell wastewater treatment facility to a three-cell system.	\$1,571,400	3.25%, 30 yrs
12	Lake Byron Watershed	C461052-01	Construction of a central wastewater collection and	\$2,935,000	3.25%, 30 yrs

<b>Priority Points</b>	<b>Loan Recipient</b>	<b>Project Number</b>	<b>Project Description</b>	<b>Estimated Loan Amount</b>	<b>Expected Loan Rate &amp; Term</b>
	District		treatment system.		
12	Quinn	C461381-01	Construction of a new wastewater collection system and treatment facility to eliminate individual septic systems.	\$895,000	3.25%, 30 yrs
12	Stockholm	C461391-01	Rehabilitation of the wastewater treatment ponds which are suspected to be leaking excessively and replacement of the main lift station.	\$400,000	3.25%, 30 yrs
12	Wall Lake Sanitary District	C461300-02	Conversion of the existing wetland treatment system to a three-cell discharging system to address suspected excessive seepage and hydraulic overloading.	\$425,000	3.25%, 30 yrs
12	Winner	C461123-02	Upgrading sewer lines along several blocks of Wilson Street and installation of a lift station.	\$821,000	3.25%, 30 yrs
10	Bridgewater	C461112-03	Relining and replacement of approximately 2,400 feet of vitrified clay sanitary sewer lines on Main Street.	\$261,000	3.25%, 30 yrs
10	Brookings	C461019-06	Construction of a storm sewer pipe/box culvert system to divert storm water to the Nelson 5 <sup>th</sup> Addition detention pond.	\$2,500,000	3.00%, 20 yrs
10	Centerville	C461215-02	Rehabilitation of ten manholes and approximately 22,600 feet of sanitary sewer using cured in-place pipe.	\$1,400,000	3.25%, 30 yrs
10	Colman	C461144-01	Replacement or relining of the entire wastewater collection system.	\$3,400,000	3.25%, 30 yrs
10	Philip	C461205-05	Phase I of four phase project to rehabilitate the wastewater collection system to address excessive infiltration.	\$1,034,000	3.25%, 30 yrs
9	Beresford	C461187-02	Replacement of approximately 7,250 feet of clay sewer pipe with PVC pipe.	\$834,735	3.25%, 30 yrs
9	Britton	C461188-02	Clean and televise approximately 50,100 feet of sanitary sewer and rehabilitation of four lift stations.	\$1,042,000	3.25%, 30 yrs
9	Ethan	C461272-01	Replacement of approximately 3,575 feet of collection lines and construction of a new lift station and approximately 13,100 feet of force main to effluent to be discharged below Ethan Lake.	\$1,125,000	3.25%, 30 yrs
9	Groton	C461051-09	Construction of a new lift station and forcemain to replace a failing gravity sewer line.	\$242,500	3.00%, 20 yrs
9	Herried	C461252-01	Replacement of approximately 2,575 feet of	\$994,300	3.25%, 30 yrs

<b>Priority Points</b>	<b>Loan Recipient</b>	<b>Project Number</b>	<b>Project Description</b>	<b>Estimated Loan Amount</b>	<b>Expected Loan Rate &amp; Term</b>
			corrugated metal pipe with PVC pipe, replacement of the outlet and flow measuring structures at the wastewater treatment facility, and replacement of the lift station.		
9	Hurley	C461281-01	Rehabilitation of the wastewater collection system by relining approximately 18,900 feet of lines and rehabilitating 60 manholes.	\$1,625,000	3.25%, 30 yrs
9	Langford	C461285-01	Replace approximately 5,600 feet of sewer mains and 2,300 feet of sewer services to address excessive infiltration in the collection system and made miscellaneous improvements at the wastewater treatment facility.	\$1,516,000	3.25%, 30 yrs
8	Baltic	C461223-03	Replacement of approximately 2,100 feet of clay sewer line on Elm Street.	\$801,400	3.25%, 30 yrs
8	Worthing	C461047-03	Replacement of sewer line and manholes on Third Street.	\$145,000	3.25%, 30 yrs
4	Carthage	C461268-01	Installation of approximately 16,000 feet of sanitary sewer, 8,400 feet of storm sewer and appurtenances and miscellaneous improvements at the wastewater treatment facility	\$835,000	3.25%, 30 yrs
8	McLaughlin	C461233-01	Replacement or relining of approximately 22,900 feet of 8- and 15-inch sewer lines, replace two lift stations, and repair riprap at the wastewater treatment facility.	\$2,584,000	3.25%, 30 yrs
8	Menno	C461136-02	Replacement of approximately 6,400 feet of sanitary sewer on Park Street and portions of Fifth, High, and Pearl Streets.	\$648,000	3.25%, 30 yrs
8	Scotland	C461069-02	Replace sanitary sewer and install new storm sewer on Washington and 2 <sup>nd</sup> Streets.	\$575,000	3.25%, 30 yrs
7	Doland	C461050-01	Replace and reline sewer lines and manholes to reduce infiltration and inflow in the collection system and reline cells at the wastewater treatment facility to address excessive seepage.	\$1,845,730	3.25%, 30 yrs
6	Castlewood	C461246-03	Installation of approximately 4,600 feet of storm sewer, 34,400 feet of curb and gutter, 28 inlets, 14 manholes, and appurtenances.	\$1,600,000	3.25%, 30 yrs
6	Corsica	C461107-02	Installation of 3,400 feet of 36- and 48-inch storm	\$750,000	3.25%, 30 yrs

<b>Priority Points</b>	<b>Loan Recipient</b>	<b>Project Number</b>	<b>Project Description</b>	<b>Estimated Loan Amount</b>	<b>Expected Loan Rate &amp; Term</b>
			sewer to convey storm water from problem areas.		
6	Crooks	C461227-02	Installation of approximately 2,800 feet of storm sewer, curb inlets, and appurtenances to manage storm water in the Palmira subdivision.	\$483,500	3.25%, 30 yrs
6	Lebanon	C461356-01	Replace the existing lift station and force main and remove sludge from the wastewater treatment system and make miscellaneous improvements to inter-pond piping, valves and inlet/outlet structures.	\$462,550	3.00%, 20 yrs
6	Philip	C461205-04	Phase I of a three phase storm water management project involving the installation of various sized storm sewer, curb and gutter and appurtenances.	\$1,050,000	3.25%, 30 yrs
6	Renner Sanitary District	C461237-01	Rehabilitation of sewer lines and a manhole to reduce infiltration and replacement of lift station pumps.	\$105,000	3.00%, 20 yrs
6	Waubay	C461025-02	Improvements to Lift Station #5 to increase the pumping capacity to serve several homes that are to be relocated.	\$539,000	3.00%, 20 yrs
4	Java	C461350-01	Reshaping the stabilization pond berms and installing riprap and miscellaneous improvements to a lift station.	\$438,325	3.25%, 30 yrs
4	Powder House Pass Community Improvement District	C461471-01	Construction of an interceptor line to convey wastewater from a proposed development south of Lead to the Lead-Deadwood Sanitary District's system.	\$3,500,000	3.25%, 30 yrs

**ATTACHMENT II - LIST OF PROJECTS TO BE FUNDED IN FY2011**

Priority Points	Loan Recipient	Project Number	Assistance Amount	Principal Forgiveness <sup>1</sup>	Funding Date	Expected Funding Source
	<i>Green Project Reserve Information</i>					
LOANS EXPECTED						
9	Britton	C461188-02	\$1,042,000	-0-	Jan. 2012	2011
22	Yankton	C461038-04	\$3,680,000	\$368,000	Mar. 2012	2011
	<i>GPR Project Type: Energy Efficiency (Business Case)</i> <i>GPR Amount: \$3,680,000</i>					
20	Brookings	C461019-05	\$450,000	\$45,000	Mar. 2012	2011
	<i>GPR Project Type: Green Infrastructure (Categorical)</i> <i>GPR Amount: \$450,000</i>					
16	Hot Springs	C461040-03	\$1,990,000	-0-	Mar. 2012	2011/2012
16	Redfield	C461182-02	\$620,000	\$62,000	Mar. 2012	2012
16	Southern Missouri Recycling and Waste Management District	C461013-02	\$200,000	-0-	Mar. 2012	2012
15	Fort Pierre	C461049-06	\$780,000	\$78,000	Mar. 2012	2012
15	Summerset	C461448-01	\$230,000	\$46,000	Mar. 2012	2012
12	Aberdeen	C461072-03	\$1,600,00	-0-	Mar. 2012	2012
12	Winner	C461123-02	\$821,000	-0-	Mar. 2012	2012
10	Brookings	C461019-06	\$2,500,000	-0-	Mar. 2012	2012
6	Renner Sanitary District	C461019-02	\$105,000	-0-	Mar. 2012	2012
6	Waubay	C461025-02	\$539,000	\$53,900	Mar. 2012	2012
18	Brookings	C461019-07 and C461019-08	\$20,600,000	-0-	June. 2012	Leveraged Funds/Repayments
16	Woonsocket	C461138-01	\$2,110,500	\$50,000	June 2011	Repayments
	<i>GPR Project Type: Green Infrastructure (Categorical)</i> <i>GPR Amount: \$150,000 (est.)</i>					
13	Alpena	C461263-01	\$700,000	\$70,000	June 2011	2012
10	Philip (Sanitary Sewer)	C461285-05	\$1,034,000	\$103,400	June 2011	Repayments
8	Baltic	C461223-03	\$801,400	\$80,140	June 2011	Repayments
6	Philip (Storm Sewer)	C461285-04	\$1,050,000	\$105,000	June 2011	Repayments
4	Powder House Pass CID	C461471-01	\$3,500,000	-0-	Sept 2011	Repayments

1. Principal forgiveness amounts shown for loans expected are estimates for planning purposes only.

**ATTACHMENT III  
PROGRAM FUNDING STATUS**

**Fiscal Years 1989 - 2011**

Capitalization Grants	\$147,195,200	
State Match	\$29,439,040	
ARRA Grant	\$19,239,100	
Program Administration Allowance	(\$6,138,220)	
Leveraged Funds	\$99,246,266	
Transfer of FY 2002 & 2003 Capitalization Grant and State Match to DWSRF	(\$15,574,320)	
Transfer of DWSRF Repayments	\$10,000,000	
Excess Interest as of September 30, 2011	\$51,082,963	
Excess Principal Repayments as of Sept. 30, 2011	<u>\$116,566,859</u>	
 Total Funds Dedicated to Loan		 \$451,056,888
 Loans made through September 30, 2011		 <u>\$436,617,017</u>
 Balance of funds as of September 30, 2011		 \$14,439,871

**Fiscal Year 2012 Projections**

Capitalization Grants	\$7,222,000	
State Match	\$1,444,400	
Program Administration Allowance	(\$288,876)	
Projected Excess Principal Repayments	\$10,000,000	
Projected Unrestricted Interest Earnings	\$5,300,000	
Leveraged Funds	\$10,000,000	
Arbitrage Rebate Liability	<u>-0-</u>	
Projected Fiscal Year 2012 Loan Subtotal		\$35,677,524
 Total Funds Available for Loans		 <u>\$48,117,395</u>
 Loan Amount Identified on Attachment II - List of Projects to be Funded in Fiscal Year 2012		 <u>\$42,754,500</u>

<b>Administrative Surcharge Funds Available as of September 30, 2011</b>	
Restricted Account (Administrative Purposes Only)	\$4,052
Discretionary Account (Available for Water Quality Grants)	<u>\$560,178</u>
Total	<u>\$564,230</u>

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**ADDENDUM B**

**FEDERAL FISCAL YEAR 2011**

**ENVIRONMENTAL BENEFITS REPORTING**

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**CWSRF Benefits Reporting**

<b>Loan:</b> 118 of 118	<input type="checkbox"/> Entry Complete	Tracking #: C461470-01	Other #:
Borrower: Belle Fourche Irrigation District	Loan Execution Date: 09/09/2011	Incremental Funding: N	Phase #: 0
Assistance Type: Loan	Loan Interest Rate: 0.00%	Original Tracking #:	
Loan Amount \$: \$200,000	Repayment Period: 0	Same Environmental Results: <input type="checkbox"/>	
<input type="checkbox"/> Final Amount	% Funded by CWSRF: 100%	ARRA Funding: <input type="checkbox"/>	
Total from all Projects \$: 200,000		Multiple nonpoint source projects with similar Environmental Results: <input checked="" type="checkbox"/>	Total NPS Projects: 1

**Project:** 1 of 1 CW Needs Survey Number : # of NPS Projects: 1

**Project Description:** This project will convert flood irrigation to sprinkler irrigation . It is estimated the project will involve 20 sprinkler irrigation systems

**Facility Name:** Belle Fourche Irrigation District

**Population Served (Current) :**

by the Project: 646  
by the Facility: 646

**Wastewater Volume (Design Flow) :**

by the Project: 0.0000mgd Volume Eliminated/Conserved: 0.0000mgd  
by the Facility: 0.0000mgd

**Needs Categories:**

VII-A Agricultural Cropland \$200,000 100%

**Discharge Information:**

Ocean Outfall  Estuary/Coastal Bay  Wetland  Surface Water  Groundwater  Land Application  
 Other/Reuse  Eliminates Discharge  No Change / No Discharge  NEP Study  Seasonal Discharge

NPDES Permit Number:  No NPDES Permit

Other Permit Type: Other Permit Number:

**Affected Waterbodies:**

	<u>Waterbody Name</u>	<u>Waterbody ID</u>	<u>State Waterbody ID</u>	<u>Receiving Waterbody</u>
Primary Impacted :	Belle Fourche River	10120202000366		<input type="checkbox"/>
Other Impacted :				<input type="checkbox"/>

**Project Improvement/Maintenance of Water Quality:**

- a. Contributes to water quality Improvement.
- b. Allows the system to Maintain Compliance.
- c. Affected waterbody is Meeting Standards.
- d. Allows the system to address.....  Existing TMDL  Projected TMDL  Watershed Management Plan

**Designated Surface Water Uses (Selected):**

Warmwater permanent fish life propagation waters	<u>Protection:</u> Primary	<u>Restoration:</u>
Fish & wildlife propagation, rec, & stock watering	Secondary	
Irrigation waters	Secondary	

**Other Uses and Outcomes (Selected):**

Infrastructure Improvement	<u>Protection:</u> Primary	<u>Restoration:</u>
Water Reuse/Recycling/Conservation	Primary	

**Comments:**

**CWSRF Benefits Reporting**

<b>Loan:</b> 116 of 118	<input type="checkbox"/> Entry Complete	Tracking #: C481139-01	Other #:
Borrower: Bison	Loan Execution Date:	Incremental Funding: N	Phase #: 0
Assistance Type: Loan	Loan Interest Rate: 3.00%	Original Tracking #:	
Loan Amount \$: \$504,050	Repayment Period: 20	Same Environmental Results: <input type="checkbox"/>	
<input type="checkbox"/> Final Amount	% Funded by CWSRF: 100%	ARRA Funding: <input type="checkbox"/>	
Total from all Projects \$: 504,050		Multiple nonpoint source projects with similar Environmental Results: <input type="checkbox"/>	Total NPS Projects: 0

**Project:** 1 of 1 CW Needs Survey Number : # of NPS Projects: 0

**Project Description:** The town of Bison does not have a storm sewer system. Without the infrastructure to control storm water, flooding of city streets, manholes and basements occur with nearly every storm event.

**Facility Name:** Bison is proposing to build a storm water management syst

**Population Served (Current) :**  
 by the Project: 373  
 by the Facility: 0

**Wastewater Volume (Design Flow) :**  
 by the Project: 0.0000mgd Volume Eliminated/Conserved: 0.0000mgd  
 by the Facility: 0.0000mgd

**Needs Categories:**  
 VI Storm Sewers \$504,050 100%

**Discharge Information:**

Ocean Outfall   
  Estuary/Coastal Bay   
  Wetland   
  Surface Water   
  Groundwater   
  Land Application  
 Other/Reuse   
  Eliminates Discharge   
 No Change / No Discharge   
  NEP Study   
  Seasonal Discharge  
 NPDES Permit Number: SD0022411   
 No NPDES Permit  
 Other Permit Type:   
 Other Permit Number:

**Affected Waterbodies:**

<u>Waterbody Name</u>	<u>Waterbody ID</u>	<u>State Waterbody ID</u>	<u>Receiving Waterbody</u>
Primary Impacted :			<input type="checkbox"/>
Other Impacted :			<input type="checkbox"/>

**Project Improvement/Maintenance of Water Quality:**

a. Contributes to water quality Not Applicable  
 b. Allows the system to Not Applicable  
 c. Affected waterbody is Not Applicable  
 d. Allows the system to address.....  Existing TMDL   
 Projected TMDL   
 Watershed Management Plan

**Comments:**

# CWSRF Benefits Reporting

<b>Loan:</b> 107 of 118 <b>Borrower:</b> Bridgewater <b>Assistance Type:</b> Loan <b>Loan Amount \$:</b> \$261,000 <input type="checkbox"/> Final Amount	<input type="checkbox"/> Entry Complete <b>Loan Execution Date:</b> <b>Loan Interest Rate:</b> 3.25% <b>Repayment Period:</b> 30 <b>% Funded by CWSRF:</b> 100%	<b>Tracking #:</b> C461112-03 <b>Other #:</b> <b>Incremental Funding:</b> N <b>Phase #:</b> 0 <b>Original Tracking #:</b> <b>Same Environmental Results:</b> <input type="checkbox"/> <b>ARRA Funding:</b> <input type="checkbox"/>
<b>Total from all Projects \$:</b> 261,000		<b>Multiple nonpoint source projects with similar Environmental Results:</b> <input type="checkbox"/> <b>Total NPS Projects:</b> 0

**Project:** 1 of 1 **CW Needs Survey Number :** **# of NPS Projects:** 0

**Project Description:** The city of Bridgewater is proposing to replace and/or reline several blocks of sewer main along Main Street. Several manholes will also be repaired or replaced as a part of this project.  
**Facility Name:** City of Bridgewater

**Population Served (Current) :**  
 by the Project: 42  
 by the Facility: 492

**Wastewater Volume (Design Flow) :**  
 by the Project: 0.0036mgd **Volume Eliminated/Conserved:** 0.0000mgd  
 by the Facility: 0.0420mgd

**Needs Categories:**  
 III-B Sewer System Rehabilitation \$261,000 100%

**Discharge Information:**

<input type="checkbox"/> Ocean Outfall	<input type="checkbox"/> Estuary/Coastal Bay	<input type="checkbox"/> Wetland	<input type="checkbox"/> Surface Water	<input type="checkbox"/> Groundwater	<input type="checkbox"/> Land Application
<input type="checkbox"/> Other/Reuse	<input type="checkbox"/> Eliminates Discharge	<input checked="" type="checkbox"/> No Change / No Discharge	<input type="checkbox"/> NEP Study	<input type="checkbox"/> Seasonal Discharge	

NPDES Permit Number: SD0021512  No NPDES Permit  
 Other Permit Type: Other Permit Number:

Affected Waterbodies:	<u>Waterbody Name</u>	<u>Waterbody ID</u>	<u>State Waterbody ID</u>	<u>Receiving Waterbody</u>
Primary Impacted :	Unnamed Tributary of Wolf Creek	10170203001957		<input type="checkbox"/>
Other Impacted :				<input type="checkbox"/>

**Project Improvement/Maintenance of Water Quality:**

a. Contributes to water quality	Maintenance.		
b. Allows the system to	Maintain Compliance.		
c. Affected waterbody is	Not Assessed.		
d. Allows the system to address.....	<input type="checkbox"/> Existing TMDL	<input type="checkbox"/> Projected TMDL	<input type="checkbox"/> Watershed Management Plan

<b>Designated Surface Water Uses (Selected):</b>	<u>Protection:</u>	<u>Restoration:</u>
Warmwater marginal fish life propagation waters	Primary	
Limited-contact recreation waters	Secondary	
Fish & wildlife propagation, rec, & stock watering	Secondary	
Irrigation waters	Secondary	

<b>Other Uses and Outcomes (Selected):</b>	<u>Protection:</u>	<u>Restoration:</u>
Infrastructure Improvement	Primary	
Groundwater Protection	Secondary	

**Comments:** Population served is based on number of services along the pipeline. Wastewater volume based on 85 gpd.

# CWSRF Benefits Reporting

<b>Loan:</b> 106 of 118	<input type="checkbox"/> Entry Complete	Tracking #: C461019-04	Other #:
Borrower: Brookings	Loan Execution Date:	Incremental Funding: N	Phase #: 0
Assistance Type: Loan	Loan Interest Rate: 3.00%	Original Tracking #:	
Loan Amount \$: \$483,538	Repayment Period: 20	Same Environmental Results: <input type="checkbox"/>	
<input type="checkbox"/> Final Amount	% Funded by CWSRF: 100%	ARRA Funding: <input type="checkbox"/>	
Total from all Projects \$: 483,538		Multiple nonpoint source projects with similar Environmental Results: <input type="checkbox"/>	Total NPS Projects: 0

**Project:** 1 of 1      CW Needs Survey Number :      # of NPS Projects: 0

**Project Description:** The city of Brookings is proposing to construct a 1.6-acre storm water detention pond. The proposed pond will help to improve downstream water quality, and help to alleviate flooding that is currently caused downstream during large rain events.

**Facility Name:** City of Brookings

**Population Served (Current) :**  
 by the Project: 19,463  
 by the Facility: 19,463

**Wastewater Volume (Design Flow) :**  
 by the Project: 0.0000mgd      Volume Eliminated/Conserved: 0.0000mgd  
 by the Facility: 0.0000mgd

**Needs Categories:**  
 VI Storm Sewers      \$483,538      100%

**Discharge Information:**

Ocean Outfall     Estuary/Coastal Bay     Wetland     Surface Water     Groundwater     Land Application  
 Other/Reuse     Eliminates Discharge     No Change / No Discharge     NEP Study     Seasonal Discharge

NPDES Permit Number:       No NPDES Permit  
 Other Permit Type:      Other Permit Number:

**Affected Waterbodies:**

	<u>Waterbody Name</u>	<u>Waterbody ID</u>	<u>State Waterbody ID</u>	<u>Receiving Waterbody</u>
Primary Impacted :		10170203002003		<input type="checkbox"/>
Other Impacted :				<input type="checkbox"/>

**Project Improvement/Maintenance of Water Quality:**

a. Contributes to water quality      Not Applicable  
 b. Allows the system to      Not Applicable  
 c. Affected waterbody is      Not Applicable  
 d. Allows the system to address.....     Existing TMDL     Projected TMDL     Watershed Management Plan

**Comments:** This is a Storm Water project.

# CWSRF Benefits Reporting

<b>Loan:</b> 95 of 118 <b>Borrower:</b> Canova <b>Assistance Type:</b> Loan <b>Loan Amount \$:</b> \$262,500 <input type="checkbox"/> Final Amount	<input type="checkbox"/> Entry Complete <b>Loan Execution Date:</b> 10/27/2011 <b>Loan Interest Rate:</b> 3.25% <b>Repayment Period:</b> 30 <b>% Funded by CWSRF:</b> 50%	<b>Tracking #:</b> C461321-01 <b>Incremental Funding:</b> N <b>Original Tracking #:</b> <b>Same Environmental Results:</b> <input type="checkbox"/> <b>ARRA Funding:</b> <input type="checkbox"/>	<b>Other #:</b> <b>Phase #:</b> 0
<b>Total from all Projects \$:</b> 262,500		<b>Multiple nonpoint source projects with similar Environmental Results:</b> <input type="checkbox"/>	
		<b>Total NPS Projects:</b> 0	

**Project:** 1 of 1      **CW Needs Survey Number :**      **# of NPS Projects:** 0

**Project Description:** The town of Canova is proposing to add an additional 3.0-acre free water surface artificial wetland to their existing

**Facility Name:** 2.9-acre wastewater treatment pond.  
City of Canova

**Population Served (Current):** current treatment pond has a discharge permit however the current pond is only a single c

by the Project: 140  
by the Facility: 140

**Wastewater Volume (Design Flow) :**

by the Project: 0.0120mgd      **Volume Eliminated/Conserved:** 0.0000mgd  
by the Facility: 0.0120mgd

**Needs Categories:**

I Secondary Treatment      \$262,500      100%

**Discharge Information:**

- Ocean Outfall   
  Estuary/Coastal Bay   
  Wetland   
  Surface Water   
  Groundwater   
  Land Application  
 Other/Reuse   
 Eliminates Discharge   
 No Change / No Discharge   
 NEP Study   
 Seasonal Discharge

NPDES Permit Number: SD0021521

No NPDES Permit

Other Permit Type:

Other Permit Number:

**Affected Waterbodies:**

<u>Waterbody Name</u>	<u>Waterbody ID</u>	<u>State Waterbody ID</u>	<u>Receiving Waterbody</u>
-----------------------	---------------------	---------------------------	----------------------------

Primary Impacted : Unnamed Tributary

10160011001086

Other Impacted :

**Project Improvement/Maintenance of Water Quality:**

- a. Contributes to water quality      Improvement.  
 b. Allows the system to      Achieve Compliance.  
 c. Affected waterbody is      Not Assessed.  
 d. Allows the system to address.....   
  Existing TMDL   
 Projected TMDL   
 Watershed Management Plan

**Designated Surface Water Uses (Selected):**

Warmwater marginal fish life propagation waters  
Limited-contact recreation waters  
Fish & wildlife propagation, rec, & stock watering  
Irrigation waters

**Protection:**

Primary  
Secondary  
Secondary  
Secondary

**Restoration:**

**Other Uses and Outcomes (Selected):**

Infrastructure Improvement  
Groundwater Protection  
Other Public Health/Pathogen Reduction

**Protection:**

Primary  
Secondary  
Secondary

**Restoration:**

**Comments:**

# CWSRF Benefits Reporting

<b>Loan:</b> 98 of 118	<input type="checkbox"/> Entry Complete	Tracking #: C461135-02	Other #:
Borrower: Colton	Loan Execution Date: 10/20/2011	Incremental Funding: N	Phase #: 0
Assistance Type: Loan	Loan Interest Rate: 3.00%	Original Tracking #:	
Loan Amount \$: \$189,200	Repayment Period: 20	Same Environmental Results: <input type="checkbox"/>	
<input type="checkbox"/> Final Amount	% Funded by CWSRF: 100%	ARRA Funding: <input type="checkbox"/>	
Total from all Projects \$: 189,200		Multiple nonpoint source projects with similar Environmental Results: <input type="checkbox"/>	Total NPS Projects: 0

**Project:** 1 of 1 CW Needs Survey Number : # of NPS Projects: 0

**Project Description:** The city will construct one block of sanitary sewer and appurtenances on 5th Street between Main and Charles Avenues. A new storm sewer line will be constructed on Charles Avenue and a section of 5th Street.

**Facility Name:** City of Colton

**Population Served (Current) :**  
 by the Project: 620  
 by the Facility: 620

**Wastewater Volume (Design Flow) :**  
 by the Project: 0.0000mgd Volume Eliminated/Conserved: 0.0000mgd  
 by the Facility: 0.0000mgd

**Needs Categories:**  
 III-A Infiltration/Inflow \$189,200 100%

**Discharge Information:**

Ocean Outfall   
  Estuary/Coastal Bay   
  Wetland   
  Surface Water   
  Groundwater   
  Land Application  
 Other/Reuse   
  Eliminates Discharge   
 No Change / No Discharge   
  NEP Study   
  Seasonal Discharge  
 NPDES Permit Number: SD0022322   
 No NPDES Permit  
 Other Permit Type:   
 Other Permit Number:

Affected Waterbodies:	Waterbody Name	Waterbody ID	State Waterbody ID	Receiving Waterbody
Primary Impacted :	Colton Creek	10170203000636		<input type="checkbox"/>
Other Impacted :				<input type="checkbox"/>

**Project Improvement/Maintenance of Water Quality:**

a. Contributes to water quality Maintenance.  
 b. Allows the system to Maintain Compliance.  
 c. Affected waterbody is Meeting Standards.  
 d. Allows the system to address.....  Existing TMDL   
 Projected TMDL   
 Watershed Management Plan

**Designated Surface Water Uses (Selected):**

Fish & wildlife propagation, rec, & stock watering   
Protection: Secondary  
 Irrigation waters   
 Secondary

**Other Uses and Outcomes (Selected):**

Infrastructure Improvement   
Protection: Primary   
Restoration:

**Comments:**

# CWSRF Benefits Reporting

<b>Loan:</b> 113 of 118	<input type="checkbox"/> Entry Complete	Tracking #: C461051-09	Other #:
Borrower: Groton	Loan Execution Date:	Incremental Funding: N	Phase #: 0
Assistance Type: Loan	Loan Interest Rate: 2.25%	Original Tracking #:	
Loan Amount \$: \$485,000	Repayment Period: 10	Same Environmental Results: <input type="checkbox"/>	
<input type="checkbox"/> Final Amount	% Funded by CWSRF: 100%	ARRA Funding: <input type="checkbox"/>	
Total from all Projects \$: 485,000		Multiple nonpoint source projects with similar Environmental Results: <input type="checkbox"/>	Total NPS Projects: 0

**Project:** 1 of 1 CW Needs Survey Number : # of NPS Projects: 0

**Project Description:** The city of Groton will replace a sanitary sewer main, lift station and add a residential force main.

**Facility Name:** City of Groton

**Population Served (Current) :**

by the Project: 365  
by the Facility: 1,458

**Wastewater Volume (Design Flow) :**

by the Project: 0.0129mgd Volume Eliminated/Conserved: 0.0000mgd  
by the Facility: 0.1356mgd

**Needs Categories:**

III-B Sewer System Rehabilitation \$485,000 100%

**Discharge Information:**

Ocean Outfall   
 Estuary/Coastal Bay   
 Wetland   
 Surface Water   
 Groundwater   
 Land Application  
 Other/Reuse   
 Eliminates Discharge   
 No Change / No Discharge   
 NEP Study   
 Seasonal Discharge  
 NPDES Permit Number: SD0022187     No NPDES Permit  
 Other Permit Type:    Other Permit Number:

Affected Waterbodies:	Waterbody Name	Waterbody ID	State Waterbody ID	Receiving Waterbody
Primary Impacted :	unnamed tributary of Mud Creek	10160005000330		<input checked="" type="checkbox"/>
Other Impacted :				<input type="checkbox"/>

**Project Improvement/Maintenance of Water Quality:**

a. Contributes to water quality Maintenance.  
 b. Allows the system to Maintain Compliance.  
 c. Affected waterbody is Meeting Standards.  
 d. Allows the system to address.....  Existing TMDL     Projected TMDL     Watershed Management Plan

**Designated Surface Water Uses (Selected):**

Designated Surface Water Uses (Selected):	Protection:	Restoration:
Warmwater marginal fish life propagation waters	Secondary	
Immersion recreation waters	Primary	
Limited-contact recreation waters	Primary	
Fish & wildlife propagation, rec, & stock watering	Secondary	
Irrigation waters	Secondary	

**Other Uses and Outcomes (Selected):**

Other Uses and Outcomes (Selected):	Protection:	Restoration:
Infrastructure Improvement	Primary	
Groundwater Protection	Secondary	
Other Public Health/Pathogen Reduction	Secondary	

**Comments:** The population served by the project is estimated using a percentage of total user compared to the number of service connections by the project. Design flows are based on current population at 100 gpdpc.

**CWSRF Benefits Reporting**

<b>Loan:</b> 101 of 118	<input type="checkbox"/> Entry Complete	Tracking #: C461065-04	Other #:
Borrower: Harrisburg	Loan Execution Date:	Incremental Funding: N	Phase #: 0
Assistance Type: Loan	Loan Interest Rate: 2.25%	Original Tracking #:	
Loan Amount \$: \$1,435,340	Repayment Period: 10	Same Environmental Results: <input type="checkbox"/>	
<input type="checkbox"/> Final Amount	% Funded by CWSRF: 85%	ARRA Funding: <input type="checkbox"/>	
Total from all Projects \$: 1,435,340		Multiple nonpoint source projects with similar Environmental Results: <input type="checkbox"/>	Total NPS Projects: 0

**Project:** 1 of 1 CW Needs Survey Number : # of NPS Projects: 0

**Project Description:** Replacement of about 5,560 feet of 8-inch sewer pipe with 15-inch to 18-inch pipe

**Facility Name:** City of Harrisburg

**Population Served (Current) :**

by the Project: 4,355  
by the Facility: 4,355

**Wastewater Volume (Design Flow) :**

by the Project: 1.5170mgd Volume Eliminated/Conserved: 0.0000mgd  
by the Facility: 1.5170mgd

**Needs Categories:**

III-B Sewer System Rehabilitation \$1,435,340 100%

**Discharge Information:**

- Ocean Outfall   
  Estuary/Coastal Bay   
  Wetland   
  Surface Water   
  Groundwater   
  Land Application  
 Other/Reuse   
  Eliminates Discharge   
 No Change / No Discharge   
 NEP Study   
 Seasonal Discharge  
 NPDES Permit Number: SDG823728     No NPDES Permit  
 Other Permit Type:    Other Permit Number:

**Affected Waterbodies:**

	<u>Waterbody Name</u>	<u>Waterbody ID</u>	<u>State Waterbody ID</u>	<u>Receiving Waterbody</u>
Primary Impacted :	Unnamed Tributary of Ninemile Creek			<input type="checkbox"/>
Other Impacted :				<input type="checkbox"/>

**Project Improvement/Maintenance of Water Quality:**

- a. Contributes to water quality Maintenance.  
 b. Allows the system to Maintain Compliance.  
 c. Affected waterbody is Not Applicable  
 d. Allows the system to address.....  Existing TMDL     Projected TMDL     Watershed Management Plan

**Designated Surface Water Uses (Selected):**

Warmwater marginal fish life propagation waters	<u>Protection:</u>	<u>Restoration:</u>
Immersion recreation waters	Secondary	
Limited-contact recreation waters	Primary	
Fish & wildlife propagation, rec, & stock watering	Primary	
Irrigation waters	Secondary	
	Secondary	

**Other Uses and Outcomes (Selected):**

Infrastructure Improvement	<u>Protection:</u>	<u>Restoration:</u>
Groundwater Protection	Primary	
Other Public Health/Pathogen Reduction	Secondary	
	Secondary	

**Comments:** Population is an estimate based on a study conducted by the city.

# CWSRF Benefits Reporting

<b>Loan:</b> 102 of 118	<input type="checkbox"/> Entry Complete	Tracking #: C461065-05	Other #:
Borrower: Harrisburg	Loan Execution Date:	Incremental Funding: N	Phase #: 0
Assistance Type: Loan	Loan Interest Rate: 3.00%	Original Tracking #:	
Loan Amount \$: \$1,783,760	Repayment Period: 20	Same Environmental Results: <input type="checkbox"/>	
<input type="checkbox"/> Final Amount	% Funded by CWSRF: 100%	ARRA Funding: <input type="checkbox"/>	
Total from all Projects \$: 1,783,760	Multiple nonpoint source projects with similar Environmental Results: <input type="checkbox"/>	Total NPS Projects: 0	

**Project:** 1 of 1 CW Needs Survey Number : # of NPS Projects: 0

**Project Description:** Construction of a detention pond and storm sewer to drain storm runoff in an area between the elementary school to

**Facility Name:** Ninemile Creek  
City of Harrisburg

**Population Served (Current) :**

by the Project: 4,355  
by the Facility: 4,355

**Wastewater Volume (Design Flow) :**

by the Project: 1.5170mgd Volume Eliminated/Conserved: 0.0000mgd  
by the Facility: 1.5170mgd

**Needs Categories:**

VI Storm Sewers \$1,783,760 100%

**Discharge Information:**

- Ocean Outfall   
  Estuary/Coastal Bay   
  Wetland   
  Surface Water   
  Groundwater   
  Land Application  
 Other/Reuse   
  Eliminates Discharge   
 No Change / No Discharge   
 NEP Study   
 Seasonal Discharge

NPDES Permit Number:

No NPDES Permit

Other Permit Type:

Other Permit Number:

**Affected Waterbodies:**

Waterbody Name	Waterbody ID	State Waterbody ID	Receiving Waterbody
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Primary Impacted : Unnamed Tributary of Ninemile Creek

Other Impacted :

**Project Improvement/Maintenance of Water Quality:**

- a. Contributes to water quality Maintenance.  
 b. Allows the system to Maintain Compliance.  
 c. Affected waterbody is Not Applicable  
 d. Allows the system to address.....  Existing TMDL   
 Projected TMDL   
 Watershed Management Plan

**Designated Surface Water Uses (Selected):**

Warmwater marginal fish life propagation waters  
Immersion recreation waters  
Limited-contact recreation waters  
Fish & wildlife propagation, rec, & stock watering  
Irrigation waters

**Protection:**

Secondary  
Primary  
Primary  
Secondary  
Secondary

**Restoration:**

**Other Uses and Outcomes (Selected):**

Infrastructure Improvement  
Groundwater Protection  
Other Public Health/Pathogen Reduction

**Protection:**

Primary  
Secondary  
Secondary

**Restoration:**

**Comments:** Population is an estimate based on a study conducted by the city.

**CWSRF Benefits Reporting**

<b>Loan:</b> 99 of 118 <b>Borrower:</b> Hermosa <b>Assistance Type:</b> Loan <b>Loan Amount \$:</b> \$303,604 <input type="checkbox"/> Final Amount	<input type="checkbox"/> Entry Complete <b>Loan Execution Date:</b> <b>Loan Interest Rate:</b> 3.25% <b>Repayment Period:</b> 30 <b>% Funded by CWSRF:</b> 100%	<b>Tracking #:</b> C461278-01 <b>Other #:</b> <b>Incremental Funding:</b> N <b>Phase #:</b> 0 <b>Original Tracking #:</b> <b>Same Environmental Results:</b> <input type="checkbox"/> <b>ARRA Funding:</b> <input type="checkbox"/>
<b>Total from all Projects \$:</b> 303,604 <b>Multiple nonpoint source projects with similar Environmental Results:</b> <input type="checkbox"/>		<b>Total NPS Projects:</b> 0

**Project:** 1 of 1    **CW Needs Survey Number :**    **# of NPS Projects:** 0

**Project Description:** The city will construct a gravity sewer on the north side from North Ferguson Street to the west side of Highway 79 and north on Highway 79 to the northern boundary of the Rockin R Restaurant. The sewer line will also be installed on North 2nd Street  
**Facility Name:** Town of Hermosa

**Population Served (Current) :**  
 by the Project: 488  
 by the Facility: 488

**Wastewater Volume (Design Flow) :**  
 by the Project: 0.0000mgd    **Volume Eliminated/Conserved:** 0.0000mgd  
 by the Facility: 0.0000mgd

**Needs Categories:**  
 IV-A New Collector Sewers    \$303,604    100%

**Discharge Information:**

<input type="checkbox"/> Ocean Outfall	<input type="checkbox"/> Estuary/Coastal Bay	<input type="checkbox"/> Wetland	<input type="checkbox"/> Surface Water	<input type="checkbox"/> Groundwater	<input type="checkbox"/> Land Application
<input type="checkbox"/> Other/Reuse	<input type="checkbox"/> Eliminates Discharge	<input checked="" type="checkbox"/> No Change / No Discharge	<input type="checkbox"/> NEP Study	<input type="checkbox"/> Seasonal Discharge	

NPDES Permit Number: SD0022349     No NPDES Permit  
 Other Permit Type:    Other Permit Number:

Affected Waterbodies:	Waterbody Name	Waterbody ID	State Waterbody ID	Receiving Waterbody
Primary Impacted :	Battle Creek	10120109002080		<input type="checkbox"/>
Other Impacted :				<input type="checkbox"/>

**Project Improvement/Maintenance of Water Quality:**

a. Contributes to water quality	Maintenance.		
b. Allows the system to	Maintain Compliance.		
c. Affected waterbody is	Meeting Standards.		
d. Allows the system to address.....	<input type="checkbox"/> Existing TMDL	<input type="checkbox"/> Projected TMDL	<input type="checkbox"/> Watershed Management Plan

<b>Designated Surface Water Uses (Selected):</b>	<b>Protection:</b>	<b>Restoration:</b>
Warmwater marginal fish life propagation waters	Primary	
Limited-contact recreation waters	Secondary	
Fish & wildlife propagation, rec, & stock watering	Secondary	
Irrigation waters	Secondary	

<b>Other Uses and Outcomes (Selected):</b>	<b>Protection:</b>	<b>Restoration:</b>
Infrastructure Improvement	Primary	

**Comments:**

**CWSRF Benefits Reporting**

<b>Loan:</b> 103 of 118	<input type="checkbox"/> Entry Complete	Tracking #: C481252-01	Other #:
Borrower: Herreid	Loan Execution Date:	Incremental Funding: N	Phase #: 0
Assistance Type: Loan	Loan Interest Rate: 3.25%	Original Tracking #:	
Loan Amount \$: \$694,300	Repayment Period: 30	Same Environmental Results: <input type="checkbox"/>	
<input type="checkbox"/> Final Amount	% Funded by CWSRF: 100%	ARRA Funding: <input type="checkbox"/>	
Total from all Projects \$: 694,300		Multiple nonpoint source projects with similar Environmental Results: <input type="checkbox"/>	Total NPS Projects: 0

**Project:** 1 of 1 CW Needs Survey Number : # of NPS Projects: 0

**Project Description:** Improvements to the wastewater system include replacement of wastewater lines, lift station, and replacement and repairs at the lagoon.

**Facility Name:**

**Population Served (Current) :**

by the Project: 382  
by the Facility: 0

**Wastewater Volume (Design Flow) :**

by the Project: 0.0034mgd Volume Eliminated/Conserved: 0.0000mgd  
by the Facility: 0.0000mgd

**Needs Categories:**

III-B Sewer System Rehabilitation \$694,300 100%

**Discharge Information:**

- Ocean Outfall   
  Estuary/Coastal Bay   
  Wetland   
  Surface Water   
  Groundwater   
  Land Application  
 Other/Reuse   
  Eliminates Discharge   
 No Change / No Discharge   
 NEP Study   
 Seasonal Discharge  
 NPDES Permit Number: SD0022012   
 No NPDES Permit  
 Other Permit Type:   
 Other Permit Number:

**Affected Waterbodies:**

<u>Waterbody Name</u>	<u>Waterbody ID</u>	<u>State Waterbody ID</u>	<u>Receiving Waterbody</u>
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Primary Impacted :   
Other Impacted :

**Project Improvement/Maintenance of Water Quality:**

- a. Contributes to water quality Improvement.  
 b. Allows the system to Maintain Compliance.  
 c. Affected waterbody is  
 d. Allows the system to address.....  Existing TMDL   
 Projected TMDL   
 Watershed Management Plan

**Other Uses and Outcomes (Selected):**

Infrastructure Improvement    Protection:    Restoration:  
Primary

**Comments:**

**CWSRF Benefits Reporting**

<b>Loan:</b> 108 of 118	<input type="checkbox"/> Entry Complete	Tracking #: C461092-01	Other #:
Borrower: Interior	Loan Execution Date:	Incremental Funding: N	Phase #: 0
Assistance Type: Loan	Loan Interest Rate: 3.25%	Original Tracking #:	
Loan Amount \$: \$250,000	Repayment Period: 30	Same Environmental Results: <input type="checkbox"/>	
<input type="checkbox"/> Final Amount	% Funded by CWSRF: 33%	ARRA Funding: <input type="checkbox"/>	
Total from all Projects \$: 250,000		Multiple nonpoint source projects with similar Environmental Results: <input type="checkbox"/>	Total NPS Projects: 0

**Project:** 1 of 1 CW Needs Survey Number : # of NPS Projects: 0

**Project Description:** The alternative would construct a three cell discharging treatment system, with a 244 day detention time. This alternative would require a treatment footprint of approximately 1.70-acres. The outfall line will also be replaced.

**Facility Name:** City of Interior

**Population Served (Current) :**  
 by the Project: 94  
 by the Facility: 94

**Wastewater Volume (Design Flow) :**  
 by the Project: 0.0072mgd Volume Eliminated/Conserved: 0.0000mgd  
 by the Facility: 0.0072mgd

**Needs Categories:**  
 I Secondary Treatment \$250,000 100%

**Discharge Information:**

Ocean Outfall   
  Estuary/Coastal Bay   
  Wetland   
  Surface Water   
  Groundwater   
  Land Application  
 Other/Reuse   
  Eliminates Discharge   
  No Change / No Discharge   
  NEP Study   
  Seasonal Discharge  
 NPDES Permit Number: SD0021857   
 No NPDES Permit  
 Other Permit Type:   
 Other Permit Number:

Affected Waterbodies:	Waterbody Name	Waterbody ID	State Waterbody ID	Receiving Waterbody
Primary Impacted :	White River	10140202000094		<input checked="" type="checkbox"/>
Other Impacted :				<input type="checkbox"/>

**Project Improvement/Maintenance of Water Quality:**

a. Contributes to water quality Improvement.  
 b. Allows the system to Achieve Compliance.  
 c. Affected waterbody is Impaired.  
 d. Allows the system to address.....  Existing TMDL   
 Projected TMDL   
 Watershed Management Plan

Designated Surface Water Uses (Selected):	Protection:	Restoration:
Warmwater semipermanent fish propagation waters	Primary	
Limited-contact recreation waters	Secondary	
Fish & wildlife propagation, rec. & stock watering	Secondary	
Irrigation waters	Secondary	

Other Uses and Outcomes (Selected):	Protection:	Restoration:
Infrastructure Improvement	Primary	
Other Public Health/Pathogen Reduction	Primary	

**Comments:** GPD from Facility plan design information.

**CWSRF Benefits Reporting**

<b>Loan:</b> 114 of 118	<input type="checkbox"/> Entry Complete	Tracking #: C461350-01	Other #:
Borrower: Java	Loan Execution Date:	Incremental Funding: N	Phase #: 0
Assistance Type: Loan	Loan Interest Rate: 3.25%	Original Tracking #:	
Loan Amount \$: \$438,325	Repayment Period: 30	Same Environmental Results: <input type="checkbox"/>	
<input type="checkbox"/> Final Amount	% Funded by CWSRF: 100%	ARRA Funding: <input type="checkbox"/>	
Total from all Projects \$: 438,325		Multiple nonpoint source projects with similar Environmental Results: <input type="checkbox"/>	Total NPS Projects: 0

**Project:** 1 of 2      CW Needs Survey Number :      # of NPS Projects: 0

**Project Description:**

**Facility Name:**

**Population Served (Current) :**

by the Project: 0  
by the Facility: 0

**Wastewater Volume (Design Flow) :**

by the Project: 0.0000mgd      Volume Eliminated/Conserved: 0.0000mgd  
by the Facility: 0.0000mgd

**Needs Categories:**

-- Select a Needs Category --      \$0      0%

**Discharge Information:**

- Ocean Outfall     Estuary/Coastal Bay     Wetland     Surface Water     Groundwater     Land Application  
 Other/Reuse     Eliminates Discharge     No Change / No Discharge     NEP Study     Seasonal Discharge

NPDES Permit Number:  No NPDES Permit

Other Permit Type:      Other Permit Number:

**Affected Waterbodies:**

<u>Waterbody Name</u>	<u>Waterbody ID</u>	<u>State Waterbody ID</u>	<u>Receiving Waterbody</u>
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Primary Impacted :   
Other Impacted :

**Project Improvement/Maintenance of Water Quality:**

- a. Contributes to water quality  
 b. Allows the system to  
 c. Affected waterbody is  
 d. Allows the system to address.....     Existing TMDL     Projected TMDL     Watershed Management Plan

**Comments:**

**Project:** 2 of 2      CW Needs Survey Number :      # of NPS Projects: 0

**Project Description:** The town of Java will clean and televise its entire sanitary sewer collection system, rirap the treatment system pond

**Facility Name:** Town of Java

**Population Served (Current) :**

by the Project: 132  
by the Facility: 132

**Wastewater Volume (Design Flow) :**

by the Project: 0.0000mgd      Volume Eliminated/Conserved: 0.0000mgd  
by the Facility: 0.0000mgd

**Needs Categories:**

III-B Sewer System Rehabilitation      \$438,325      100%

# CWSRF Benefits Reporting

<b>Loan:</b> 114 of 118 <b>Borrower:</b> Java <b>Assistance Type:</b> Loan <b>Loan Amount \$:</b> \$438,325 <input type="checkbox"/> Final Amount	<input type="checkbox"/> Entry Complete <b>Loan Execution Date:</b> <b>Loan Interest Rate:</b> 3.25% <b>Repayment Period:</b> 30 <b>% Funded by CWSRF:</b> 100%	<b>Tracking #:</b> C461350-01 <b>Incremental Funding:</b> N <b>Original Tracking #:</b> <b>Same Environmental Results:</b> <input type="checkbox"/> <b>ARRA Funding:</b> <input type="checkbox"/>	<b>Other #:</b> <b>Phase #:</b> 0
<b>Total from all Projects \$:</b> 438,325		<b>Multiple nonpoint source projects with similar Environmental Results:</b> <input type="checkbox"/>	
		<b>Total NPS Projects:</b> 0	

## Discharge Information:

<input type="checkbox"/> Ocean Outfall	<input type="checkbox"/> Estuary/Coastal Bay	<input type="checkbox"/> Wetland	<input type="checkbox"/> Surface Water	<input type="checkbox"/> Groundwater	<input type="checkbox"/> Land Application
<input type="checkbox"/> Other/Reuse	<input type="checkbox"/> Eliminates Discharge	<input checked="" type="checkbox"/> No Change / No Discharge	<input type="checkbox"/> NEP Study	<input type="checkbox"/> Seasonal Discharge	
<b>NPDES Permit Number:</b> SD0022195		<input type="checkbox"/> No NPDES Permit			
<b>Other Permit Type:</b>		<b>Other Permit Number:</b> SDG822195			

## Affected Waterbodies:

<u>Waterbody Name</u>	<u>Waterbody ID</u>	<u>State Waterbody ID</u>	<u>Receiving Waterbody</u>
Primary Impacted :			<input type="checkbox"/>
Other Impacted :			<input type="checkbox"/>

## Project Improvement/Maintenance of Water Quality:

- |                                      |  |   |  |
|--------------------------------------|--|---|--|
| a. Contributes to water quality      | Maintenance.                           |   |  |
| b. Allows the system to              | Maintain Compliance.                   |   |  |
| c. Affected waterbody is             | Meeting Standards.                     |   |  |
| d. Allows the system to address..... | <input type="checkbox"/> Existing TMDL | <input type="checkbox"/> Projected TMDL | <input type="checkbox"/> Watershed Management Plan |

## Other Uses and Outcomes (Selected):

Infrastructure Improvement

Protection:  
Primary

Restoration:

## Comments:

# CWSRF Benefits Reporting

<b>Loan:</b> 117 of 118	<input type="checkbox"/> Entry Complete	Tracking #: C461233-01	Other #:
Borrower: McLaughlin	Loan Execution Date:	Incremental Funding: N	Phase #: 0
Assistance Type: Loan	Loan Interest Rate: 3.25%	Original Tracking #:	
Loan Amount \$: \$1,145,675	Repayment Period: 30	Same Environmental Results: <input type="checkbox"/>	
<input type="checkbox"/> Final Amount	% Funded by CWSRF: 56%	ARRA Funding: <input type="checkbox"/>	
Total from all Projects \$: 1,145,675	Multiple nonpoint source projects with similar Environmental Results: <input type="checkbox"/>	Total NPS Projects: 0	

**Project:** 1 of 1 CW Needs Survey Number : # of NPS Projects: 0

**Project Description:** Replacement or repair of much of the collection system and repair of riprap at the treatment facility.

**Facility Name:**

**Population Served (Current) :**

by the Project: 663  
by the Facility: 663

**Wastewater Volume (Design Flow) :**

by the Project: 0.0663mgd Volume Eliminated/Conserved: 0.0000mgd  
by the Facility: 0.0663mgd

**Needs Categories:**

I Secondary Treatment	\$75,615	7%
III-B Sewer System Rehabilitation	\$1,070,060	93%

**Discharge Information:**

Ocean Outfall   
 Estuary/Coastal Bay   
 Wetland   
 Surface Water   
 Groundwater   
 Land Application  
 Other/Reuse   
 Eliminates Discharge   
 No Change / No Discharge   
 NEP Study   
 Seasonal Discharge  
NPDES Permit Number: NDG-589301     No NPDES Permit  
Other Permit Type:    Other Permit Number:

**Affected Waterbodies:**

<u>Waterbody Name</u>	<u>Waterbody ID</u>	<u>State Waterbody ID</u>	<u>Receiving Waterbody</u>
Primary Impacted: Unnamed Tributary of Oak Creek			<input type="checkbox"/>
Other Impacted:			<input type="checkbox"/>

**Project Improvement/Maintenance of Water Quality:**

a. Contributes to water quality Maintenance.  
b. Allows the system to Maintain Compliance.  
c. Affected waterbody is Not Applicable  
d. Allows the system to address.....  Existing TMDL     Projected TMDL     Watershed Management Plan

**Designated Surface Water Uses (Selected):**

Fish & wildlife propagation, rec, & stock watering

**Protection:**  
Primary

**Restoration:**

**Other Uses and Outcomes (Selected):**

Infrastructure Improvement  
Other Public Health/Pathogen Reduction

**Protection:**  
Primary  
Secondary

**Restoration:**

**Comments:** Design flows are based on current population at 100 gpcpd.

**CWSRF Benefits Reporting**

<b>Loan:</b> 96 of 118	<input type="checkbox"/> Entry Complete	Tracking #: C461034-01	Other #:
Borrower: Mount Vernon	Loan Execution Date: 04/27/2011	Incremental Funding: N	Phase #: 0
Assistance Type: Loan	Loan Interest Rate: 3.25%	Original Tracking #:	
Loan Amount \$: \$2,300,000	Repayment Period: 30	Same Environmental Results: <input type="checkbox"/>	
<input type="checkbox"/> Final Amount	% Funded by CWSRF: 74%	ARRA Funding: <input type="checkbox"/>	
Total from all Projects \$: 2,300,000		Multiple nonpoint source projects with similar Environmental Results: <input type="checkbox"/>	Total NPS Projects: 0

**Project:** 1 of 1      CW Needs Survey Number :      # of NPS Projects: 0

**Project Description:** The city of Mount Vernon is proposing to rehabilitate all of the existing clay sanitary sewer mains, manholes along these mains, and individual service lines to the property line. This will involve the replacement of approximately

**Facility Name:** Mount Vernon  
12,700-feet of sew

**Population Served (Current) :**  
by the Project: 475  
by the Facility: 475

**Wastewater Volume (Design Flow) :**  
by the Project: 0.0500mgd      Volume Eliminated/Conserved: 0.0000mgd  
by the Facility: 0.0500mgd

**Needs Categories:**

I Secondary Treatment	\$714,650	31%
III-B Sewer System Rehabilitation	\$1,585,350	69%

**Discharge Information:**

- Ocean Outfall   
 Estuary/Coastal Bay   
 Wetland   
 Surface Water   
 Groundwater   
 Land Application  
 Other/Reuse   
 Eliminates Discharge   
 No Change / No Discharge   
 NEP Study   
 Seasonal Discharge  
NPDES Permit Number: SD0020486       No NPDES Permit  
Other Permit Type:      Other Permit Number:

**Affected Waterbodies:**

<u>Waterbody Name</u>	<u>Waterbody ID</u>	<u>State Waterbody ID</u>	<u>Receiving Waterbody</u>
Primary Impacted : Dry Run Creek			<input checked="" type="checkbox"/>
Other Impacted :			<input type="checkbox"/>

**Project Improvement/Maintenance of Water Quality:**

- a. Contributes to water quality      Improvement.  
b. Allows the system to      Achieve Compliance.  
c. Affected waterbody is      Not Assessed.  
d. Allows the system to address.....   
 Existing TMDL   
 Projected TMDL   
 Watershed Management Plan

**Designated Surface Water Uses (Selected):**

Fish & wildlife propagation, rec, & stock watering	<b>Protection:</b> Secondary	<b>Restoration:</b> Secondary
Irrigation waters	Secondary	

**Other Uses and Outcomes (Selected):**

Infrastructure Improvement	<b>Protection:</b> Primary	<b>Restoration:</b>
Groundwater Protection	Secondary	
Other Public Health/Pathogen Reduction	Secondary	

**Comments:**

# CWSRF Benefits Reporting

<b>Loan:</b> 100 of 118	<input type="checkbox"/> Entry Complete	Tracking #: C461371-01	Other #:
Borrower: Northville	Loan Execution Date:	Incremental Funding: N	Phase #: 0
Assistance Type: Loan	Loan Interest Rate: 3.25%	Original Tracking #:	
Loan Amount \$: \$238,300	Repayment Period: 30	Same Environmental Results: <input type="checkbox"/>	
<input type="checkbox"/> Final Amount	% Funded by CWSRF: 58%	ARRA Funding: <input type="checkbox"/>	
Total from all Projects \$: 238,300		Multiple nonpoint source projects with similar Environmental Results: <input type="checkbox"/>	Total NPS Projects: 0

**Project:** 1 of 1 CW Needs Survey Number : # of NPS Projects: 0

**Project Description:** Modifying the existing wastewater facility to a discharging facility. This will include construction of a lift station and one mile of 6-inch force main. Or expansion of the wastewater treatment facility to maintain no-discharge status.

**Facility Name:** Town of Northville

**Population Served (Current) :**  
 by the Project: 131  
 by the Facility: 131

**Wastewater Volume (Design Flow) :**  
 by the Project: 0.0130mgd Volume Eliminated/Conserved: 0.0000mgd  
 by the Facility: 0.0130mgd

**Needs Categories:**  
 I Secondary Treatment \$238,300 100%

**Discharge Information:**

Ocean Outfall   
  Estuary/Coastal Bay   
  Wetland   
 Surface Water   
 Groundwater   
 Land Application  
 Other/Reuse   
 Eliminates Discharge   
 No Change / No Discharge   
 NEP Study   
 Seasonal Discharge  
 NPDES Permit Number: SD0028053     No NPDES Permit  
 Other Permit Type:    Other Permit Number:

Affected Waterbodies:	Waterbody Name	Waterbody ID	State Waterbody ID	Receiving Waterbody
Primary Impacted :	Unnamed Tributary	10160008003440		<input type="checkbox"/>
Other Impacted :				<input type="checkbox"/>

**Project Improvement/Maintenance of Water Quality:**

a. Contributes to water quality Maintenance.  
 b. Allows the system to Maintain Compliance.  
 c. Affected waterbody is Meeting Standards.  
 d. Allows the system to address.....  Existing TMDL     Projected TMDL     Watershed Management Plan

**Designated Surface Water Uses (Selected):**

Fish & wildlife propagation, rec, & stock watering	<u>Protection:</u> Secondary	<u>Restoration:</u> Secondary
Irrigation waters	Secondary	

**Other Uses and Outcomes (Selected):**

Infrastructure Improvement	<u>Protection:</u> Primary	<u>Restoration:</u>
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**Comments:** System flow based on 100 gal/capita per day.

# CWSRF Benefits Reporting

<b>Loan:</b> 110 of 118	<input type="checkbox"/> Entry Complete	Tracking #: C461110-01	Other #:
Borrower: Plankinton	Loan Execution Date:	Incremental Funding: N	Phase #: 0
Assistance Type: Loan	Loan Interest Rate: 3.25%	Original Tracking #:	
Loan Amount \$: \$1,005,744	Repayment Period: 30	Same Environmental Results: <input type="checkbox"/>	
<input type="checkbox"/> Final Amount	% Funded by CWSRF: 100%	ARRA Funding: <input type="checkbox"/>	
Total from all Projects \$: 1,005,744	Multiple nonpoint source projects with similar Environmental Results: <input type="checkbox"/>	Total NPS Projects: 0	

**Project:** 1 of 1 CW Needs Survey Number : # of NPS Projects: 0

**Project Description:** The wastewater improvements project includes replacement of the main interceptor line leading to the wastewater treatment plant, replace or reline approximately 8,000 feet of sanitary sewer lines, replace 40 manholes, and complete the associated stre

**Facility Name:**

**Population Served (Current) :**

by the Project: 601

by the Facility: 601

**Wastewater Volume (Design Flow) :**

by the Project: 0.0000mgd Volume Eliminated/Conserved: 0.0000mgd

by the Facility: 0.0000mgd

**Needs Categories:**

III-B Sewer System Rehabilitation \$1,005,744 100%

**Discharge Information:**

- Ocean Outfall   
  Estuary/Coastal Bay   
  Wetland   
  Surface Water   
  Groundwater   
  Land Application  
 Other/Reuse   
  Eliminates Discharge   
  No Change / No Discharge   
  NEP Study   
  Seasonal Discharge  
 NPDES Permit Number: SD0020958   
 No NPDES Permit  
 Other Permit Type:   
 Other Permit Number:

**Affected Waterbodies:**

	<u>Waterbody Name</u>	<u>Waterbody ID</u>	<u>State Waterbody ID</u>	<u>Receiving Waterbody</u>
Primary Impacted :	Unnamed tributary of the West Fork o			<input type="checkbox"/>
Other Impacted :				<input type="checkbox"/>

**Project Improvement/Maintenance of Water Quality:**

- a. Contributes to water quality Maintenance.
- b. Allows the system to Maintain Compliance.
- c. Affected waterbody is Not Applicable
- d. Allows the system to address.....  Existing TMDL   
 Projected TMDL   
 Watershed Management Plan

**Other Uses and Outcomes (Selected):**

Infrastructure Improvement

Protection:

Restoration:  
Primary

**Comments:**

# CWSRF Benefits Reporting

<b>Loan:</b> 104 of 118	<input type="checkbox"/> Entry Complete	Tracking #: C461067-03	Other #:
<b>Borrower:</b> Richmond Lake Sanitary District	Loan Execution Date:	Incremental Funding: N	Phase #: 0
<b>Assistance Type:</b> Loan	Loan Interest Rate: 3.00%	Original Tracking #:	
<b>Loan Amount \$:</b> \$193,600	Repayment Period: 20	Same Environmental Results: <input type="checkbox"/>	
<input type="checkbox"/> Final Amount	% Funded by CWSRF: 100%	ARRA Funding: <input type="checkbox"/>	
<b>Total from all Projects \$:</b> 193,600	Multiple nonpoint source projects with similar Environmental Results: <input type="checkbox"/>	<b>Total NPS Projects:</b> 0	

**Project:** 1 of 1 CW Needs Survey Number : # of NPS Projects: 0

**Project Description:** This loan is for the Lift station and SCADA improvements portion.

**Facility Name:** Lagoon and wastewater collection project includes rehabilitation of existing utilities, lift stations, and wastewater treatment facility. The project will add a second pump to three

**Population Served (Current):**

by the Project: 650

by the Facility: 0

**Wastewater Volume (Design Flow) :**

by the Project: 0.0034mgd Volume Eliminated/Conserved: 0.0000mgd

by the Facility: 0.0000mgd

**Needs Categories:**

III-B Sewer System Rehabilitation \$193,600 100%

**Discharge Information:**

- Ocean Outfall  
  Estuary/Coastal Bay  
  Wetland  
  Surface Water  
  Groundwater  
  Land Application  
 Other/Reuse  
  Eliminates Discharge  
 No Change / No Discharge  
  NEP Study  
  Seasonal Discharge

NPDES Permit Number:

No NPDES Permit

Other Permit Type:

Other Permit Number:

**Affected Waterbodies:**

Waterbody Name

Waterbody ID

State Waterbody ID

Receiving Waterbody

Primary Impacted :

Other Impacted :

**Project Improvement/Maintenance of Water Quality:**

- a. Contributes to water quality  
 b. Allows the system to  
 c. Affected waterbody is  
 d. Allows the system to address.....  Existing TMDL  
  Projected TMDL  
  Watershed Management Plan

**Comments:**

# CWSRF Benefits Reporting

<b>Loan:</b> 105 of 118	<input type="checkbox"/> Entry Complete	Tracking #: C461067-04	Other #:
Borrower: Richmond Lake Sanitary District	Loan Execution Date:	Incremental Funding: N	Phase #: 0
Assistance Type: Loan	Loan Interest Rate: 3.25%	Original Tracking #:	Same Environmental Results: <input type="checkbox"/>
Loan Amount \$: \$339,800	Repayment Period: 30	ARRA Funding: <input type="checkbox"/>	
<input type="checkbox"/> Final Amount	% Funded by CWSRF:		
Total from all Projects \$: 339,800		Multiple nonpoint source projects with similar Environmental Results: <input type="checkbox"/> Total NPS Projects: 0	

**Project:** 1 of 1 CW Needs Survey Number : # of NPS Projects: 0

**Project Description:** Lagoon and wastewater collection project includes rehabilitation of existing utilities, lift stations, and wastewater treatment facility. The project will add a second pump to three lift stations to provide system redundancy, and the installation of

**Facility Name:** Richmond Lake Sanitary District

**Population Served (Current) :**

by the Project: 650

by the Facility: 0

**Wastewater Volume (Design Flow) :**

by the Project: 0.0004mgd Volume Eliminated/Conserved: 0.0000mgd

by the Facility: 0.0000mgd

**Needs Categories:**

III-B Sewer System Rehabilitation \$339,800 100%

**Discharge Information:**

Ocean Outfall  Estuary/Coastal Bay  Wetland  Surface Water  Groundwater  Land Application

Other/Reuse  Eliminates Discharge  No Change / No Discharge  NEP Study  Seasonal Discharge

NPDES Permit Number:  No NPDES Permit

Other Permit Type: Other Permit Number:

**Affected Waterbodies:**

	<u>Waterbody Name</u>	<u>Waterbody ID</u>	<u>State Waterbody ID</u>	<u>Receiving Waterbody</u>
Primary Impacted :				<input type="checkbox"/>
Other Impacted :				<input type="checkbox"/>

**Project Improvement/Maintenance of Water Quality:**

a. Contributes to water quality Maintenance.

b. Allows the system to Maintain Compliance.

c. Affected waterbody is

d. Allows the system to address.....  Existing TMDL  Projected TMDL  Watershed Management Plan

**Other Uses and Outcomes (Selected):**

Infrastructure Improvement

Protection:

Restoration:  
Primary

**Comments:**

# CWSRF Benefits Reporting

<b>Loan:</b> 119 of 119	<input type="checkbox"/> Entry Complete	Tracking #: C461069-02	Other #:
<b>Borrower:</b> Scotland	Loan Execution Date:	Incremental Funding: N	Phase #: 0
<b>Assistance Type:</b> Loan	Loan Interest Rate: 3.25%	Original Tracking #:	
<b>Loan Amount \$:</b> \$945,930	Repayment Period: 30	Same Environmental Results: <input type="checkbox"/>	
<input type="checkbox"/> Final Amount	% Funded by CWSRF: 54%	ARRA Funding: <input type="checkbox"/>	
<b>Total from all Projects \$:</b> 945,930	Multiple nonpoint source projects with similar Environmental Results: <input type="checkbox"/>	Total NPS Projects: 0	

**Project:** 1 of 1 CW Needs Survey Number : # of NPS Projects: 0

**Project Description:** expand the storm sewer system, replace the aging sanitary sewer along Washington Street and extend the sanitary sewer to accommodate expansion of the Poet Research Facility.

**Facility Name:**

**Population Served (Current) :**

by the Project: 841  
by the Facility: 841

**Wastewater Volume (Design Flow) :**

by the Project: 0.0841mgd Volume Eliminated/Conserved: 0.0000mgd  
by the Facility: 0.0841mgd

**Needs Categories:**

III-B Sewer System Rehabilitation	\$137,770	15%
VI Storm Sewers	\$808,160	85%

**Discharge Information:**

Ocean Outfall   
 Estuary/Coastal Bay   
 Wetland   
 Surface Water   
 Groundwater   
 Land Application  
 Other/Reuse   
 Eliminates Discharge   
 No Change / No Discharge   
 NEP Study   
 Seasonal Discharge  
 NPDES Permit Number: SD0022853   
 No NPDES Permit  
 Other Permit Type:   
 Other Permit Number:

**Affected Waterbodies:**

	<u>Waterbody Name</u>	<u>Waterbody ID</u>	<u>State Waterbody ID</u>	<u>Receiving Waterbody</u>
Primary Impacted :	Dawson Creek	10160011001152		<input type="checkbox"/>
Other Impacted :				<input type="checkbox"/>

**Project Improvement/Maintenance of Water Quality:**

a. Contributes to water quality Maintenance.  
 b. Allows the system to Maintain Compliance.  
 c. Affected waterbody is Not Applicable  
 d. Allows the system to address.....  Existing TMDL   
 Projected TMDL   
 Watershed Management Plan

**Designated Surface Water Uses (Selected):**

Coldwater marginal fish life propagation waters  
 Limited-contact recreation waters  
 Fish & wildlife propagation, rec, & stock watering

**Protection:**  
 Secondary  
 Secondary  
 Secondary

**Restoration:**

**Other Uses and Outcomes (Selected):**

Infrastructure Improvement

**Protection:**

**Restoration:**  
 Primary

**Comments:** Design flows are based on current population at 100 gpdpc.

# CWSRF Benefits Reporting

<b>Loan:</b> 97 of 118	<input type="checkbox"/> Entry Complete	Tracking #: C461232-32	Other #:
Borrower: Sioux Falls	Loan Execution Date: 02/01/2011	Incremental Funding: N	Phase #: 0
Assistance Type: Loan	Loan Interest Rate: 1.25%	Original Tracking #:	Same Environmental Results: <input type="checkbox"/>
Loan Amount \$: \$24,589,400	Repayment Period: 10	ARRA Funding: <input type="checkbox"/>	
<input type="checkbox"/> Final Amount	% Funded by CWSRF: 100%		
Total from all Projects \$: 24,589,400		Multiple nonpoint source projects with similar Environmental Results: <input checked="" type="checkbox"/>	Total NPS Projects: 1

**Project:** 1 of 1 CW Needs Survey Number : # of NPS Projects: 1

**Project Description:** Pipe Lining at Various Locations, Central Main Interceptor  
**Facility Name:** (Segments 5 & 6), Dakota Avenue, Russell to 3rd Street Sanitary Sewer Improvements  
 City of Sioux Falls

**Population Served** (Current) proposed projects involve the replacement of sanitary and storm sewers on Dakota Avenue from Rus  
 by the Project: 157,935  
 by the Facility: 157,935

**Wastewater Volume** (Design Flow) :  
 by the Project: 30.0000mgd Volume Eliminated/Conserved: 0.0000mgd  
 by the Facility: 30.0000mgd

**Needs Categories:**  
 III-B Sewer System Rehabilitation \$23,400,000 95%  
 VII-K Hydromodification \$1,189,400 5%

**Discharge Information:**  
 Ocean Outfall  Estuary/Coastal Bay  Wetland  Surface Water  Groundwater  Land Application  
 Other/Reuse  Eliminates Discharge  No Change / No Discharge  NEP Study  Seasonal Discharge  
 NPDES Permit Number: SD0022128  No NPDES Permit  
 Other Permit Type: Other Permit Number:

**Affected Waterbodies:**

Waterbody Name	Waterbody ID	State Waterbody ID	Receiving Waterbody
Primary Impacted : Big Sioux River	10170203000392		<input checked="" type="checkbox"/>
Other Impacted :			<input type="checkbox"/>

**Project Improvement/Maintenance of Water Quality:**  
 a. Contributes to water quality Maintenance.  
 b. Allows the system to Maintain Compliance.  
 c. Affected waterbody is Impaired.  
 d. Allows the system to address.....  Existing TMDL  Projected TMDL  Watershed Management Plan

**Designated Surface Water Uses (Selected):**

	Protection:	Restoration:
Warmwater semipermanent fish propagation waters	Primary	
Immersion recreation waters	Secondary	
Limited-contact recreation waters	Secondary	
Fish & wildlife propagation, rec, & stock watering	Secondary	
Irrigation waters	Secondary	

**Other Uses and Outcomes (Selected):**

	Protection:	Restoration:
Infrastructure Improvement	Primary	
Groundwater Protection	Secondary	
Other Public Health/Pathogen Reduction	Secondary	

**Comments:**

# CWSRF Benefits Reporting

<b>Loan:</b> 112 of 118	<input type="checkbox"/> Entry Complete	Tracking #: C461232-33	Other #:
Borrower: Sioux Falls	Loan Execution Date: 09/23/2011	Incremental Funding: N	Phase #: 0
Assistance Type: Loan	Loan Interest Rate: 1.25%	Original Tracking #:	
Loan Amount \$: \$14,711,614	Repayment Period: 10	Same Environmental Results: <input type="checkbox"/>	
<input type="checkbox"/> Final Amount	% Funded by CWSRF: 100%	ARRA Funding: <input type="checkbox"/>	
Total from all Projects \$: 14,711,614		Multiple nonpoint source projects with similar Environmental Results: <input checked="" type="checkbox"/>	Total NPS Projects: 1

**Project:** 1 of 1 CW Needs Survey Number : # of NPS Projects: 1

**Project Description:** The project involves the replacement of the Sioux River South Interceptor. Phase I construction will replace sewer line from the Tuthill Lift Station to the west side of Minnesota Avenue.  
**Facility Name:** City of Sioux Falls

**Population Served (Current) :**  
 by the Project: 157,935  
 by the Facility: 157,935

**Wastewater Volume (Design Flow) :**  
 by the Project: 30.0000mgd Volume Eliminated/Conserved: 0.0000mgd  
 by the Facility: 30.0000mgd

**Needs Categories:**

III-B Sewer System Rehabilitation	\$14,000,000	95%
VII-K Hydromodification	\$711,614	5%

**Discharge Information:**

- |  |   |  |  |   |   |
|--|---|--|--|---|---|
| <input type="checkbox"/> Ocean Outfall | <input type="checkbox"/> Estuary/Coastal Bay  | <input type="checkbox"/> Wetland                             | <input type="checkbox"/> Surface Water | <input type="checkbox"/> Groundwater        | <input type="checkbox"/> Land Application |
| <input type="checkbox"/> Other/Reuse   | <input type="checkbox"/> Eliminates Discharge | <input checked="" type="checkbox"/> No Change / No Discharge | <input type="checkbox"/> NEP Study     | <input type="checkbox"/> Seasonal Discharge |   |
| NPDES Permit Number: SD0022128         | <input type="checkbox"/> No NPDES Permit      |  | Other Permit Number:                   |   |   |
| Other Permit Type:                     |   |  |  |   |   |

Affected Waterbodies:	Waterbody Name	Waterbody ID	State Waterbody ID	Receiving Waterbody
Primary Impacted :	Big Sioux River	10170203000392		<input checked="" type="checkbox"/>
Other Impacted :				<input type="checkbox"/>

**Project Improvement/Maintenance of Water Quality:**

- |                                      |  |   |  |
|--------------------------------------|--|---|--|
| a. Contributes to water quality      | Maintenance.                           |   |  |
| b. Allows the system to              | Maintain Compliance.                   |   |  |
| c. Affected waterbody is             | Impaired.                              |   |  |
| d. Allows the system to address..... | <input type="checkbox"/> Existing TMDL | <input type="checkbox"/> Projected TMDL | <input type="checkbox"/> Watershed Management Plan |

**Designated Surface Water Uses (Selected):**

Warmwater semipermanent fish propagation waters	<b>Protection:</b> Primary	<b>Restoration:</b>
Immersion recreation waters	Secondary	
Limited-contact recreation waters	Secondary	
Fish & wildlife propagation, rec, & stock watering	Secondary	
Irrigation waters	Secondary	

**Other Uses and Outcomes (Selected):**

Infrastructure Improvement	<b>Protection:</b> Primary	<b>Restoration:</b>
Groundwater Protection	Secondary	
Other Public Health/Pathogen Reduction	Secondary	

**Comments:**

**CWSRF Benefits Reporting**

<b>Loan:</b> 115 of 118	<input type="checkbox"/> Entry Complete	Tracking #: C461240-01	Other #:
<b>Borrower:</b> Viborg	Loan Execution Date: 09/14/2011	Incremental Funding: N	Phase #: 0
<b>Assistance Type:</b> Loan	Loan Interest Rate: 3.25%	Original Tracking #:	
<b>Loan Amount \$:</b> \$883,000	Repayment Period: 30	Same Environmental Results: <input type="checkbox"/>	
<input type="checkbox"/> Final Amount	% Funded by CWSRF: 100%	ARRA Funding: <input type="checkbox"/>	
<b>Total from all Projects \$:</b> 883,000	Multiple nonpoint source projects with similar Environmental Results: <input type="checkbox"/>	<b>Total NPS Projects:</b> 0	

**Project:** 1 of 1      **CW Needs Survey Number :**      **# of NPS Projects:** 0

**Project Description:** The city of Viborg is seeking funding to make improvements to its sanitary sewer collection system. The proposed project will replace approximately 3,700 feet of sewer line of which about 189 is beneath Highway 19, and is being done in conjunctio

**Facility Name:**

**Population Served (Current) :**

by the Project: 832

by the Facility: 0

**Wastewater Volume (Design Flow) :**

by the Project: 0.0000mgd      **Volume Eliminated/Conserved:** 0.0000mgd

by the Facility: 0.0000mgd

**Needs Categories:**

III-B Sewer System Rehabilitation      \$883,000      100%

**Discharge Information:**

Ocean Outfall     Estuary/Coastal Bay     Wetland     Surface Water     Groundwater     Land Application

Other/Reuse     Eliminates Discharge     No Change / No Discharge     NEP Study     Seasonal Discharge

NPDES Permit Number: SD0020541       No NPDES Permit

Other Permit Type:      Other Permit Number:

**Affected Waterbodies:**

<u>Waterbody Name</u>	<u>Waterbody ID</u>	<u>State Waterbody ID</u>	<u>Receiving Waterbody</u>
Primary Impacted :			<input type="checkbox"/>
Other Impacted :			<input type="checkbox"/>

**Project Improvement/Maintenance of Water Quality:**

a. Contributes to water quality

b. Allows the system to

c. Affected waterbody is

d. Allows the system to address.....     Existing TMDL     Projected TMDL     Watershed Management Plan

**Other Uses and Outcomes (Selected):**

Infrastructure Improvement      **Protection:**      **Restoration:** Primary

**Comments:**

**CWSRF Benefits Reporting**

<b>Loan:</b> 109 of 118	<input type="checkbox"/> Entry Complete	Tracking #: C461042-02	Other #:
Borrower: Warner	Loan Execution Date:	Incremental Funding: N	Phase #: 0
Assistance Type: Loan	Loan Interest Rate: 3.25%	Original Tracking #:	
Loan Amount \$: \$1,826,760	Repayment Period: 30	Same Environmental Results: <input type="checkbox"/>	
<input type="checkbox"/> Final Amount	% Funded by CWSRF: 100%	ARRA Funding: <input type="checkbox"/>	
Total from all Projects \$: 1,826,760		Multiple nonpoint source projects with similar Environmental Results: <input type="checkbox"/>	Total NPS Projects: 0

**Project:** 1 of 1 CW Needs Survey Number : # of NPS Projects: 0

**Project Description:** The town of Warner is proposing to replace lift station pumps and expand the existing wastewater treatment pond system.

**Facility Name:** City of Warner

**Population Served (Current) :**  
 by the Project: 457  
 by the Facility: 457

**Wastewater Volume (Design Flow) :**  
 by the Project: 0.0490mgd Volume Eliminated/Conserved: 0.0000mgd  
 by the Facility: 0.0490mgd

**Needs Categories:**  
 I Secondary Treatment \$1,826,760 100%

**Discharge Information:**  
 Ocean Outfall  Estuary/Coastal Bay  Wetland  Surface Water  Groundwater  Land Application  
 Other/Reuse  Eliminates Discharge  No Change / No Discharge  NEP Study  Seasonal Discharge  
 NPDES Permit Number: SD0020389  No NPDES Permit  
 Other Permit Type: Other Permit Number:

**Affected Waterbodies:**

Waterbody Name	Waterbody ID	State Waterbody ID	Receiving Waterbody
Primary Impacted : Moccasin Creek	10160003000203		<input checked="" type="checkbox"/>
Other Impacted :			<input type="checkbox"/>

**Project Improvement/Maintenance of Water Quality:**  
 a. Contributes to water quality Maintenance.  
 b. Allows the system to Maintain Compliance.  
 c. Affected waterbody is Impaired.  
 d. Allows the system to address.....  Existing TMDL  Projected TMDL  Watershed Management Plan

**Designated Surface Water Uses (Selected):**

Water Use	Protection:	Restoration:
Warmwater marginal fish life propagation waters	Primary	
Limited-contact recreation waters	Secondary	
Fish & wildlife propagation, rec, & stock watering	Secondary	
Irrigation waters	Secondary	

**Other Uses and Outcomes (Selected):**

Use/Outcome	Protection:	Restoration:
Infrastructure Improvement	Primary	
Other Public Health/Pathogen Reduction	Secondary	

**Comments:** GPD based on facility plan flow information.

# CWSRF Benefits Reporting

<b>Loan:</b> 111 of 118 <b>Borrower:</b> Yale <b>Assistance Type:</b> Loan <b>Loan Amount \$:</b> \$885,110 <input type="checkbox"/> Final Amount	<input type="checkbox"/> Entry Complete <b>Loan Execution Date:</b> <b>Loan Interest Rate:</b> 3.25% <b>Repayment Period:</b> 30 <b>% Funded by CWSRF:</b> 69%	<b>Tracking #:</b> C461096-01 <b>Incremental Funding:</b> N <b>Original Tracking #:</b> <b>Same Environmental Results:</b> <input type="checkbox"/> <b>ARRA Funding:</b> <input type="checkbox"/>	<b>Other #:</b> <b>Phase #:</b> 0
<b>Total from all Projects \$:</b> 885,110		<b>Multiple nonpoint source projects with similar Environmental Results:</b> <input type="checkbox"/>	
<b>Total NPS Projects:</b> 0			

**Project:** 1 of 1      **CW Needs Survey Number :**      **# of NPS Projects:** 0

**Project Description:** The town of Yale wastewater system consists of a single treatment cell. The system is incapable of producing an effluent that can be discharged and must operate as a total retention system. The system has had several unauthorized discharges. The t

**Facility Name:** Yale Wastewater Treatment Facility

**Population Served (Current) :**

by the Project: 118

by the Facility: 0

**Wastewater Volume (Design Flow) :**

by the Project: 0.0000mgd      **Volume Eliminated/Conserved:** 0.0000mgd

by the Facility: 0.0000mgd

**Needs Categories:**

III-B Sewer System Rehabilitation      \$885,110      100%

**Discharge Information:**

Ocean Outfall   
  Estuary/Coastal Bay   
  Wetland   
  Surface Water   
  Groundwater   
  Land Application  
 Other/Reuse   
  Eliminates Discharge   
 No Change / No Discharge   
  NEP Study   
  Seasonal Discharge  
 NPDES Permit Number: SDG822071       No NPDES Permit  
 Other Permit Type:      Other Permit Number:

**Affected Waterbodies:**

<u>Waterbody Name</u>	<u>Waterbody ID</u>	<u>State Waterbody ID</u>	<u>Receiving Waterbody</u>
Primary Impacted :			<input type="checkbox"/>
Other Impacted :			<input type="checkbox"/>

**Project Improvement/Maintenance of Water Quality:**

a. Contributes to water quality

b. Allows the system to

c. Affected waterbody is

d. Allows the system to address.....

Existing TMDL   
  Projected TMDL   
  Watershed Management Plan

**Comments:**