Note: This application is for interim financing from the State Revolving Fund programs. The loan term cannot exceed five years, and the loan is to be repaid from the proceeds of a federal grant or loan to be made by an agency or instrumentality of the United State government for a project. Interim financing is available to both drinking water and clean water projects.

Application Cover Page (page 1)

Applicant. Name, mailing address and phone number of the entity sponsoring the project. Sub applicant is an organization who is submitting the application on behalf of an entity.

DUNS Number. The Data Universal Numbering System (DUNS) number is a nine-digit number, issued by D&B, assigned to each business location in the D&B database, having a unique, separate, and distinct operation for the purpose of identifying them. To applicant must submit documentation that the applicant has an active registration on the Federal System for Award Management (SAM) database.

Proposed Funding Package. Include the amount and type of funds requested, the amount of local funds being provided, including direct public or private contributions, loans, federal funds, and water development district grants. Indicate if the project is a Clean Water State Revolving Fund financing or Drinking Water State Revolving Fund financing. Multi-year or phased projects should enter only the costs associated with activities for which assistance is being requested. Indicate either a Clean Water or Drinking Water State Revolving Fund interim financing.

Project Title/Description. Provide a one line title for the project and a brief narrative describing the project. Be specific, providing the feet or miles of pipe, treatment process being utilized, capacity of the storage tanks, and so forth. Include the current monthly drinking water or wastewater rate. If the rate is not a flat rate, compute the monthly rate at 5,000 gallons for municipalities or sanitary districts and at 7,000 gallons for all other water or wastewater systems. Additionally, indicate whether a reserve fund has been established for the drinking water utility or wastewater utility.

Certification. An official of the sponsoring entity, who has been authorized by resolution of the governing body to submit the application, must read and sign the application.

Professional Contacts (page 2)
Application Prepared By: Identify the entity, the individual that helped prepare the application, and the other contact information requested in case questions arise about the application.

Consulting Engineering Firm: Identify the engineering firm retained by the sponsor, the engineer’s name, and the other contact information requested in case questions arise about the application.

Legal Counsel’s Firm: Identify the law firm retained by the sponsor, the attorney’s name, and the other contact information requested in case questions arise about the application.

Bond Counsel’s Firm: This section is required only if the applicant is a political subdivision. Identify the bond counsel firm retained by the sponsor, the attorney’s name, and the other contact information requested in case questions arise about the application.

Proposed Method of Financing (page 3)

Indicate the source of the secured/unsecured share of funding. If funds have been secured, indicate the amount in the "secured" column. If funds are unsecured at time of application, indicate the amount of the unsecured funds and the date funds are anticipated to be secured in the "unsecured" column. Include any remarks regarding funding in a separate narrative. Total the secured and unsecured funding amounts at the bottom of the table.

Documents That Must Be Submitted With The Application (page 4)

Provide a copy of the resolution of authorized signatory for the person or persons signing the loan agreement and payment requests. The resolution must also include the maximum loan amount requested and description of proposed project.

Submit a request for obligation of funds and letter of commitment stating the maximum obligation amount to be repaid from federal agency or instrumentality of the United States government for the project.

Submit documentation that the applicant has an active registration on the Federal System for Award Management (SAM) database (https://www.sam.gov).

Submit the Facilities Plan and Cultural Resources Effects Assessment Summary.
Submit the Capacity Assessment Worksheets for Drinking Water projects only. The Capacity Assessment Worksheets can be found on the Drinking Water Facilities Funding Application pages 16-37.

For Non-profit entities submit a copy of the organization’s By-laws, Articles of Incorporation, and Certificate of Good Standing from the Secretary of State.

Facilities Plan Checklist (page 5)

The Facilities Plan Checklist is provided for your convenience. Please review the checklist and make sure that the required steps have been taken to ensure that an adequate Facilities Plan has been prepared.

Clean Water Facilities Plan document can be found at http://denr.sd.gov/dfta/wwf/cwsrf/sanstsewerfunding.aspx

Drinking Water Facilities Plan document can be found at http://denr.sd.gov/dfta/wwf/dwsrf/dwfunding.aspx

Certification Regarding Debarment, Suspension, and Other Responsibility Matters (page 6)

Under Executive Order 12549, an individual or organization debarred or excluded from participation in federal assistance or benefit programs may not receive any assistance award under a federal program, or a sub-agreement thereunder for $25,000 or more.

Accordingly, each prospective recipient of an EPA grant, loan, or cooperative agreement and any contract or sub-agreement participant thereunder must certify to or provide an explanation why they cannot. For further details, see 40 CFR 32.510, Participants’ responsibilities.

Drinking Water Only - Certification of Drinking Water Needs Categories (page 7)

Form is self-explanatory.

Wastewater Only - Certification of Point Source Needs Categories (pages 8-9)

Form is self-explanatory.

Wastewater Only - Certification of Nonpoint Source Needs Categories (pages 10-11)

Form is self-explanatory